

High-throughput label-free characterization of viable, necrotic and apoptotic human lymphoma cells in a coplanar-electrode microfluidic impedance chip

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Abstract

The study and the characterization of cell death mechanisms are fundamental in cell biology research. Traditional death/viability assays usually involve laborious sample preparation and expensive equipment or reagents. In this work, we use electrical impedance spectroscopy as a label-free methodology to characterize viable, necrotic and apoptotic human lymphoma U937 cells. A simple three-electrode coplanar layout is used in a differential measurement scheme and thousands of cells are measured at high-throughput (≈ 200 cell/s). Tailored signal processing enables accurate and robust cell characterization without the need for cell focusing systems. The results suggest that, at low frequency (0.5 MHz), signal magnitude enables the discrimination between viable/necrotic cells and cell fragments, whereas phase information allows discriminating between viable cells and necrotic cells. At higher frequency (10 MHz) two subpopulations of cell fragments are distinguished. This work substantiates the prominent role of electrical impedance spectroscopy for the development of next-generation cell viability assays.

Keywords: single-cell analysis, cell viability, microfluidic impedance spectroscopy, coplanar electrodes, flow cytometry

1. Introduction

The study and the characterization of cell death mechanisms are fundamental to unravel cell behavior in normal and harsh conditions, with important implication to understand disease pathogenesis and treatment. Apoptosis is a physiological form of programmed cell death whereby a cell provokes its own demise in response to a stimulus, whereas necrosis occurs when cells are irreversibly damaged by an external trauma. A critical issue in chemotherapy drugs development is the type of resulting cell death, both for the containment of inflammatory or immunosuppressive response, and to avoid the mechanism of resistance to the therapy (Zhang et al., 2014).

Cell death characterization is essential in cell biology research, and specific recommendations have been drawn up for the classification of the different types and modality of cell death discovered so far (Galluzzi and et al., 2018). Traditional death/viability assays involve

laborious sample preparation and expensive equipment or reagents (Cummings et al., 2012). In particular, flow cytometry (Shapiro, 2003) predominantly relies on fluorescent labeling, which may be time-consuming and alter natural biological functions (Hiramatsu et al., 2019). Microfluidic lab-on-a-chip solutions promise simpler procedures and greatly reduced costs (Valero et al., 2005; Donald et al., 2010; Petchakup et al., 2017; Reale et al., 2019), with tremendous benefit for pharmaceutical and life-science research. In particular, label-free approaches are especially attractive, because they preserve cell native behaviour and therapeutic potential (Rollo et al., 2017; Bagnaninchi and Drummond, 2011).

Since changes in cell physiology are known to result in changes in dielectric properties of the cell (Nikolic-Jaric et al., 2013), lab-on-a-chip devices for cell electrical phenotyping have the potential for label-free death/viability assays. Wang et al. (2002) showed changes in the dielectric properties of HL-60 and Jurkat cells during apoptosis, by means of dielectrophoresis (DEP) crossover frequency measurements. Lee et al. (2009) reported differences between TE2 apoptotic and

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necrotic cells positioned between two electrodes, by time-lapse capacitance cytometry. Nikolic-Jaric et al. (2013) observed the onset of apoptosis in Chinese hamster ovary cells by using DEP-induced translation of flowing cells, at a throughput of about 1 cell/s.

Microfluidic impedance spectroscopy (Cheung et al., 2010; Sun and Morgan, 2010), potentially enabling high-throughput multiparametric analysis, has also been considered to perform viability assays. In a typical impedance chip, suspended particles flow through a microchannel and two electrode pairs are used to measure the variation in channel impedance induced by the passage of a particle. The impedance variation is exploited to characterize particle properties. Both coplanar and facing electrode configurations have been proposed in the literature. However, whereas fabricating facing electrodes requires advanced fabrication equipment and pose alignment issues, coplanar electrodes can be easily patterned at very small dimensions yielding miniaturized, reproducible, and ultimately low-cost devices (Hong et al., 2005; Xie et al., 2017; González-Murillo et al., 2018). Gou et al. (2011) designed a T-shape microchannel with two coplanar electrodes to measure differences in resistance and capacitance of flowing SMMC-7721 cells at normal, apoptotic and necrotic status. Optical images were needed to detect necrotic particles, and the throughput of the system was below 1 cell/s. Kirkegaard et al. (2014) measured differences in electrical opacity between untreated and paclitaxel-treated HeLa cells, at a throughput of about 3 cell/s, by using a three-electrode coplanar configuration.

Unfortunately, resolution and accuracy of microfluidic impedance spectroscopy is challenged by the positional dependence of the measured signals, i.e. identical particles flowing along different trajectories in the microchannel provide different signals (Cheung et al., 2010; Spencer and Morgan, 2011; Caselli et al., 2018). This is due to the non-uniformity of the electric field in the sensing region (Sun et al., 2007; Riordon et al., 2012) and produces blurring of estimated particle properties (Spencer et al., 2016; Errico et al., 2017; Reale et al., 2018). An approach to cope with the positional dependence of the measured traces is particle focusing, for example by means of sheath flow (Mao et al., 2012), inertial focusing (Di Carlo, 2009) or active focusing mechanisms (Holmes et al., 2006; Shaker et al., 2014; Grenvall et al., 2014). However, they increase the complexity of the system and of its control. Another approach is to use microfluidic channels with cross-sectional dimensions smaller than the diameter of the cell under investigation (Xie et al., 2017; Zhao et al., 2018). However, systems with a constriction structure

are difficult to optimize for size-heterogeneous samples.

