Post-retention effects of rapid maxillary expansion on nasal cavity and on periodontal structures

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Summary
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Aim. The aim of this study was to apply low-dose CT scans to evaluate the treatment and post-retention effects of RME on nasal cavity and periodontal effects.

Materials and methods. CT scans of 17 subjects (7 males, 10 females, mean age 11.2 years) were taken before RME (T0), at the end of the expansion phase (T1), and after a retention period of 6 months (T2). Measurements were performed on axial scans and on 3 different coronal scans. Statistical analysis was performed with ANOVA for repeated measures with post-hoc tests.

Results. Transverse dimensions of nasal cavity showed statistically significant T0-T1 increments at all the 3 coronal scans. All T0-T1 increments at the nasal levels showed a decrease in magnitude from the anterior to the posterior measurements. In the evaluation of T0-T1 changes, periodontal measurements were significant on the buccal aspect of banded teeth with a reduction in alveolar bone thickness. In the evaluation of T0-T2 changes, the lingual bone plate thickness resulted significantly increased.

Conclusions. RME therapy induces a significant increase in the transverse dimension of the nasal cavity without permanent injuries to the periodontal bone support discernible at CT imaging. After six months the expansion of the nasal cavity results stable.

Key words: rapid maxillary expansion, computed tomography, nasal cavity, periodontal structures.

Riassunto
Effetti a lungo termine dell’espansione rapida mascellare sulle cavità nasali e le strutture parodontali

Obiettivo. Lo scopo del lavoro è stato quello di valutare gli effetti immediati e a lungo termine dell’RME sulle cavità nasali e sulle strutture parodontali con protocollo TC a basso dosaggio.

Materiali e metodi. Sono stati effettuati esami TC su 17 soggetti (7 maschi, 10 femmine; età media 11.2 aa) prima della RME (T0), alla fine della fase attiva (T1), e dopo un periodo di ritenzione di 6 mesi (T2). Le misurazioni sono state eseguite su scansioni assiali e su 3 scansioni coronali. La valutazione statistica è stata effettuata con test ANOVA per misurazioni ripetute e test post-hoc.

Risultati. A T1 la dimensione trasversale delle cavità nasali è risultata aumentata in maniera significativa in tutte e tre le scansioni coronali. Tali incrementi seguono un gradiente decrescente in senso antero-posteriore. Nella valutazione dei cambiamenti T0-T1, le misurazioni parodontali sono risultate significative sull’aspetto vestibolare dei denti di ancoraggio con una riduzione dello spessore della corticale ossea. Nella valutazione dei cambiamenti T0-T2, lo spessore della corticale ossea palatale è aumentato in maniera significativa.

Conclusioni. La terapia con RME induce un aumento significativo della dimensione trasversale delle cavità nasali senza causare danni permanenti alle strutture parodontali di sostegno visibili all’esame TC. Dopo 6 mesi l’espansione delle cavità nasali risulta stabile.

Parole chiave: espansione rapida mascellare, tomografia computerizzata, cavità nasali, strutture parodontali.
Introduction

Rapid maxillary expansion (RME) is the most effective orthopedic procedure to increase the maxillary transverse dimension in young patients by opening the midpalatal suture (1). The maxillary halves are separated by heavy forces along midpalatal suture into a triangular shape with the base of the triangle corresponding to the anterior nasal spine and the apex to posterior nasal spine with centre of rotation corresponding to third molars (2). The first clinical use of Rapid Maxillary Expansion was described over a century ago by Emerson C. Angell in 1860 that reported the possibility to gain maxillary arch and to correct marked cross-bite (3).

Monson (1898) described during the same years the improvements in nasal respiration after treatment with RME; malformed palatine process form the top of the vault and floor of nasal cavity oftentimes coming in close proximity to the too distorted vomer (4).

Since then numerous appliances have been designed to obtain orthopedic forces during expansion, thus limiting movement of teeth and maximizing skeletal displacement (5-7). Several researches (8-11) have studied the effects on oral respiration and on dentoalveolar structures demonstrating a lateral tipping of posterior teeth induced by RME, and this effect has been correlated to a widespread sequence of changes throughout the periodontal membrane and alveolar bone due to the presence of pressure and tension areas (8-11). A relevant concern at this regard is represented by the possible periodontal consequences of RME because of the risk of damage the buccal cortical plate of alveolar bone in correspondence of anchorage teeth and/or the development of reces-sions (8-11).

