# Simulation and performance analysis of a novel high-accuracy sheathless microfluidic impedance cytometer with coplanar electrode layout

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# Abstract

The performance of a novel microfluidic impedance cytometer (MIC) with 1 coplanar configuration is investigated in-silico. The main feature of the de-2 vice is the ability to provide accurate particle-sizing despite the well-known 3 measurement sensitivity to particle trajectory. The working principle of the 4 device is presented and validated by means of an original virtual laboratory 5 providing close-to-experimental synthetic data streams. It is shown that a 6 metric correlating with particle trajectory can be extracted from the signal 7 traces and used to compensate the trajectory-induced error in the estimated 8 particle size, thus reaching high-accuracy. An analysis of relevant parameters g of the experimental setup is also presented. 10

*Keywords:* microfluidic impedance cytometry, coplanar electrodes, particle sizing, modeling and simulation

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#### 11 **1. Introduction**

In medicine, life science and quality control there is a pressing need to 12 develop simple yet accurate tools for single-cell analysis, which is the new 13 frontier in omics [1]. Electrical phenotyping offers a non-invasive method for 14 the analysis and characterization of particles and cells on the basis of di-15 electric properties [2]. Besides conventional techniques like dielectrophoresis 16 and electrorotation (e.g., [3, 4, 5, 6]), the advent of microfluidic technology 17 enabled the development of high-throughput microfluidic impedance cytome-18 ters (MICs). Typically, the core of a MIC is a microfluidic chip consisting 19 of a microchannel equipped with microelectrodes and filled with a conduc-20 tive buffer. An AC voltage is applied to a pair of electrodes, which causes 21 an electric current to flow between them. Upon passage of a cell between 22 the electrodes a current change is measured, providing information on cell 23 size, membrane and intracellular space, according to the frequency of the 24 stimulation voltage [7]. This technology has applications in basic research, 25 diagnostics, or non-invasively probing cell function at the single-cell level (see 26 e.g. the reviews [8, 9] and the references therein). 27

Two main chip designs have been considered in the literature [2]: ei-28 ther chips with electrodes embedded on one side of the channel (coplanar 29 electrodes), or chips with electrodes embedded in facing sides (parallel elec-30 trodes). Vertical 3D-electrodes have also been proposed (e.g., [10, 11]). Chips 31 with coplanar configuration are especially attractive, because coplanar elec-32 trodes can be easily patterned yielding miniaturized, reproducible, and ul-33 timately low-cost devices [12, 13, 14, 15, 16]. However, their accuracy is 34 challenged by the dependence of the measured signal on particle trajectory 35

within the interrogation volume [17, 18], that manifests itself as an error in
the estimated particle size ("electrical" diameter), unless any kind of focusing
system is used.

The aim of this work is to analyze in-silico a new, easy-to-realize MIC able to provide high-accuracy size estimation without the need for focusing [19]. To this end, synthetic data streams closely mimicking experimental data streams have been generated and processed, by means of an original and versatile virtual laboratory.

The device under evaluation uses a chip with coplanar electrodes, and 44 its operation mode is conceived such that a peculiar electric field distribu-45 tion is generated within the sensing region. As a consequence, the signal 46 trace recorded upon the passage of a particle exhibits a characteristic shape, 47 whence a new metric can be extracted correlating with particle trajectory 48 height. It is proved in simulation that this metric can be used to compensate 49 for the spurious spread in the measured electrical diameter associated with 50 trajectory height, thus achieving high accuracy. 51

The paper is organized as follows. In Section 2 the novel MIC is described 52 and the new metric is introduced. Its relationship with particle trajectory 53 height and electrical diameter is investigated in Section 3 by means of a 54 finite element simulation campaign. As a result, a simple strategy to correct 55 the electrical diameter is derived. A parametric analysis with respect to the 56 relevant parameters of the experimental setup is also presented. Finally, a 57 virtual particle-sizing experiment involving dielectric spherical beads with 58 diameter of 5, 6 and 7  $\mu$ m is carried on in Section 4 under different noise 59 levels, showing the effectiveness and soundness of the proposed methodology. 60

For the sake of completeness, finite element model equations are reported in Appendix A. Dimensionless equations, elucidating the role of model parameters, are also provided. The relationship among particle velocity, electrical diameter, and particle trajectory within the channel is investigated in Appendix B.

### <sup>66</sup> 2. Coplanar electrode high-accuracy microfluidic impedance chip

A schematic representation of the microfluidic chip considered in this 67 work is depicted in Figure 1(a). It consists of a microfluidic channel (40  $\mu$ m 68 wide, 21.5  $\mu$ m height), with five electrodes deposited on its floor (30  $\mu$ m 69 electrode width, 10  $\mu$ m spacing). A Cartesian reference frame is introduced, 70 with the x, y and z-axis parallel to the channel width, height and longitu-71 dinal axis, respectively. The device is operated as follows (Figure 1(b)): a 72 conductive buffer fills the channel, an AC voltage signal is applied to the 73 central electrode, and the difference in electric current flowing through the 74 lateral electrodes is measured,  $I_{\text{Diff}}$ . Intermediate electrodes are left floating. 75 This wiring results in a non-homogeneous electric field distribution along the 76 channel axis (z-direction), characterized by four regions of high field intensity 77 and weak-field regions in between (Figure 1(b)). 78