In this work, we address the label-free discrimination of viable, necrotic and apoptotic human lymphoma U937 cells in a microfluidic impedance cytometer. The U937 cell line (Sundström and Nilsson, 1976) is widely used in cell biology research, however its electrical phenotyping has received limited attention. In order to keep the system as simple as possible, thus maximizing its potential for low-cost and portable implementation, a chip layout with three coplanar electrodes is used and no focusing mechanisms are implemented. Due to the presence of both intact cells and apoptotic bodies, size-heterogeneous samples are expected. Accordingly, position blurring cannot be easily eliminated by optimization of channel dimensions. In order to mitigate the positional dependence of the measured signals, an enhanced version of the compensation strategy previously introduced by Errico et al. (2017) is used. In that paper, the approach was demonstrated for polystyrene beads and yeast cells sizing, using the real part of the impedance signal at low frequency. Here we exploit that methodology for the impedance profiling of human lymphoma cells. To this aim, the complex impedance traces at two frequencies are used and an ad-hoc signal processing is developed, enabling compensation on both signal amplitude and phase. Experimental campaigns involving control samples and samples treated with heat-shock (to induce necrosis) or etoposide (to induce apoptosis) are performed. Thousands of cells are measured at high-throughput (≈ 200 cell/s) and flow cytometry analysis is used for validation. The experimental protocol is pictured in Figure S1 of the Supplementary Material and detailed in Section 2, whereas a comparison with other relevant studies reported in the literature is provided in Table T1 of the Supplementary Material. The results of the present work (Section 3) show that the recorded electrical fingerprints provide high-content information useful for cell discrimination, and bring microfluidic impedance spectroscopy in a prominent position for next-generation cell viability assays.

2. Materials and methods

2.1. Working principle of the microfluidic impedance cytometer

A schematic representation of the microfluidic impedance chip is shown in Figure 1(a). The microchannel is filled with a conductive fluid and a multifrequency AC voltage signal is applied to the central electrode by an impedance spectroscope. The difference in current collected from the lateral electrodes

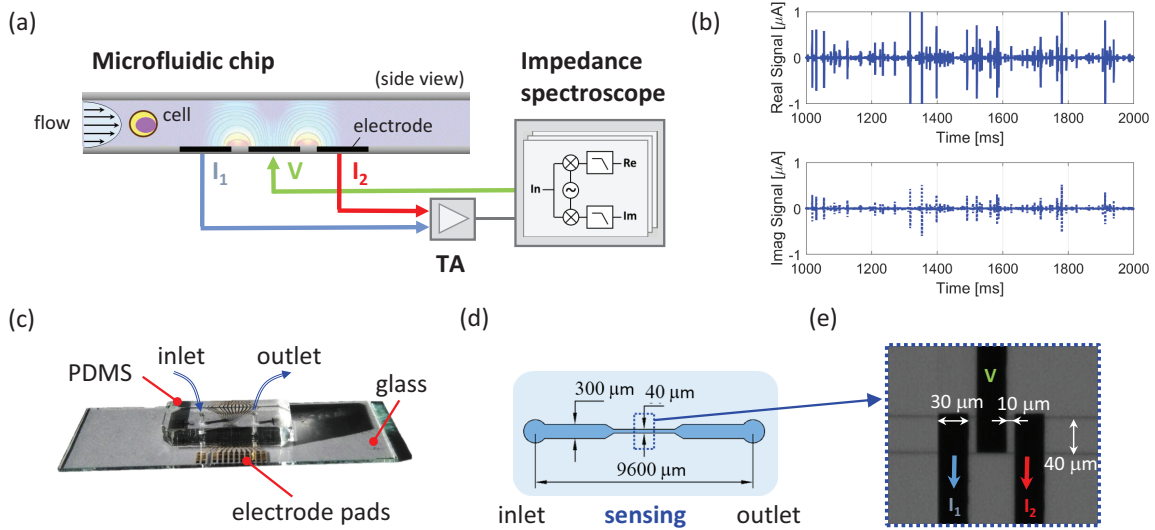


Figure 1: Microfluidic impedance spectroscopy. (a) Operation mode: an impedance spectroscopy provides a multifrequency AC voltage signal (V) to the central electrode of the microfluidic chip, and receives as differential input the current signals I_1 and I_2 collected from the lateral electrodes and conditioned by a transimpedance amplifier (TA). (b) Real and imaginary parts of the differential current demodulated at 0.5 MHz (exemplary datastream shown). (c) Microfluidic impedance chip. (d) Fluidic layout (top view). (e) Bright-field image of the sensing region (top view, channel height is $21\ \mu\text{m}$).

is measured with a transimpedance amplifier and demodulated by the spectroscopy, providing the in-phase (real) and out-of-phase (imaginary) components of each frequency channel. A portion of a typical datastream (relevant to one frequency channel) is reported in Figure 1(b). The passage of a flowing particle is recorded as a pair of opposite peaks (Sun et al., 2009). Due to the positional dependence issue, peak amplitude both depends on particle properties and particle trajectory height (with particles traveling near the electrodes providing higher peak amplitude than identical particles traveling far from the electrodes, cf. Figure S3 of the Supplementary Material). As demonstrated by Errico et al. (2017), the ratio between peak width and peak-to-peak time, called shape parameter, correlates with particle trajectory height. Therefore, it can be used to purge out position blurring from the measured signals (cf. Section 2.5).

2.2. Chip microfabrication

The microfluidic impedance chip consists of a microchannel with coplanar microelectrodes integrated at the bottom of a microscope glass slide (Figure 1(c)-(e)). The fluidic network was fabricated in polydimethylsiloxane (PDMS), following well-established replica molding procedures. The Ti/Au electrodes ($20\ \text{nm}/200\ \text{nm}$) were lithographically patterned onto the glass substrate ($75 \times 25 \times 1\ \text{mm}$) by liftoff technique.