Most investigations have analyzed the dento skeletal effects of RME by bi-dimensional and conventional radiographic head-plates (2, 3, 5-11). Timms (1982) used for the first time Computed Tomography (CT) in studying the basal bone changes induced by rapid expansion, and since then there is very little researches based on this method of investigation (12).

Podesser et al. (2007) observed the changes induced by orthopaedic treatment on nasal structures based on standardized CT scanning registration in 9 growing children with a mean age of 8.1 years. It was demonstrated a combination of skeletal change with an increase of the nasal width and a clear tipping of the dentoalveolar structures (13). Palaisa et al. (2007) studied 19 subjects with a mean age of 11.2 years by using conventional tomography and it was pointed out a gain in both area and volume of nasal cavity after orthopaedic treatment (14); Garrett et al. (2007) by using Cone Beam reported an increase in nasal width associated with a reduction of the area of maxillary sinus (15).

Garib et al. (2005, 2006) used this method of investigation to evaluate dento-alveolar effects of RME. CT images of all subjects were taken before expansion and after a retention period of 3 months when the expander was definitely removed. Orthopaedic treatment produced a significant increase in all measured transverse dimensions and a buccal movement of maxillary posterior teeth by tipping and bodily translation. At the periodontal level orthopaedic forces reduced buccal plate thickness but increased lingual plate thickness of supporting teeth (16, 17).

Rungcharassaeng et al. (2007) used cone-beam computed tomography to determine the factors that might affect buccal bone changes of maxillary posterior teeth after RME. A study sample of 30 patients with a men age of 13.8 years was selected and CT scans were taken before expansion and after a retention period of 3 months. Also in this research a reduction of the buccal bone plate thickness due to the tipping of anchoring teeth was observed (18).

These studies were undertaken either before or after a very short period of retention (about three months). It should be noted that a retention period of at least 5 months is necessary to permit an adequate dissipation of residual loads of orthopaedic forces as well as a good mineralization of the midpalatal suture, in order to minimize the relapse tendency after rapid maxillary expansion (7, 19-
In literature there aren’t researches that have post-treatment observation after a retention period of 6 months.

The aim of this study was to evaluate by means of low-dose CT the skeletal and periodontal changes associated with RME at the end of the active phase and after 6 months of retention in a relatively large group of growing patients.

Materials and methods

The sample investigated comprised 17 children (7 males and 10 females) with a mean age of 11.2 years (range 8-14 years) treated at the Department of Orthodontics, “Tor Vergata”. The criteria for selection of the treatment group were as follows: constricted maxillary arches, presence of uni- or bilateral posterior cross-bite, variable degree of crowding, and one or both maxillary canines presenting with palatal displacement as assessed by panoramic radiographs. The exclusion criteria were: age above 15 years, stages in cervical vertebral maturation, as assessed on lateral cephalograms, more advanced than CS4 (post-pubertal), absence of maxillary first molars, metallic restorations on the maxillary posterior teeth, previous periodontal diseases, previous orthodontic treatments, genetic diseases.

Each patient underwent a standardized protocol with RME in the form of the Butterfly Palatal Expander that follows the basic design of Haas. It’s a butterfly-shaped stainless steel framework banded on maxillary first molars that extends forward to the palatal surfaces of the deciduous molars (22-24) (Fig. 1).

The expansion screw was activated 2 turns per day (0.25 mm per turn) for 14 days, thus reaching the total amount of expansion of 7 mm in all subjects. Then, the screw was tied off with a ligature wire and the Butterfly Expander was kept on the teeth as a passive retainer for an average of six months.

Multislices CT scans were taken before rapid palatal expansion (T0), at the end of the active expansion phase (T1) and after a retention period of six months when the expander was removed (T2).

The exams were performed by a single trained radiographer at the same scanner console with the primary indication of evaluating the exact position, the three-dimensional orientation, and the spatial relationship of displaced intraosseous canines in the maxilla. Each patient was positioned horizontally on the scanner table with the Camper’s plate perpendicular to the ground. The perpendicular light beams of the machine were used to standardize the head position in the three plates, thus allowing comparison of the images achieved before, during, and after expansion. During the CT scanning, patients were biting on a piece of gauze to keep the maxillary and the mandibular teeth separated, and to avoid the overlapping of dentofacial structures.