<sup>79</sup> When no particle is present in the sensing region, the differential current <sup>80</sup>  $I_{\text{Diff}}$  ideally vanishes by symmetry. Upon the passage of a particle, the in-<sup>81</sup> duced electric field perturbation produces a variation of  $I_{\text{Diff}}$ . Figure 1(c) <sup>82</sup> shows the traces (real part) obtained in simulation when a dielectric bead <sup>83</sup> with diameter of 5  $\mu$ m (curve 1), 6  $\mu$ m (curve 2), or 7  $\mu$ m (curve 3) travels <sup>84</sup> through the middle of the channel (see Appendix A for the details of the nu-



Figure 1: Original coplanar five-electrode MIC. (a) Schematic representation of the microfluidic chip. (b) Operation mode: AC excitation signals are applied to the central electrode, and the difference in current flowing through the lateral electrodes is measured using a differential amplifier; intermediate electrodes are floating. Current lines and electric field magnitude distribution are pictured. (c) Differential signals (real part) recorded when a dielectric bead with diameter of 5  $\mu$ m (curve 1), 6  $\mu$ m (curve 2), or 7  $\mu$ m (curve 3) travels through the middle of the channel. (d) Differential signals (real part) recorded when a dielectric bead with diameter of 6  $\mu$ m travels through the sensing region at three different heights: close to the top of the channel (curve 1), through the middle of the channel (curve 2) or close to the electrodes (curve 3).



Figure 2: Bipolar double-Gaussian template used as event fitting function. The definition of relative prominence P is also shown.

merical model). A bipolar double-Gaussian profile is observed, whose peaks
correspond to higher-field regions along the z-direction. This profile is well
captured by the following template (Figure 2):

$$\overline{s}(z) = a \left[ \overline{g}(z - z_c + \overline{\delta}/2) - \overline{g}(z - z_c - \overline{\delta}/2) \right], \qquad (1)$$

89 with:

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$$\overline{g}(z) = e^{-(z-\overline{\gamma}/2)^2/(2\overline{\sigma}^2)} + e^{-(z+\overline{\gamma}/2)^2/(2\overline{\sigma}^2)}.$$
(2)

<sup>91</sup> Here,  $z_c$  is the z-coordinate of the center of the sensing region,  $z_c = 175 \ \mu \text{m}$ ; <sup>92</sup>  $\overline{\delta} \approx L$ , where  $L = 80 \ \mu \text{m}$  is twice the electrode pitch (Figure 1(b)); and  $\overline{\sigma}$ , <sup>93</sup>  $\overline{\gamma}$ , a respectively represent control parameters for peak width, peak distance <sup>94</sup> in each double Gaussian, peak amplitude.

Peak amplitude is proportional to particle volume [8], hence the cube root
of a can be used to estimate particle diameter:

$$D = Ga^{1/3}, (3)$$

where G is a proportionality factor depending on device geometric and dielectric properties. Accordingly, D is referred to as electrical diameter.

However, the electric field intensity decreases away from electrodes in 100 the height direction (Figure 1(b)). As a consequence, peak amplitude also 101 depends on particle trajectory height, i.e. y-coordinate. Figure 1(d) shows 102 the simulated traces relevant to a dielectric bead with diameter of 6  $\mu$ m 103 traveling near the top of the channel (curve 1), through the middle of the 104 channel (curve 2), or close to the electrodes (curve 3). Comparing these 105 simulation results with those in Figure 1(c) it is evident that, by looking 106 only at peak amplitude, a 6  $\mu$ m diameter bead flowing close to the electrodes 10 [respectively, near the top of the channel] is hardly distinguishable from a 108 7  $\mu$ m [respectively, 5  $\mu$ m] diameter bead passing through the middle of the 109 channel. 110

On the other hand, the richness of the information contained in the mea-111 sured signals can be exploited to decouple the effect of particle size and 112 particle trajectory height. As shown by the simulated traces in Figure 1(d), 113 the prominence of the two peaks of the double-Gaussian profile with respect 114 to the saddle in between is higher for particles traveling close to the elec-115 trodes (curve 3) than for particles traveling away from the electrodes (curve 116 1). Because the signal amplitude also depends on particle size, the following 117 normalized metric, referred to as relative prominence, is introduced (Fig-118 ure 2): 119

$$P = \frac{M - m}{M}, \qquad (4)$$

where m and M essentially correspond to signal amplitude at saddle and

122 peaks, respectively, i.e.:

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$$m = \overline{s}(z_c - \overline{\delta}/2), \quad M = (M_{\text{left}} + M_{\text{right}})/2,$$

$$M_{\text{left}} = \overline{s}(z_c - \overline{\delta}/2 - \overline{\gamma}/2), \quad M_{\text{right}} = \overline{s}(z_c - \overline{\delta}/2 + \overline{\gamma}/2). \quad (5)$$

<sup>127</sup> Simple calculus yields the following approximate expression of the relative<sup>128</sup> prominence:

$$P = 1 - 2 e^{-\overline{\gamma}^2 / (8\overline{\sigma}^2)} \,. \tag{6}$$

As demonstrated in Section 3, the relative prominence correlates with the height of the particle trajectory: the higher the former, the lower the latter. This metric can therefore be used to correct the electrical particle diameter by means of a simple compensation formula, thus yielding high accuracy in size estimation.