PDMS channel and electrodes were aligned and the chip was sealed after O_2 plasma activation. In the sensing region the channel cross-sectional area is $40\ \mu\text{m}$ wide and $21\ \mu\text{m}$ high; electrodes are $30\ \mu\text{m}$ wide with a $10\ \mu\text{m}$ spacing. Arrays of micro-pillars were included at the inlet fluidic port to reduce the risk of channel clogging. A custom chip holder was used for fluidic and electric connections.

2.3. Cell preparation and culture

Human histiocytic lymphoma U937 cells, originally obtained from Zooprofilactic Institute (Brescia, Italy), were grown in suspension culture at a density of 4×10^5 cells/ml in RPMI 1640 supplemented with 10% fetal bovine serum (FBS), 2 mM glutamine, 50 U/ml penicillin and 50 U/ml streptomycin (all reagents from Sigma-Aldrich, St Louis, MO, USA) at $37\ ^\circ\text{C}$ in a 5% CO_2 -humified atmosphere for 18 h. To induce necrosis, after 15 h of culture cells were exposed to a heat shock by incubation for 30 min at $60\ ^\circ\text{C}$ in a water bath, then washed in phosphate-buffered saline (PBS; Sigma-Aldrich) for 5 min at 1000 g, and incubated in culture medium for other 3 h at $37\ ^\circ\text{C}$ in a 5% CO_2 -humified atmosphere. Apoptosis was induced with etoposide (Sigma-Aldrich) treatment at the concentration of $50\ \mu\text{M}$ for 18 h. Dimethyl sulfoxide (DMSO; Sigma-Aldrich) was used as diluent for etoposide and was referred as vehicle in control condition. Following

incubation in the various culture conditions above described, the untreated and treated cells were harvested and washed three times with PBS for 5 min at 1000 g and filtered with 20 μm filters (CellTrics) before successive manipulations. The trypan blue dye exclusion test was performed on each sample. A mixed sample, containing cells from the control, heat-shock and etoposide samples, was also prepared. Each sample was spiked with polystyrene beads (6 μm diameter, PolySciences) for internal reference.

2.4. Impedance data acquisition

Prior to impedance data collection, the microfluidic chip was flushed with a 1% BSA (bovine serum albumin) solution in PBS to prevent cells adhesion to the PDMS walls. The sample was injected into the microfluidic chip by a syringe pump (Harvard Apparatus) at a rate of 10 $\mu\text{l}/\text{min}$. The measurements were carried out at two simultaneous frequencies (0.5 and 10 MHz, 2.5 V each) with an HF2TA transimpedance amplifier (Zurich Instruments, 10 k Ω gain) and a HF2IS impedance spectroscopy (Zurich Instruments, 20 kHz filter bandwidth, 115 kSa/s readout rate). A trypan blue dye exclusion test confirmed that the electric field in the sensing region had no adverse effects on the cells (cf. Figure S2 of the Supplementary Material).

2.5. Impedance data processing

Event detection in the data stream was performed with the algorithm described by Caselli and Bisegna (2016). For feature extraction, a novel template fitting strategy was implemented, taking into account all the complex frequency channels at the same time. To this aim, a suited bipolar Gaussian template was considered, characterized by the following features: a frequency-dependent complex amplitude a , a frequency-independent real peak-width control σ , and a frequency-independent real peak-to-peak time δ (cf. Figure S4 of the Supplementary Material). A least-square approach was used to fit the template to each detected event.

The following features were considered for discrimination:

- electrical diameter $D = G |a_{0.5}|^{1/3}$, where $a_{0.5}$ denotes complex amplitude at 0.5 MHz, $|\cdot|$ denotes absolute value, and G is a gain factor accounting for the electronic circuitry;
- phase of a at 0.5 MHz, denoted by $\Phi_{0.5}$;
- phase of a at 10 MHz, denoted by Φ_{10} .

The shape parameter σ/δ of the signal pulse, correlating with the particle trajectory height, was also computed. An approximately linear relationship was found between each electrical feature and the shape parameter, thus enabling the implementation of a compensation procedure able to mitigate position blurring. In particular, novel formulas for compensation of electrical phases have been implemented (cf. Figure S5 of the Supplementary Material). Moreover, since the signal phase depends on a number of factors related to the physical properties of the complete system (Haandbæk et al., 2016; Rollo et al., 2017), the phase features $\Phi_{0.5}$ and Φ_{10} were normalized by subtracting their average value computed for the bead population, so they have the meaning of phase shift with respect to beads.

2.6. High-speed image acquisition

For check purposes, the sample flow through the detection area was acquired using a high-speed camera (Photron Mini UX100, frame rate 4000 fps, shutter time 3.9 μs) connected to an inverted microscope (Zeiss Axio Observer, 40 \times objective). Events detected in the impedance datastream were matched to their optical frames with a custom Matlab script.

2.7. Flow cytometry data acquisition and processing

For validation and comparison purposes, a multiparametric flow cytometry analysis, combining scatter plot and DNA labeling analysis, was performed to distinguish apoptotic, necrotic or viable cells (Matteucci et al., 1999). To this aim, harvested cells were fixed with 70% ethanol for 45 min at 4 $^{\circ}\text{C}$, washed in PBS and stained with propidium iodide (PI) and RNase as previously described (Argaw-Denboba et al., 2017). Samples were analyzed by flow cytometry using a FACScan cytometer (BD Biosciences, San Jose, CA, USA). Detectors and amplifier gains for forward and orthogonal scatter were adequately selected to simultaneously detect viable, apoptotic and necrotic cells. Data acquisition and analysis were performed using CellQuest software on a minimum of 5000 events up to 10000 for each sample (BD Biosciences).