All exams were performed at the Department of Radiology, University of Rome, “Tor Vergata”, with a CT scanner equipped with a Dentascan reconstruction program used to study the maxillofacial region (Light-Speed 16, General Electric Medical System, Milwaukee, Wisconsin, USA). This machine is equipped with 16 detector rows and has a minimal rotation time of 0.5 sec, given a collimation between 0.75 and 1.5 mm with dose calibration. Subsequent scans were taken with a 1.25 mm slice thickness, 0.6 mm interval, 11.25 mm table speed/rotation, 100 mA, 13.7 cm FOV, 512x512 matrix, 0° gantry angle, and following a low dose protocol with 80 KV instead of the standard setting used for a Dentascan of 120 KV.
On scanned images, measurements were performed at nasal cavity using 3 different coronal scans perpendicular to the occlusal plane and passing through ANS, midpoint between ANS and PNS, and PNS, respectively:

- Anterior Nasal Width (ANW): transverse width between the most lateral point of each nasal cavity (Fig. 2);
- Middle Nasal Width (MNW): transverse width between the most lateral point of each nasal cavity (Fig. 3);
- Posterior Nasal Width (PNW): transverse width between the most lateral point of each nasal cavity (Fig. 4).

On scanned images measurements were performed at periodontal levels on axial section at the level of right maxillary first molar furcation, according to definitions provided in previous studies by Garib and associates:

- Lingual bone plate thickness (LB): width between the external aspect of the palatal cortical plate to the centre of the palatal aspect of the root of the first right and left molars;
- Buccal bone plate thickness (BB): width between the external aspect of the buccal cortical plate to the centre of the buccal aspect of mesial and distal roots of the first right and left molars (Figs. 5, 6).

When tooth rotation was present, to find standardized points, those measurements were taken following the transverse diameter of the tooth.

### Statistical analysis

All measurements were undertaken by a single operator (SV) at the scanner console to avoid interobserver method error. All measurements were repeated after a period of a month at the same console, and the mean values of the two measurements was used for final analysis as recommended by Baumrind and Frantz (25). Casual and systematic errors were calculated comparing the first and the second measurements with paired t-tests and Dahlberg’s formula (s). The correlation between the first and the second reading was calculated using Spearman’s correlation analysis and represented with the r value. Descriptive statistics included mean and standard deviation (SD). The mean differences in measurements at T0, T1 and T2 were examined with Friedman ANOVA for re-

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**Figure 2**

Anterior Nasal Width (ANW): transverse width between the most lateral point of each nasal cavity at T0, T1, T2 (respectively 2a, 2b, 2c).
peated measures with post-hoc tests. The level of significance was p<0.05.

Results

All measurement error coefficients were found to be close to 1.00 and within acceptable limits. The midpalatal suture was opened in all subjects. In the evaluation of changes between T0-T1 nasal width resulted statistically increased in all three coronal scans (ANW: +1.5mm; MNW: +1.4mm; PNW: +1.0mm). All T0-T1 increments at nasal levels showed a decrease in magnitude from the anterior to the posterior measurements. In the period between active expansion (T1) and the end of retention (T2) all measurements exhibited statistically significant decreases with the exception of MNW.

In the evaluation of changes between T0 and T1, periodontal measurements were statistically significant on the buccal aspect of banded teeth, but not on the lingual side. On the buccal side a signif-

![Figure 3](image3.png)

**Figure 3**

Middle Nasal Width (MNW): transverse width between the most lateral point of each nasal cavity at T0, T1, T2 (respectively 3a, 3b, 3c).

![Figure 4](image4.png)

**Figure 4**

Posterior Nasal Width (PNW): transverse width between the most lateral point of each nasal cavity at T0, T1, T2 (respectively 4a, 4b, 4c).
Significant reduction in alveolar bone thickness corresponding to the mesial (-0.5 mm; p<0.05) and the distal (-0.4 mm; p<0.05) roots of the right first molar, and to the mesial root of the left first molar (-0.3 mm; p<0.05) was recorded. Of the two areas investigated on the buccal aspect of the first molars, the mesial aspect demonstrated the greatest bone resorption, corresponding to about 0.4 mm. No root resorptions or dehiscences were present. In the evaluations of the changes between the end of active expansion (T1) and end of retention (T2), and of the overall T0-T2 changes, periodontal

Table 1 - Descriptive and statistical analysis for all measurements at T0, T1, T2. The mean differences in measurements at T0, T1 and T2 were examined with Friedman ANOVA for repeated measures. The level of significance was p<0.05.