## <sup>135</sup> 3. In silico proof of principle

In order to elucidate the relationship among electrical diameter D, par-136 ticle trajectory height y, and relative prominence P, a numerical campaign 137 was performed. Dielectric spherical beads with diameter of 6  $\mu$ m were consid-138 ered. Dielectric beads mimic cell behaviour at frequencies below the Maxwell-139 Wagner relaxation (" $\beta$ -relaxation", 1–100 MHz) arising from the polarization 140 of the cell membranes [20]. Thirteen equally spaced trajectory heights were 141 simulated, allowing a 1.5  $\mu$ m gap from the microchannel top and bottom 142 walls. Particles were centered along the x-axis.<sup>1</sup> Parameter values adopted 143

<sup>&</sup>lt;sup>1</sup>Particle trajectories differing only for their x-coordinate provide nearly identical signals as a function of the z-coordinate, because the electric field is homogeneous along the x-axis.



Figure 3: Simulation results relevant to a 6  $\mu$ m diameter bead traveling through trajectories with thirteen different heights. Reference model parameter values (blue pentagrams) as well as  $\pm 50\%$  variations (refer to legend) are considered. (a) Particle trajectory height y vs electrical diameter D normalized by nominal bead diameter d. (b) Particle trajectory height y vs relative prominence P. (c) Relative prominence P vs normalized electrical diameter D/d, (d) fitted with quadratic or hyperbolic model equations (reference model parameter values).

in the finite-element simulations are reported in Appendix A. Variations of  $\pm 50\%$  with respect to the reference values were also considered for the conductivity of the fluid buffer  $\sigma_{\rm b}$ , the electrode double-layer capacitance  $C_{\rm e}$ , and the stimulation (circular) frequency  $\omega$ .

Figure 3(a) shows particle trajectory height y versus electrical diameter D148 normalized by particle diameter d. Considering e.g. the reference parameter 149 set (blue pentagrams), it turns out that D/d varies of about 30% depend-150 ing on y, thus revealing the positional dependence issue. However, a strong 151 correlation between particle trajectory height y and relative prominence P is 152 observed in Figure 3(b), suggesting that the latter can be a suitable metric 153 to estimate the former. By combining the curves in Figure 3(a) and (b), a 154 relationship between relative prominence P and normalized electrical diam-155 eter D/d is obtained (Figure 3(c)). This relationship can be conveniently 156 described, e.g., by a quadratic or hyperbolic function (Figure 3(d)), with 157 model equation: 158

$$D/d = c_1 + c_2 P + c_3 P^2 \,, \tag{7}$$

160 Or

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$$D/d = \tilde{c}_1 + \tilde{c}_2/(P - \tilde{c}_3),$$
 (8)

where  $c_1, c_2, c_3$  (or  $\tilde{c}_1, \tilde{c}_2, \tilde{c}_3$ ) are fitting parameters. Accordingly, an accurate estimate of the particle diameter d can be derived by respectively correcting the electrical diameter D as follows:

$$D-\text{corr} = \frac{D}{c_1 + c_2 P + c_3 P^2},$$
(9)

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$$D$$
-corr =  $\frac{D}{\tilde{c}_1 + \tilde{c}_2/(P - \tilde{c}_3)}$ . (10)

The values of the fitting parameters depend on the experimental setup, and can be obtained experimentally by means of calibration with particles of known size. As a matter of fact, the relationship among electrical diameter D, particle trajectory height y, and relative prominence P is mainly influenced by the following dimensionless parameter (see Appendix A):

$$\alpha = \frac{\omega C_{\rm e} l}{\sigma_{\rm b}}, \qquad (11)$$

where l is a characteristic length of the chip. As shown in Figure 3(a), the 174 spread of the electrical diameter D associated with trajectory height becomes 17 more severe as a consequence of an increase in  $\alpha$ , that in turn may depend 176 on a decrease in  $\sigma_{\rm b}$  (green plus), or an increase in  $C_{\rm e}$  (green stars) or  $\omega$ 177 (green triangles). On the other hand, the spread of the electrical diameter 178 is mitigated by the opposite parameter variations (red curves). Moreover, 179 Figure 3(b) shows that the relative prominence P increases with  $\alpha$  for any 180 fixed trajectory height y. This trend is reflected in the location and shape 181 of the curve relating the relative prominence P and the normalized electri-182 cal diameter D/d (Figure 3(c)). That curve turns out to be best fitted by 183 the quadratic [respectively, hyperbolic] model equation (7) [respectively, (8)] 184 for lower [respectively, higher] values of  $\alpha$ , thus implying the compensation 185 procedure in equation (9) [respectively, (10)]. 186