3. Results and discussion

3.1. Flow cytometry characterization

By a multiparametric analysis, flow cytometry allows to distinguish apoptotic, necrotic or viable cells combining the morphological features together with the detection of hypodiploid DNA fragmentation typical of the apoptotic cell (Matteucci et al., 1999). The scatter

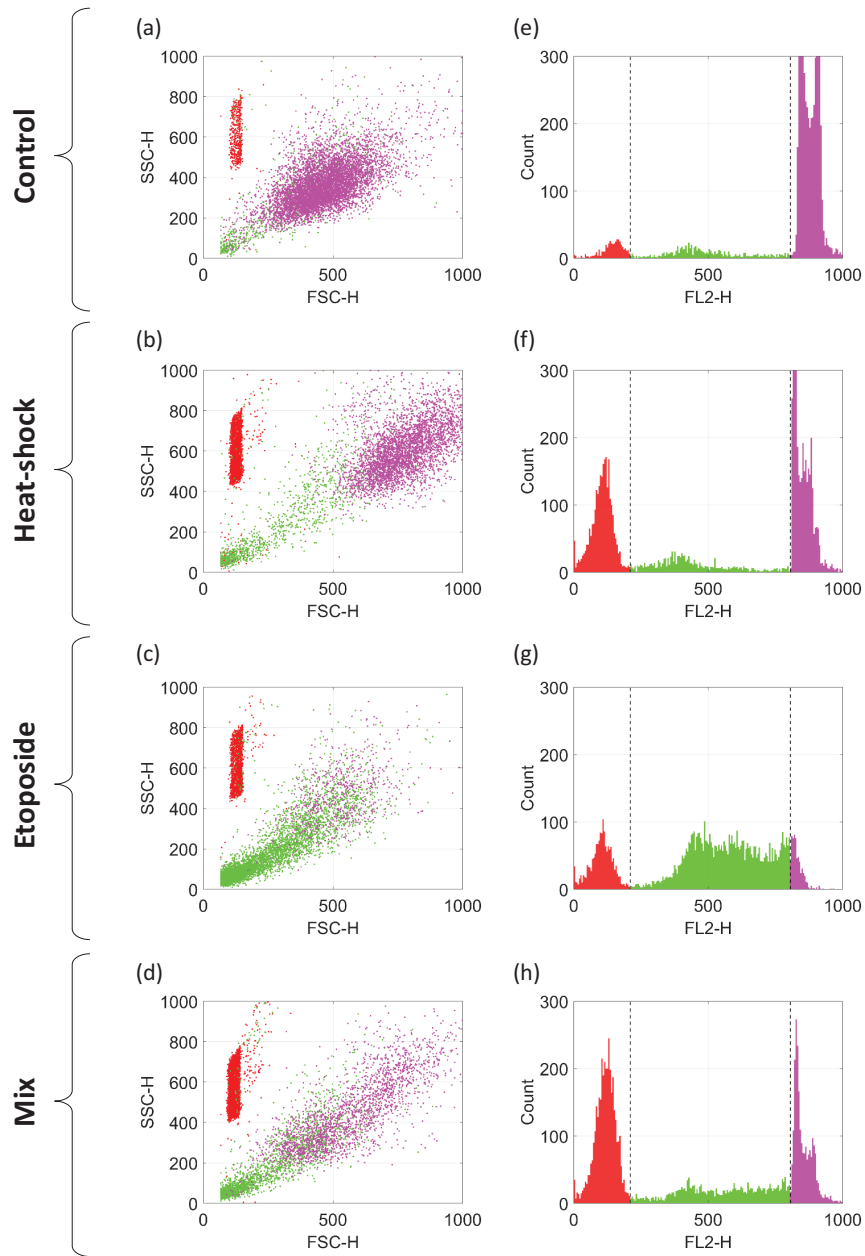


Figure 2: Flow cytometry analysis of in vitro treated U937 cells (first row, control sample; second row, heat-shock treatment; third row, etoposide treatment; fourth row, mixed sample). (a-d) Scatter plots of the side scatter height (SSC-H) vs. the forward scatter height (FSC-H). (e-f) Histograms of the fluorescence 2 height (FL2-H) channel value. Populations are colour-labeled according to their FL2-H value.

plot of the forward-scatter height (FSC-H) versus side-scatter height (SSC-H) parameters, and the histogram of the events according to fluorescence 2 height (FL2-H) channel values are reported in Figure 2, for each experimental condition. FSC-H versus SSC-H analysis was performed to assess the morphological features (Adan et al., 2017). A gating $FSC-H \geq 70$ was applied. The markers on FL2-H histograms (panels e-h) were set according to the PI content, generating gates related to cells containing diploid and hyperdiploid DNA (pink), hypodiploid DNA (green) or debris/beads (red). Correspondingly, the scatter plots (panels a-d) show the distribution of the different cell populations according to the DNA content. Although viable and heat-shock treated cells retain the same FL2-H profile in diploid/hyperdiploid DNA content (panels e and f), the necrotic cells show a different distribution in the scatter plot (panels a and b; pink). Otherwise, most of the cells treated with etoposide present the typical emission of hypodiploid DNA (panel g), as expected for apoptotic cells, and correspond to a low FSC/SSC scatter plotting (panel c; green). Analysis of samples containing a mix of cells from the different preparations (panels d and h) overlaps the results obtained by the single sample analysis. Particularly, signals from diploid and hyperdiploid DNA (panel h; pink) correspond to two clusters of cells in the scatter plot (panel d; pink) most probably derived from both living and necrotic cells. As expected, signals from hypodiploid DNA (panel h; green) correspond to a low FSC/SSC scatter plotting (panel d; green). Gating of flow cytometry data is shown in Figure S7 of the Supplementary Material.