<table>
<thead>
<tr>
<th></th>
<th>T0</th>
<th>T1</th>
<th>T2</th>
<th>T0-T1</th>
<th>T1-T2</th>
<th>T0-T2</th>
</tr>
</thead>
<tbody>
<tr>
<td>ANW</td>
<td>19.6 ± 1.7</td>
<td>21.1 ± 1.5</td>
<td>20.7 ± 1.5</td>
<td>*</td>
<td>*</td>
<td>*</td>
</tr>
<tr>
<td>MNW</td>
<td>26.0 ± 2.2</td>
<td>27.4 ± 2.2</td>
<td>27.2 ± 2.3</td>
<td>*</td>
<td>ns</td>
<td>*</td>
</tr>
<tr>
<td>PNW</td>
<td>24.4 ± 1.8</td>
<td>25.4 ± 1.8</td>
<td>25.0 ± 1.9</td>
<td>*</td>
<td>*</td>
<td>*</td>
</tr>
<tr>
<td>LB 16</td>
<td>1.4 ± 0.8</td>
<td>1.4 ± 0.6</td>
<td>2.0 ± 0.9</td>
<td>ns</td>
<td>*</td>
<td>*</td>
</tr>
<tr>
<td>LB 26</td>
<td>1.4 ± 1.0</td>
<td>1.6 ± 0.8</td>
<td>2.1 ± 1.0</td>
<td>ns</td>
<td>*</td>
<td>*</td>
</tr>
<tr>
<td>BB 16M</td>
<td>1.7 ± 0.8</td>
<td>1.2 ± 0.9</td>
<td>1.2 ± 0.9</td>
<td>*</td>
<td>ns</td>
<td>ns</td>
</tr>
<tr>
<td>BB 16D</td>
<td>1.9 ± 0.8</td>
<td>1.5 ± 0.8</td>
<td>1.7 ± 0.8</td>
<td>*</td>
<td>ns</td>
<td>ns</td>
</tr>
<tr>
<td>BB 26M</td>
<td>1.7 ± 1.0</td>
<td>1.4 ± 1.1</td>
<td>1.7 ± 0.8</td>
<td>*</td>
<td>ns</td>
<td>ns</td>
</tr>
<tr>
<td>BB 26D</td>
<td>2.0 ± 1.1</td>
<td>1.9 ± 0.9</td>
<td>1.8 ± 0.8</td>
<td>ns</td>
<td>ns</td>
<td>ns</td>
</tr>
</tbody>
</table>

ns: not significant; p<0.05 * statistically significant

ANW: anterior nasal width: transverse width between the most lateral point of each nasal cavity; MNW: middle nasal width: transverse width between the most lateral point of each nasal cavity; PNW: posterior nasal width: transverse width between the most lateral point of each nasal cavity; LB: lingual bone plate thickness: width between external of palatal cortical plane to the centre of palatal aspect of root of first molar; BB: buccal bone plate thickness: width between external of buccal cortical plane to the centre of buccal aspect of mesial and distal roots of first molar.

Figures 5
Lingual bone plate thickness (LB): width between the external aspect of the palatal cortical plate to the centre of the palatal aspect of the root of the first right and left molars; Buccal bone plate thickness (BB): width between the external aspect of the buccal cortical plate to the centre of the buccal aspect of mesial and distal roots of the first right and left molars at T0, T1, T2 (respectively 5a, 5b, 5c).
measurements were significant on the lingual aspects, but not on the buccal side. The lingual bone plate thickness of both first molars resulted significantly increased (+0.6 mm; p<0.05) (Table 1).