# <sup>187</sup> 4. A virtual case study: size estimation of 5, 6 and 7 $\mu$ m diameter <sup>188</sup> beads.

In order to test the performance of the proposed compensation procedure, the size estimation of 5, 6 and 7  $\mu$ m diameter beads has been addressed in a

Table 1: Parameter values used in the generation of the synthetic data stream  $S_{mix}$ 

$N_{\rm p}$	$d_{1-3}~[\mu{ m m}]$	$\mathrm{CV}_{1\!-\!3}[\%]$	$\rho_{1\!-\!3} \; [\#/\mu \mathrm{l}]$	$\phi~[\mu l/min]$	n	BW [kHz]	$f_s$ [ksps]	$\sigma_{\rm N} ~[{\rm nA}]$
3	5, 6, 7	2.5, 1, 1	$10^{3}/3$	10	4	20	115	130

virtual laboratory. To this aim, a synthetic data stream has been generated
and subsequently processed, as described in the following.

193 4.1. Synthetic data stream generation

The data stream, denoted by  $S_{\text{mix}}$ , is relevant to a mixture of  $N_{\text{p}}$  populations of dielectric spherical beads suspended in a conductive buffer at respective concentrations  $\rho_1, \ldots, \rho_{N_{\text{p}}}$ , pumped through the device at a flow rate  $\phi$ . Population nominal diameters are  $d_1, \ldots, d_{N_{\text{p}}}$ , with coefficient of variations  $\text{CV}_1, \ldots, \text{CV}_{N_{\text{p}}}$ , respectively.

<sup>199</sup> A number  $N_{\rm e}$  of events (i.e, passage of a particle in the sensing region) <sup>200</sup> has been generated. The typical event e is characterized by the following <sup>201</sup> quantities:

•  $p_e \in \{1, ..., N_p\}$ : population index, denoting the population the event belongs to, drawn from the finite sample space  $\{1, ..., N_p\}$  with the probabilities  $\rho_1/\rho, ..., \rho_{N_p}/\rho$ , where  $\rho = \sum_{p=1}^{N_p} \rho_p$  is the total particle concentration;

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- $d_e$ : particle diameter, drawn from the Gaussian distribution with mean  $d_{p_e}$  and standard deviation  $\sigma_{p_e} = CV_{p_e} d_{p_e}$ ;
- $(x_e, y_e)$ : (x, y)-coordinates of the particle trajectory in the channel cross section, drawn from a uniform distribution in the available cross section



Figure 4: (a) Portion of the synthetic data stream  $S_{mix}$ , relevant to a mixture of 5, 6 and 7  $\mu$ m beads. (b)-(d) Exemplary events (blue curves) taken from data stream  $S_6$ , generated by 6  $\mu$ m diameter beads traveling (b) close to the top of the microchannel, (c) through the middle of the microchannel, and (d) close to the electrodes. Fitting templates are also shown (red curves).

region (a 1.5  $\mu$ m gap between particle boundary and channel walls has been assumed);<sup>2</sup>

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•  $v_e$ : particle velocity, determined as a function of  $(x_e, y_e)$  assuming laminar flow [22] (in fact, Reynolds number is typically in the order of units);

•  $t_e$ : particle entrance time (i.e., time instant the particle center passes through the entrance cross-section). Occurrence of particles was assumed to be a Poisson process [23]. Accordingly, particle inter-arrival times  $\Delta t_e$  were drawn from an exponential distribution with rate parameter  $\lambda = \phi \rho$ .

From the experimental point of view, the signal trace S(t), measuring the differential current  $I_{\text{Diff}}$ , is recorded as function of time t. Excluding situations of very high particle concentration, particles do not electrically interact with each other. Accordingly, S(t) can be obtained by adding the contributions of the events with entrance time  $t_e \leq t$ :

$$S(t) = \sum_{\{e:t \ge t_e\}} S_{p_e}(x_e, y_e, z_e(t)) \left(\frac{d_e}{d_{p_e}}\right)^3.$$
(12)

Because particles essentially experience uniform linear motion in the microchannel, at least over distances of the oder of the sensing region length, the law of motion  $z_e(t) = v_e(t-t_e)$  can be assumed. The function  $S_{p_e}(x_e, y_e, z_e(t))$ 

<sup>&</sup>lt;sup>2</sup>Some amount of hydrodynamic focusing may be present [21]. However, it is immaterial for the present purpose, so it is neglected here. On the other hand, it could be easily accounted for by introducing an appropriate nonuniform distribution of  $(x_e, y_e)$  in the channel cross section.

is independent of  $x_e$ , because the electric field is homogeneous along the xaxis. Its value at  $(y_e, z_e(t))$  is obtained by means of 2D interpolation of a repository of pre-computed values over a regular grid of (y, z) locations, for each nominal population diameter (see e.g., Figure 1(c)-(d)). Finally, the factor  $(d_e/d_{p_e})^3$  in equation (12) accounts for the actual particle diameter, which is normally distributed around the nominal population diameter.

Additive white noise with standard deviation  $\sigma_{\rm N}$  was added to the data stream. A filter consisting of *n* first-order filtering steps was implemented, with resulting filter bandwith *BW*. A sampling frequency  $f_s$  was assumed.