3.2. Impedance-based characterization

A gallery of exemplary event signals acquired by microfluidic impedance spectroscopy, relevant to the mixed sample, is shown in Figure 3. For each event, the real and imaginary parts of the differential current signals demodulated at 0.5 MHz and 10 MHz are shown, along with a snapshot of the relevant flowing particle. By looking at the real part of the low frequency signal (blue solid line), significant differences in peak amplitude are noticed, with some events (panels b and c) exhibiting an amplitude similar to that of a bead (panel a), and some events (panels d and e) with larger signal amplitude. This is reflected by differences in the electrical diameter D . For each frequency channel, differences in the ratio between the peak amplitudes of the imaginary (dotted line) and real (solid line) signal components are also evident. This is reflected by differences in the phases $\Phi_{0.5}$ and Φ_{10} .

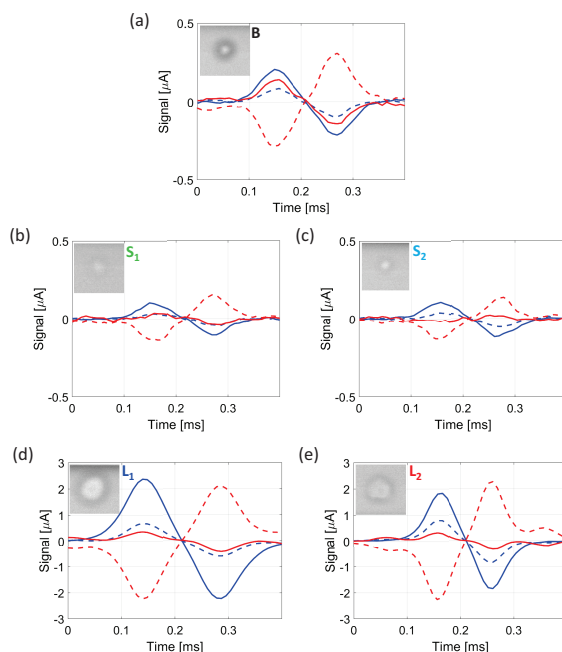


Figure 3: Gallery of exemplary event signals. Real (solid line) and imaginary (dotted line) part of demodulated differential current signal measured at 0.5 MHz (blue lines) or 10 MHz (red lines). A snapshot (100×100 pixels) of the corresponding flowing particle is also shown. As detailed in Section 3.2, the five examples exhibit different electrical features and the relevant particles belong to different bead/cell populations (namely, B, S₁, S₂, L₁, and L₂, cf. Figure 4 and the relevant discussion).