**Discussion**

The aim of the present study was to evaluate skeletal and periodontal effects of RME immediately at the end of the active phase and after a 6-month retention period by using a tridimensional diagnostic procedures. With regard to previous studies (12-18), the present study investigated a notably larger group of patients (17 patients), with observations recorded both after active expansion (T1) and after an adequate period for retention (T2) thus allowing for a reossification and reorganization of the midpalatal sutural tissue (19-21). The CT examination, is not a routine in orthodontic diagnosis and treatment planning, but is able to investigate craniofacial structures that would not be described adequately by traditional X-ray techniques due to superimposition of bony or dental anatomy on the same plane (26). All subjects of the study sample examined in the current study needed tri-dimensional radiographic investigations to visualize the exact position of displaced canines within the maxillary arch; further, in order to reduce exposure of patients to X-rays a low dose spiral protocol, obtained by reducing the voltage to the lowest possible level of 80 KV, was used (27).

The amount of transverse gain at the level of the nasal cavity (ANS) at the end of the active phase of RME was of 1.5 mm; this amount of expansion is very similar when compared with the data obtained by Podesser et al. (13) (1.2 mm) and by Garrett et al. (15) (1.9 mm) who used the same tridimensional method of investigation but can’t be compared with that reported by Wertz (19) (1.9 mm), Haas (8) (3.7 mm), da Silva Filho et al. (28) (2.1 mm) that used Postero-Anterior cephalograms.

Interestingly, in the current study, a statistically significant amount of expansion of the nasal cavity was observed in all the 3 coronal scans (+1.5 mm; +1.4 mm; +1.0 mm respectively). The findings of the present study demonstrated that the dimensional increase of the nasal cavity on the transverse plane was not limited to the anterior region but it extended to the posterior region as well. The increase in the transverse dimension of the nasal cavity was slightly greater in the anterior region than in the middle and in the posterior regions. The expansion at PNS was about 62% of that at ANS. The amount of transverse gain in the nasal cavity was stable at the post-retention observation (T2). The dimensional changes of the nasal cavity described here fully support the results of the recent study by Palaisa et al. (14) who used conventional tomography and found that RME was accompanied by stable increases in area and volume of the nasal cavity after three months.

In growing patients the skeletal effects on nasal width are clinically evident with an improvement of nasal respiration to disadvantage of oral respiration.

A possible undesirable orthodontic effect of RME is the compression of the periodontal ligament of supporting teeth, along with alveolar bone resorption. Several Authors (29-31) demonstrated that orthodontic and orthopaedic forces cause histological modifications, such as activation of clastic cells in the direction of the periodontal ligament, hyalinization on the pressure side; and that the lateral tipping of anchoring teeth may cause bone resorption at the dento-alveolar level. The present study assessed a reduction of the buccal bone plate thickness of 0.4 and 0.2 mm of the anchoring teeth (corresponding to the mesial and the distal root, respectively), at the end of the active phase (T1). When compared with the buccal bone loss reported by Rungcharassaeng et al. (18) (-1.24 mm) our data are inferior. That could be almost a consequence of a different method of investigation that use cone beam and coronal, not axial, reconstruction images. Despite the buccal bone reduction and the absence of a corresponding compensatory bone apposition on the lingual aspect, no observation of fenestrations, dehiscences, or attachment loss were present. After the retention period of 6
months (T2) statistically significant bone apposition was observed on the palatal side of both anchoring teeth (+ 0.7 mm; p<0.05) because of the translation movement of the first molars. The amount of bone apposition in our study at T2 can’t be compared with the data obtained in other researches (16-18) that observe periodontal structures only after a retention period of 3 months. Indeed values reported by Garib et al. (17) show an inferior amount of bone apposition on palatal aspect. These outcomes may be in part related to the different times of observation and in part to the different skeletal maturity of the two control group in the studies. Indeed a retention protocol of adequate duration (6 months) is necessary to recovery lingual and buccal bone plate thickness.

Conclusions

1. A significant amount of expansion of the nasal cavity was observed in all the 3 coronal scans. The expansion of the nasal cavity resulted stable at the end of the retention period;
2. At the end of the active phase (T0) of expansion the buccal bone plate thickness of the supporting teeth shows a significant decrease, while no change is shown by the lingual bone plate thickness;
3. After an adequate retention period of at least 6 months (T2) a recovery of both buccal and lingual plates thickness is observed;
4. No root resorptions or dehiscences of alveolar bone were present after RME therapy.

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