The parameter values used in the generation of the synthetic data stream  $S_{\text{mix}}$ , comprising 54000 events, are reported in Table 1. Those values are typical of experimental settings (e.g., [24]). A  $\pm 50\%$  variation of the noise level was also considered while testing the method (Section 4.3).

Following an analogous procedure, three additional data streams,  $S_5$ ,  $S_6$ , and  $S_7$ , relevant to single populations of dielectric spherical beads with diameter respectively of 5, 6 and 7  $\mu$ m, were also built (comprising 18000 events each).

Figure 4 shows one second of the syntectic data stream  $S_{\text{mix}}$ , along with the zoom of three exemplary events taken from  $S_6$ .

#### 248 4.2. Data stream processing

The synthetic data streams were processed with an in-house software toolbox. First, event detection in the data stream was performed using the algorithm described in [25]. With the present flow rate and sample concentration, a theoretical throughput of 166 events per second was computed. A throughput of about 130 events per second was obtained, because the <sup>254</sup> segmentation algorithm rejects coincidences.

For each detected event, template fitting and feature extraction were carried on as follows. The counterpart in time, s(t), of the bipolar double-Gaussian template  $\overline{s}(z)$  introduced in equation (1) was used:

$$s(t) = a \left[ g(t - t_c + \delta/2) - g(t - t_c - \delta/2) \right],$$
(13)

259 with:

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$$g(t) = e^{-(t-\gamma/2)^2/(2\sigma^2)} + e^{-(t+\gamma/2)^2/(2\sigma^2)}.$$
 (14)

This template depends on five parameters: central time moment,  $t_c$ ; transit 261 time,  $\delta$ ; peak width control,  $\sigma$ ; peak distance control,  $\gamma$ , and peak ampli-262 tude control, a. Parameters  $\delta$ ,  $\sigma$ , and  $\gamma$  are related to their space-domain 263 counterparts respectively by  $\delta = \overline{\delta}/v_e$ ,  $\sigma = \overline{\sigma}/v_e$ , and  $\gamma = \overline{\gamma}/v_e$ . The fit-264 ting parameters  $a, \gamma$ , and  $\sigma$  were used to compute the electrical diameter D 265 and the relative prominence P, respectively from equations (3) and (6). In 266 turn, the corrected electrical diameter D-corr was obtained from D and P26 by means of equation (10) or (9). 268

The particle velocity  $v_e$  should be considered unknown from the experimental point of view. However, recalling that  $\overline{\delta} \approx L$ , it can be estimated by the transit time  $\delta$  [26, 24]:

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$$v_e = \overline{\delta}/\delta \approx L/\delta = V. \tag{15}$$

The estimate V is referred to as "electrical" velocity, and is compared to the "true" velocity  $v_e$  in Appendix B.

#### 275 4.3. Particle-sizing results

Figure 5(a)-(c) show density plots of the relative prominence P versus the electrical diameter D, respectively for 5, 6 and 7  $\mu$ m diameter beads



Figure 5: (a)-(c) Density plot of populations of dielectric spherical beads of different sizes, with the relative prominence P plotted against the electrical diameter D. (a) 5  $\mu$ m diameter beads ( $S_5$ ), (b) 6  $\mu$ m diameter beads ( $S_6$ ), (c) 7  $\mu$ m diameter beads ( $S_7$ ). (d) Density plot of the relative prominence P against the electrical diameter D normalized by the nominal bead diameter d. The density plots relevant to the three individual populations of beads ( $S_5$ ,  $S_6$ , and  $S_7$ ) are plotted together and overlap. The quadratic fit  $D/d = c_1 + c_2P + c_3P^2$  is shown as red line (fit parameters reported in Table 2, last row).



Figure 6: Histogram of the electrical diameter of (a) individual populations of 5, 6 and 7  $\mu$ m diameter beads ( $S_5$ ,  $S_6$ , and  $S_7$ ) and (b) the mixed sample ( $S_{mix}$ ), showing significant spread and asymmetry. After compensation (d)-(e), almost perfect Gaussian distributions are found. (c) and (f) show density plots of particle velocity vs electrical diameter for the mixture of beads (c) before and (f) after correction. In (f) each population of beads has the same electrical diameter regardless of velocity and therefore trajectory position through the channel.

$d~[\mu {\rm m}]$	$c_1$	$c_2$	$c_3$
5.0	0.99	0.026	0.35
6.0	0.99	0.031	0.34
7.0	0.99	0.023	0.35
all	0.99	0.028	0.35

Table 2: Parameters of quadratic model equation  $D/d = c_1 + c_2P + c_3P^2$  used to fit data plotted in Figure 5(d) (individual bead populations or whole ensemble).

Table 3: CV of the corrected diameters under different noise level.