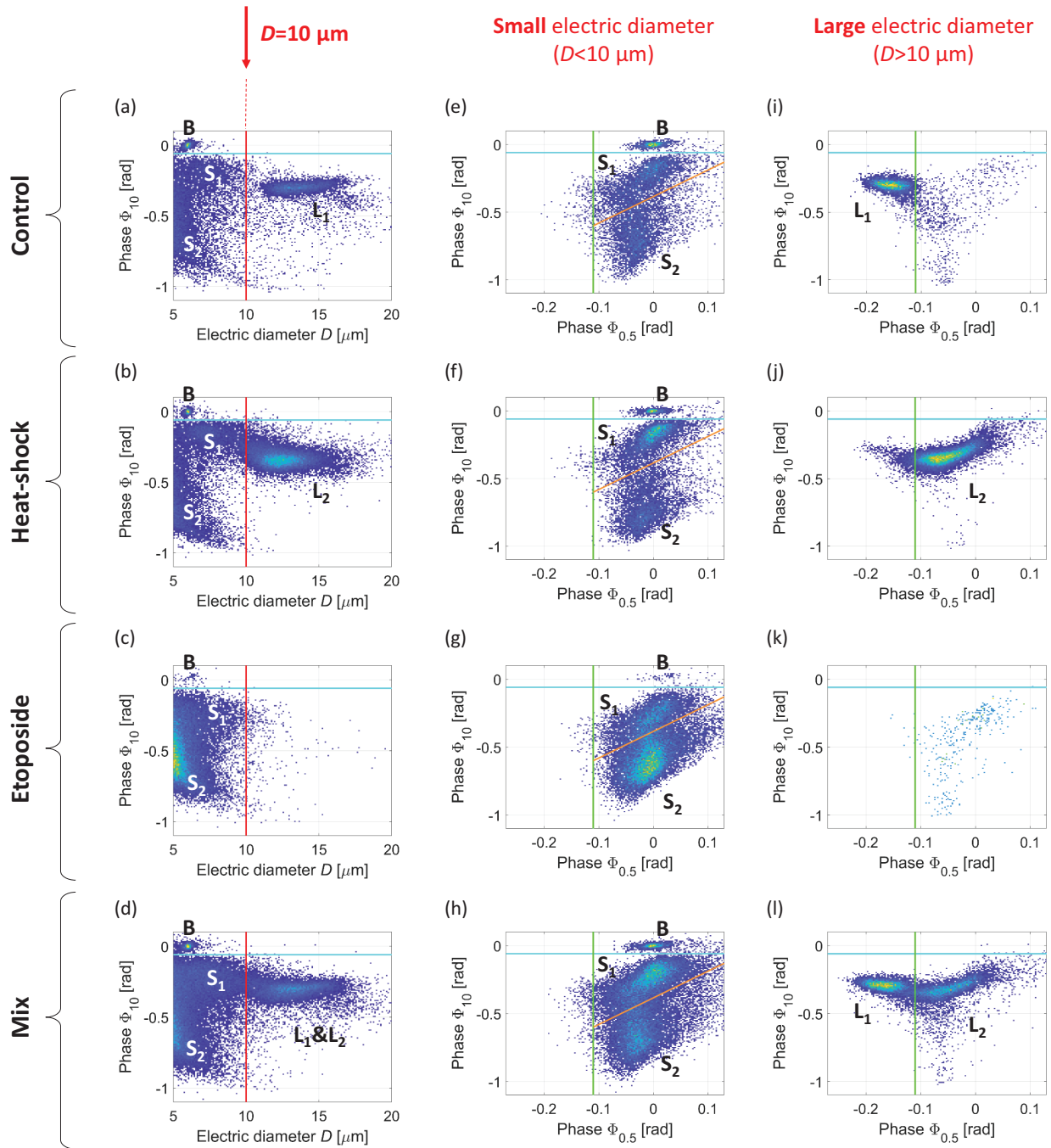


Figure 4: Impedance spectroscopy analysis results (first row, control sample; second row, heat-shock treatment; third row, etoposide treatment; fourth row, mixed sample). (a-d) Density plots of phase at 10 MHz vs. electrical diameter. (e-h) Phase at 10 MHz vs. phase at 0.5 MHz with a gating on small events ($D < 10 \mu\text{m}$). (i-l) Phase at 10 MHz vs. phase at 0.5 MHz with a gating on large events ($D > 10 \mu\text{m}$).

By using the compensation procedure described in Section 2.5, a significant mitigation of position blurring was obtained (cf. Figures S5 and S6 of the Supplementary Material).

The impedance-based signatures of the three experimental conditions (control, heat-shock treatment, and etoposide treatment) are reported in Figure 4 (rows one to three, respectively). Events with an electrical diameter D lower than $5\ \mu\text{m}$ were discarded from the analysis, in agreement with the gating used in flow cytometry acquisition. In each experimental condition, at least 15000 events were considered. The density plots of the high-frequency phase Φ_{10} against the electrical diameter D (panels a-c) reveal different event clusters spanning a wide range of cell electrical diameters. The bead population (B) is easily identified in the upper left region. All other data points exhibit a negative high-frequency phase shift (cf. horizontal cyan line). Following Petchakup et al. (2018), in order to facilitate the analysis, the events are divided into two groups based on electrical size (cf. vertical red line), i.e., events with small electrical diameter ($D < 10\ \mu\text{m}$) and events with large electrical diameter ($D \geq 10\ \mu\text{m}$). The density plots of the high-frequency phase Φ_{10} against the low-frequency phase $\Phi_{0.5}$ are shown in panels e-g and panels i-k for the events with small and large electrical diameter, respectively. Besides the beads, in all the experimental conditions two populations of small events are identified, labeled as S_1 and S_2 (cf. panels e-g; oblique orange line). Concerning the large events, one well-defined cluster is evident in panel i (control sample, cluster L_1) and in panel j (heat-shock treatment, cluster L_2). Those clusters L_1 and L_2 exhibit different values of low-frequency phase $\Phi_{0.5}$ (cf. vertical green line). None of them is found in case of etoposide treatment (panel k).

Analysis of the sample containing a mix of cells from the different preparations (Figure 4, fourth row) overlaps the results obtained by the single sample analysis. Particularly, besides the beads (B), the two clusters with small electric diameters (S_1 and S_2) and the two clusters with large electric diameter (L_1 and L_2) are found (cf. panel h and panel l, respectively). Figure 5(a) shows the localization of those clusters in a 3D scatter plot of the electrical features D , $\Phi_{0.5}$ and Φ_{10} .

A video correlating recorded impedance data with captured optical images is provided as Supplementary Material. In particular, the video shows a total of fifteen events drawn from the analysis of the mixed sample, three events for each of the five populations (B, S_1 , S_2 , L_1 , and L_2). For each event, images of the cell/particle flowing through the microfluidic chip are shown. The

impedance signals generated by the flowing cell/particle are also visualized, and the corresponding values of the electric phases $\Phi_{0.5}$ and Φ_{10} are marked in the relevant density plot. An exemplary video frame is reported in Figure S8 of the Supplementary Material.

In order to assess the reproducibility of the results, the experimental campaign was repeated four times, across a time frame of six months, using different microfluidic impedance chips. In all repetitions, the appearance and location of the clusters L_1 , L_2 , S_1 , and S_2 was confirmed. Figure 5(b)-(e) shows the centroid values of the electrical phases at low frequency ($\Phi_{0.5}$; panels b and c) and high-frequency (Φ_{10} ; panels d and e) for the different clusters. In particular, cluster L_1 of the control sample and cluster L_2 of the heat-shock sample are considered in panels b and d, whereas clusters S_1 and S_2 of the etoposide sample are considered in panels c and e. The error bar relevant to the four repetitions (mean \pm standard deviation) is also shown. Cluster L_1 of the control sample and cluster L_2 of the heat-shock sample exhibited a significantly different electric phase at low frequency ($P \leq 0.001$). Moreover, clusters S_1 and S_2 of the etoposide sample exhibited a significantly different electric phase at high frequency ($P \leq 0.001$). Other differences were not significant.

3.3. Discussion

Canonical hallmarks of apoptotic cell death include cell shrinkage, maintenance of plasma membrane integrity, nuclear fragmentation and formation of apoptotic bodies. In contrast, necrosis is characterized, among other features, by an early swelling and increased permeability with break-down of the plasma membrane integrity. Accordingly, different size and dielectric properties are expected for apoptotic, necrotic and viable cells, and this should reflect in different impedance signatures. The influence of cell geometric and dielectric properties on measured impedance signals is briefly reviewed in Section 6 of the Supplementary Material.

Herein, flow cytometry analysis and trypan blue exclusion test were used to characterize the different sample conditions and validate the results obtained by the impedance analysis. In fact, those methods confirmed the presence of DNA fragmentation in etoposide treated cells, of necrosis in heat-shock treated cells, and of mainly viable cells in control.