$d \; [\mu \mathrm{m}]$	CV-theoretical	CV-estimated			
		noise level			
		-50%	ref	+50%	
5.0	2.5%	2.8%	2.9%	3.1%	
6.0	1.0%	1.2%	1.3%	1.5%	
7.0	1.0%	1.1%	1.2%	1.3%	

(i.e.,  $S_5$ ,  $S_6$ , and  $S_7$ ). A common trend is observed for the three populations. 278 Figure 5(d) collects the density plots of P against the electrical diameter D279 normalized by the nominal diameter d, for the three populations of beads 280 (i.e.,  $\mathcal{S}_5$ ,  $\mathcal{S}_6$ , and  $\mathcal{S}_7$ , plotted in the same graph). Because the measured 28 signal is proportional to particle volume, these density plots overlap. The 282 data is fitted to the quadratic function introduced in equation (7). For each 283 population, parameter values  $c_1$ ,  $c_2$ , and  $c_3$  are reported in Table 2, along 284 with the values obtained by considering all the populations together. 28

Figure 6(a) and (b) show histograms of the electrical diameter D of (a)286 individual particle populations (i.e.,  $S_5$ ,  $S_6$ , and  $S_7$ ) and (b) mixed sample 28  $\mathcal{S}_{\text{mix}}$ . As expected [14], the distribution has a significant spread and asym-288 metry, due to the positional dependence issue. The compensation procedure 289 introduced in equation (9) was then implemented, using  $c_1$ ,  $c_2$  and  $c_3$  reported 290 in the last row of Table 2. The corrected diameters are plotted in Figure 6(d)29 and (e) showing an almost perfect Gaussian distribution. Fitting a Gaussian 292 allows the CVs to be calculated as follows (Figure 6(e)): 2.9%, 1.3%, and 293 1.2%, for the 5, 6 and 7  $\mu$ m diameter beads respectively. These values are 294 quite close to the theoretical values of 2.5%, 1.0%, and 1.0%. The CVs ob-295 tained in case of reduced or augmented noise level are reported in Table 3, 296 showing good algorithm performance also with reduced signal-to-noise ratio. 29 The submicron resolution in particle size estimation demonstrated in Fig-298 ure 6(e) enables accurate size-based particle discrimination, which has signif-290 icant applications in medicine and life sciences, e.g. to discriminate between 300

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Figure 6(c) shows density plots of electrical velocity versus electrical di-

cell types, or to investigate cell growth, activation and cell-cycle progression.

ameter for the mixture of dielectric spherical beads ( $S_{mix}$ ). An insight on the peculiar shape drawn by the data of each bead population, often reported in the literature, is provided in Appendix B. The corrected data are reported in Figure 6(f), demonstrating that all particles of a given size range have the same corrected electrical diameter irrespective of their velocity, which in turn is related to trajectory through the channel.

# 309 5. Conclusions

Numerical modeling is a powerful tool for the design and optimization of lab-on-chip devices (e.g, [27, 28, 29, 30]), and has been extensively used in impedance cytometry (e.g., [17, 31, 15, 32]). In this work, an original virtual laboratory has been presented, enabling the generation of data streams closely mimicking experimental traces, and easily adaptable to different designs of microfluidic impedance chips.

The virtual laboratory has been exploited to demonstrate the working principle and the performance of a novel microfluidic impedance cytometer enabling high-accuracy size-estimation. The results of the numerical campaigns proved the soundness and robustness of the proposed particle-sizing approach, which has potential applications in high-impact fields like environmental monitoring, food quality control, and point-of-care diagnostics.

# 322 Conflict of interest

323 None declared.

Table A.4: Reference parameter values used in the simulations

$\omega~({\rm rad/s})$	$C_{\rm e}~({\rm mF}/{\rm m}^2)$	$\sigma_{\rm b}~({\rm S/m})$	$\varepsilon_{\rm b}/\varepsilon_{\rm v}$	$\sigma_{\rm p}~({\rm S/m})$	$\varepsilon_{\rm p}/\varepsilon_{\rm v}$
$2\pi \times 10^6$	33	1.1	80	$6.6  imes 10^{-4}$	2.5

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### 328 Ethical approval

Not required.

# 330 Appendix A. Finite element model equations

Model equations have been described elsewhere (e.g., [33, 31]), and are 331 summarized here for the sake of completeness. The device is modeled as the 332 union of two homogeneous conducting regions  $\Omega_p$  and  $\Omega_b$ , representing the 333 particle and the fluid buffer, respectively. Their complex conductivities  $\sigma_{\rm p}^*$ 334 and  $\sigma_{\mathbf{b}}^*$  are given by  $\sigma_k^* = \sigma_k + i\omega \varepsilon_k \varepsilon_v, k \in \{\mathbf{p}, \mathbf{b}\}$ , where  $\varepsilon_v$  is the permittivity 335 of free space, and  $\sigma_k$  and  $\varepsilon_k$  are the conductivity and relative permittivity 336 of the media, respectively;  $\omega$  denotes the circular frequency, and i is the 337 imaginary unit. Continuity of electric potential and of normal current flux 338 density is enforced at the particle surface  $\Gamma$ . The boundary of the domain 339 is divided into an insulating part  $(\partial \Omega_{ne})$ , and a part covered by electrodes 340  $(\partial \Omega_{\rm e}).$ 341

<sup>342</sup> In the Fourier domain, the electrical problem is stated as follows:

$$-\operatorname{div}(\sigma^* \nabla \Psi) = 0, \qquad \text{ in } \Omega_{\mathbf{p}} \cup \Omega_{\mathbf{b}}; \qquad (A.1)$$

$$[\![\sigma^* \nabla \Psi \cdot \boldsymbol{n}]\!] = 0, \qquad \text{on } \Gamma; \qquad (A.2)$$

$$[\![\Psi]\!] = 0, \qquad \text{on } \Gamma, \qquad (A.3)$$

where  $\Psi$  is the electric potential phasor,  $\sigma^* = \sigma_k^*$  in  $\Omega_k$ ,  $k \in \{p, b\}$ , div and  $\nabla$ respectively denote the divergence and gradient operators,  $[\cdot]$  is the jump of the enclosed quantity across  $\Gamma$ , and  $\boldsymbol{n}$  denotes the outer unit normal vector. An insulating boundary condition is applied on the boundaries not covered by electrodes

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$$\sigma_{\rm b}^* \nabla \Psi \cdot \boldsymbol{n} = 0, \qquad \text{on } \partial \Omega_{\rm ne}.$$
 (A.4)

<sup>352</sup> On the *i*-th electrode  $(\partial \Omega_{e_i})$ , the following electrode equation holds

$$Y_{\rm e}(\Psi_i - \Psi) = \sigma_{\rm b}^* \nabla \Psi \cdot \boldsymbol{n}, \qquad \text{on } \partial \Omega_{\rm e_i}, \qquad (A.5)$$

where  $Y_{\rm e} = G_{\rm e} + i\omega C_{\rm e}$  is the double-layer admittance per unit area, expressed in terms of conductance  $G_{\rm e}$  and capacitance  $C_{\rm e}$  per unit area, and  $\Psi_i$  is the electrode potential. The inward current through electrode *i* is given by

$$I_{i} = \int_{\partial \Omega_{\mathbf{e}_{i}}} \sigma_{\mathbf{b}}^{*} \nabla \Psi \cdot \boldsymbol{n} \, \mathrm{d}A, \qquad \text{on } \partial \Omega_{\mathbf{e}_{i}}. \tag{A.6}$$

For a floating electrode, the relevant potential  $\Psi_i$  is unknown and the constraint  $I_i = 0$  is enforced.

Reference parameter values used in the simulations are relevant to the experimental setup described in the companion experimental paper [19] and are reported in Table A.4. An electric potential of 4 V was applied to the central electrode (Figure 1(a)-(b)). Quadratic Lagrangian tetrahedral elements were used to interpolate the electric potential  $\Psi$ . The typical mesh involved about 100,000 tetrahedral elements and 150,000 degrees of freedom. The computational time required for the computation of the differential current  $I_{\text{Diff}}$  for one z-position was about 30 s on an Intel(R) Xeon(R) CPU E5-2660 v3 @ 2.60 GHz processor with 128 GB RAM.

In order to obtain dimensionless counterparts of equations (A.1)–(A.5), a characteristic length l of the chip (e.g., the electrode pitch), and a characteristic potential value  $\Psi_o$  are introduced. Accordingly, dimensionless Cartesian coordinates ( $\overline{x}, \overline{y}, \overline{z}$ ) and electric potential  $\overline{\Psi}$  are defined, respectively given by

$$\overline{x} = x/l, \quad \overline{y} = y/l, \quad \overline{z} = z/l, \quad \overline{\Psi} = \Psi/\Psi_o.$$
 (A.7)

<sup>376</sup> Hence, equations (A.1)–(A.5) are transformed into:

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$$-\operatorname{div}(\nabla\overline{\Psi}) = 0, \qquad \text{in } \overline{\Omega}_{\rm p} \cup \overline{\Omega}_{\rm b}; \qquad (A.8)$$

$$\nabla \overline{\Psi} \cdot \boldsymbol{n} \big|_{\mathrm{b}} = \beta^* \nabla \overline{\Psi} \cdot \boldsymbol{n} \big|_{\mathrm{p}}, \qquad \text{on } \overline{\Gamma}; \qquad (A.9)$$

$$[\![\Psi]\!] = 0, \qquad \text{on } \Gamma, \qquad (A.10)$$

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$$\nabla \Psi \cdot \boldsymbol{n} = 0, \qquad \text{on } \partial \overline{\Omega}_{\text{ne}}; \qquad (A.11)$$

$$\alpha^*(\overline{\Psi}_i - \overline{\Psi}) = \nabla \overline{\Psi} \cdot \boldsymbol{n}, \qquad \text{on } \partial \overline{\Omega}_{\mathbf{e}_i}.$$
 (A.12)

Here div and  $\nabla$  respectively denote the divergence and gradient operators with respect to  $(\overline{x}, \overline{y}, \overline{z})$ , and are computed on the scaled domains (denoted with an overbar). Moreover, the following dimensionless parameters are introduced:

$$\alpha^* = \frac{lY_{\rm e}}{\sigma_{\rm b}^*}, \qquad \beta^* = \frac{\sigma_{\rm p}^*}{\sigma_{\rm b}^*}. \tag{A.13}$$

In the radio-frequency range,  $G_{\rm e}$  is negligible with respect to  $\omega C_{\rm e}$ , and  $\omega \varepsilon_{\rm b} \varepsilon_{\rm v}$ is negligible with respect to  $\sigma_{\rm b}$  for a conductive buffer. Moreover, for a dielectric bead,  $|\sigma_{\rm p}^*| \ll |\sigma_{\rm b}^*|$ , so that:

$$\alpha^* \approx i\alpha \,, \qquad |\beta^*| \ll 1 \,. \tag{A.14}$$

with  $\alpha$  given in equation (11). For the parameter values reported in Table A.4, assuming  $l = 40 \,\mu\text{m}$ , it turns out that  $\alpha = 7.5$ ,  $\alpha^* = 0.03 + i7.5$ ,  $|\beta^*| = 6.1 \times 10^{-4}$ .