Viable U937 cells have a size greater than $10\ \mu\text{m}$ (Mi et al., 2003). Since the electrical diameter D is a metric of cell size (Gawad et al., 2004; Errico et al., 2017), this suggests that cluster L_1 in the control sample (Figure 4, panels a and i) corresponds to viable cells. On the

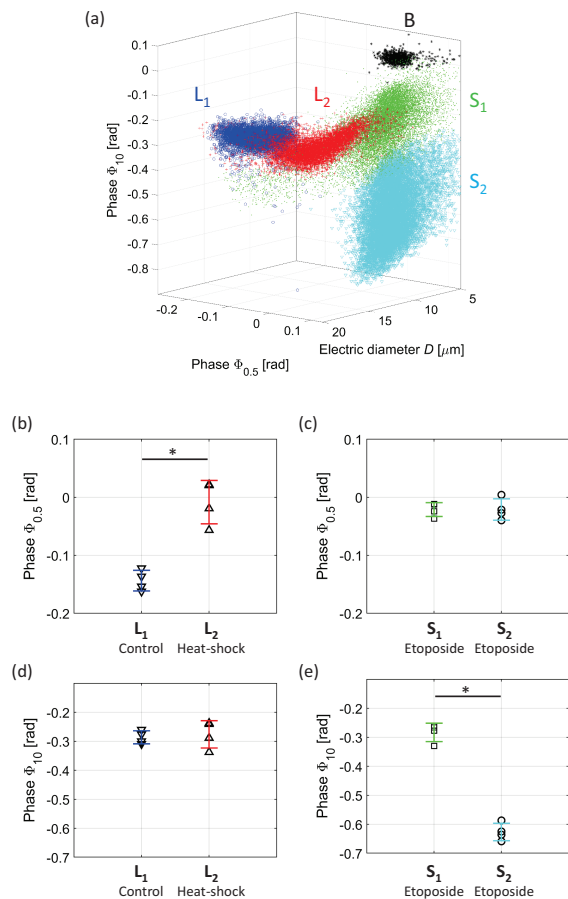


Figure 5: (a) 3D scatter plot of electrical diameter, phase at 0.5 MHz and phase at 10 MHz, relevant to the mixed sample. Data points are labeled according to the population they belong to. (b-e) Impedance-signatures across four experiment repetitions. (b) and (d) respectively report the centroid value of the phases at low and high frequency ($\Phi_{0.5}$ and Φ_{10}), for the population L_1 in the control sample, and the population L_2 in the heat-shock sample. Bars were represented as mean \pm standard deviation, * $P \leq 0.001$. (c) and (e) reports the analogous quantities for the populations S_1 and S_2 in the sample treated with etoposide.

other hand, the lack of events with large electrical diameter in the sample treated with etoposide (Figure 4, panels c and k) is in agreement with cell fragmentation into apoptotic bodies, whose dimension is smaller than that of the intact cell. This is also in agreement with the result of flow cytometry analysis for the sample treated with etoposide, where the majority of events had hypodiploid DNA (as expected for apoptotic cells) and were located in the low FSC region (Figure 2, panels c and g).

A cluster of cells with large electrical diameter (L_2) is present in the sample treated with heat shock, that is known to induce cell necrosis. This cluster exhibits a different low-frequency phase $\Phi_{0.5}$ with respect to cluster L_1 of the control sample. Since the electric phase depends on cell membrane properties (Pierzchalski et al., 2010), this suggests that cluster L_2 corresponds to cell with altered plasma membrane, most probably necrotic cells.

Events with small electrical diameter are present in all the experimental conditions, although in different proportions with respect to the events with large electrical diameter. Similarly, an amount of events with hypodiploid DNA mapping into the low FSC/SSC region is found at flow cytometry analysis in each experimental condition (Figure 2; green). Those events presumably correspond to apoptotic bodies and cellular debris. An analysis essentially based on the high-frequency phase Φ_{10} allows to distinguish two different populations, namely clusters S_1 and S_2 . Cluster S_1 exhibits both low and high frequency phase signature close to that of cluster L_2 (necrotic cells). As a perspective, tailored experimental campaigns will be devised in order to investigate the different subsets of cell-derived fragments, a topic which is gaining increasing attention in recent years (Jiang et al., 2017).

In order to further support the interpretation of the results provided by the impedance-based characterization, a simple model of the device was implemented (cf. Section 6 of the Supplementary Material). The simulated impedance spectra reported in Figure S9 show that the electric diameter D at 0.5 MHz discriminates between 6 μm diameter cells and 18 μm diameter cells. Permeabilization of the cell membrane induces about 15% reduction of electric diameter. The electric phase at 0.5 MHz, $\Phi_{0.5}$, discriminates between intact and permeabilized 18 μm diameter cells. In particular, intact [resp., permeabilized] cells exhibit negative [resp., nearly vanishing] phase shift with respect to beads. Analogously, the electric phase at 10 MHz, Φ_{10} , discriminates between intact and permeabilized 6 μm diameter cells.