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As noted in Section 3, the solution of Problem (A.1)–(A.5) for a given chip geometry (hence, the relationship among electrical diameter D, particle trajectory height y, and relative prominence P) is mainly influenced by the dimensionless parameter  $\alpha$ .

# <sup>398</sup> Appendix B. Mapping between (x, y)-plane and (D, V)-plane.

The density plots of electrical velocity V versus electrical diameter D for the mixture of dielectric spherical beads ( $S_{mix}$ ) reported in Figure 6(c) exhibit peculiar curved shapes (one for each bead population). Similar shapes have been reported in the literature (e.g., [34, 14]). They depend on the combined effects of velocity distribution inside the channel and positional dependence of electrical diameter.

In order to gain insight into this feature, a noise-free data stream relevant to a single population of 6  $\mu$ m beads with identical diameter (vanishing CV) was generated. It was used to construct the 2D mapping which associates a point (D, V) to every bead center location (x, y) in the channel cross section (Figure B.7). The image of this mapping is the region  $\mathcal{R}$  in the (D, V)-plane



Figure B.7: (a)-(b) Density plot of x- and y-coordinates of event centers (uniformly distributed in the channel cross-section, allowing a 1.5  $\mu$ m gap of the 6  $\mu$ m diameter beads from the microchannel walls). Additional events along (a) iso-x and iso-y lines, or (b) iso-v and iso- $\theta$  lines, are marked in red and green, respectively. (c)-(d) Density plots of electrical velocity V vs electrical diameter D relevant to particle distributions in (a) and (b), respectively.



Figure B.8: Density plot of electrical velocity V plotted against the actual velocity v.

(Figure B.7(c),(d)), whose boundary is composed by a top and a bottom
curved contour, and by a left and a right straight line.

Besides events uniformly distributed in the channel cross-section (Sec-412 tion 4.1), auxiliary events were generated, relevant to bead centers with 413 (x, y)-coordinates distributed along two suitable grids: (i) a Cartesian grid 414 with iso-x and iso-y lines (Figure B.7(a)), and (ii) a grid comprising iso-v 415 and iso- $\theta$  lines (Figure B.7(b)), where  $\theta$  denotes the polar angle. The iso-v416 lines have an approximately elliptic shape, according to the velocity distri-417 bution in steady state, hydrodynamically fully developed, laminar flow for 418 Newtonian fluids in rectangular channels [22]. Only one half of the channel 419 in Figure B.7(a),(b) is covered by grids, due to symmetry with respect the y420 axis. 421

422 The analysis of Figure B.7 reveals that:

• iso-y lines (Figure B.7(a)) are mapped onto iso-D lines (Figure B.7(c)). In fact, the electric field is homogeneous along the x-axis, so that different x values yield the same value of D; on the other hand, the quotient map  $y \to D$  just defines the positional dependence issue addressed in this paper (the higher y, the lower D). In particular, the bottom [respectively, the top] iso-y line is mapped on the right [respectively, left] straight line of the boundary of  $\mathcal{R}$ ;

• iso-v lines (Figure B.7(b)) are mapped onto iso-V lines (Figure B.7(d)), proving that the processing algorithm described in Section 4.2 returns the correct velocity value. This is further emphasized by the density plot of the electrical velocity V versus the actual velocity v reported in Figure B.8, showing excellent correlation along the bisector line V = v;

• iso-x lines (Figure B.7(a)) are mapped onto curved contours (Fig-435 ure B.7(c)). The closer to the center is the iso-x line, the higher is 436 the curved contour. In particular, the central [respectively, the lateral] 437 iso-x line is mapped on the top [respectively, bottom] curved bound-438 ary of  $\mathcal{R}$ . Moreover, curved contours, images of equispaced iso-x lines, 439 accumulate in the upper part of  $\mathcal{R}$ , thus emphasizing the top curved 440 profile of  $\mathcal{R}$  even when particle centers are uniformly distributed in the 441 channel cross section; 442

• the right [respectively, left] branch of the top curved contour of  $\mathcal{R}$ (Figure B.7(d)) is the image of the  $\theta = -\pi/2$  [respectively,  $\theta = +\pi/2$ ] isoline (Figure B.7(c)), to which intermediate equispaced  $\theta$ -isolines tend to accumulate. The top vertex of  $\mathcal{R}$  is the image of the channel center.

The insight gained by this analysis could be very helpful in interpreting experimental results involving, e.g., passive or active particle focusing mechanisms.

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