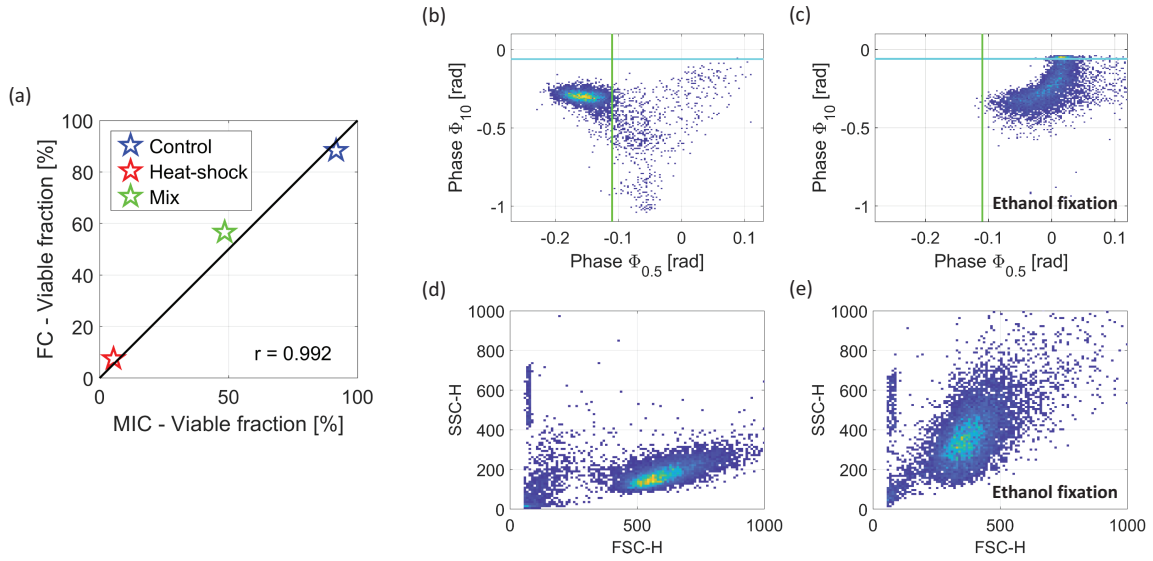


Figure 6: (a) Comparison between microfluidic impedance cytometry (MIC) and flow-cytometry (FC) analysis, in terms of the relative fraction of viable cells over large cells (i.e., viable and necrotic cells) in the control, heat-shock or mixed samples (correlation coefficient $r = 0.992$). (b-c) MIC analysis. Electrical phases of the control sample before (panel b) and after (panel c) ethanol fixation (gating $D > 10 \mu\text{m}$). (d-e) FC analysis. FSC-H and SSC-H parameters of the control sample before (panel d) and after (panel e) ethanol fixation.

Those simulation results are in agreement with the interpretation of clusters L_1 and L_2 as viable cells and necrotic cells, respectively. In fact, both clusters exhibit large electric diameter D , with L_2 slightly shifted towards lower values (cf. Figure S6(d) of the Supplementary Material). Moreover, cluster L_1 exhibits significantly lower phase $\Phi_{0.5}$ than cluster L_2 (cf. Figure S6(e) and Figure 5(b)). Finally, the analysis of the simulated impedance spectra supports the interpretation of clusters S_1 and S_2 as two subpopulations of cell debris/apoptotic bodies, possibly characterized by damaged and intact membrane, respectively. In fact, both clusters exhibit small electric diameter D , with cluster S_2 showing significantly lower phase Φ_{10} than cluster S_1 (cf. Figure 5(e)).

Figure 6(a) compares the relative fraction of viable cells provided by impedance-based or flow-cytometry analysis, in the control, heat-shock and mixed samples. A good agreement is found (correlation coefficient $r = 0.992$). As opposite to flow cytometry analysis, impedance-based analysis does not require any cell fixation and staining. Figure 6(b) and (c) compare the electrical phases ($\Phi_{0.5}$ and Φ_{10}) of the control sample before (panel b) and after (panel c) fixation with ethanol. Analogously, the morphological parameters provided by flow cytometry (FSC-H and SSC-H) before and after ethanol fixation are provided in Figure 6(d) and (e), respectively. It can be noticed that ethanol fixation sig-

nificantly alters cell morphological and dielectric properties. In particular, electrical features similar to that of the heat-shock sample are recognized (cf. also Figure S10 of the Supplementary Material).

Diverse microfluidic impedance-based devices have been proposed in the literature as promising label-free approaches for cell viability assays. Table T1 of the Supplementary Material provides an overview of systems based on simple coplanar-electrode configurations. The system presented in this work compares favourably with Gou et al. (2011), Kirkegaard et al. (2014), González-Murillo et al. (2018) in terms of throughput and discrimination capabilities, and with Xie et al. (2017) in terms of ability to deal with size-heterogeneous samples.

Possible sources of error in microfluidic impedance cytometry include: i) classification/counting errors due to coincidences (i.e., two or more particles simultaneously present in the sensing zone), which pose an upper limit to sample concentration; ii) counting errors due to cell sedimentation inside the sample syringe and tubing, which can be minimized by using high flow rates or syringe stirrers; iii) classification/counting errors due to electronic noise in the measured data streams, which are minimized by means of robust signal processing routines. Other operative issues may arise from non-specific cell adhesion to the channel walls, which is prevented by channel priming (e.g., with BSA solution), or

channel clogging, which is alleviated by means of anti-clogging pillars or sample filtering.

4. Conclusions

An impedance-based label-free analysis of viable, necrotic and apoptotic human lymphoma cells was reported. Necrosis was induced with heat-shock, whereas apoptosis was induced with etoposide. Electrical fingerprints from thousands of cells were measured and significant differences were found among untreated cells, cells treated with heat-shock, and cells treated with etoposide. Effect of ethanol fixation was also investigated. Overall, the results of the impedance-based analysis suggest that the electrical diameter at low frequency is effective for size-based discrimination between viable/necrotic cells and apoptotic bodies, whereas the electrical phase at low frequency is effective to discriminate between viable and necrotic cells based on intact or damaged plasma membrane.

Compared to other microfluidic impedance-based approaches, the proposed approach enjoys increased accuracy, thanks to mitigation of position-induced blurring, high-throughput and simplicity of the design (coplanar electrodes, no cell focusing mechanism needed). Accordingly, the system has the potential for integration into a compact low-cost device for cell viability assessment. Compared to flow cytometry analysis, impedance spectroscopy has the key advantage of minimal sample preparation (neither cell fixation nor fluorescent labeling are required). Moreover, its non-invasive nature is an asset when analysing cells intended for subsequent use (e.g., in cell therapy applications).

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Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.xxx>.

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