# Analysis of the vibration localization phaenomenon IN IMPERFECT RINGS 

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## Sommario

In questo lavoro si considera l'analisi modale di anelli imperfetti che vibrano elasticamente nel proprio piano. Le imperfezioni sono trattate come delle perturbazioni, dipendenti dalla variabile angolare, della densità e della rigidezza flessionale dell'anello. Si utilizza la teoria di Eulero Bernoulli per modellare il comportamento dinamico dell'anello imperfetto, e le frequenze e i modi di vibrazione sono ricavati perturbando al primo ordine le frequenze e i modi propri dell'anello perfetto. Infine si considerano alcuni esempi pratici al fine di confrontare i risultati ottenuti con il modello proposto con risultati analoghi ottenuti utilizzando un modello agli elementi finiti.


#### Abstract

The modal analysis of imperfect rings vibrating in their own plane is considered in this paper. The imperfections are modeled as generic perturbations, depending on the angular variable, of the linear mass density and the bending stiffness of the ring. The Euler-Bernoulli theory is used to develop the dynamical model of the ring, and a perturbation expansion of the solution is performed in order to find out the modal split eigenfrequencies and the relevant perturbed modal shapes. Finally, some case-study problems are considered and the analytical results obtained by using the proposed approach are compared to results obtained by employing a finite-element model of the imperfect ring.


Key words: Imperfect rings, frequency split, localization of vibrations, perturbation expansion

## 1. INTRODUCTION

Axisymmetric structures are commonly used in practical applications, as turbine bladed disks, satellite antennae, bells, stator-rotor assemblies in electrical machinery, vibrating ring gyroscopes and so on. Due to the periodicity they possess, these structures exhibit degenerate pairs of eigenmodes at the same frequency with modal shapes having sinusoidal behavior with respect to the angular variable. It is well known that when structural irregularities are present, destroying the symmetry of the structure, the pairs of eigenfrequencies coincident in the perfect symmetric case split into two different values. In many cases, like for vibrating ring gyroscopes [1,2] where a strong resonant coupling between two modes is

[^0]required, the frequency split is a drawback effect and must be reduced with a correction procedure called trimming. Moreover, the eigenmodes of a symmetric structure with imperfections deviate from the sinusoidal shape presenting a local increase of vibration amplitude, leading consequently to an increase of the dynamical load on the vibrating structure. This phenomenon is called localization of vibration and may lead to fatigue failure [3, 4]. For these reasons, it is useful to have simple dynamical models able to take into account the presence of imperfection and to predict the frequencies split and localization phenomenon in axisymmetric structures with imperfections.

In this paper the attention is focused on the vibrations of imperfect rings. Many papers can be found in the literature dealing with a quantitative analysis of the frequency split occurring in these structures, and an updated review can be found in [5, 6]. Many causes of imperfections have been considered by researchers and will be briefly summarized in what follows. In [7] a ring with variable cross section has been considered, and the Rayleigh-Ritz method was used to find out the frequency split; a closed form expression for the lower natural frequency was obtained using a first-order approximation. In [5] a simple model for the frequency split of slightly imperfect rings has been developed, based on the Rayleigh-Ritz method together with the simplifying assumption that the eigenmodes of the imperfect ring are still sinusoidal. The imperfections are considered as added masses and radial and torsional springs. Closed form expressions for all the eigenfrequencies of the imperfect ring are obtained; an extension to the case of a distributed mass added to the ring has been proposed in [8], and a study on the statistics of frequency splitting under various added random mass distributions was performed. In [6] in-plane profile variations are taken into account as a cause of frequency splitting. In [9] the frequency split is caused by anisotropy of the material (crystalline silicon) comprising the ring, yielding a dependence of the Young modulus on the angular variable. According to the literature, while a great effort has been spent for the evaluation of the frequency split in imperfect ring, less attention has been devoted to the analysis of the modal shapes of a imperfect ring.

In this paper a theory for the modal analysis of slightly imperfect rings is proposed, yielding closed form expressions for both the frequencies and the modal shapes of the ring. Quite general conditions of imperfection are considered, by assuming that both the linear density and the in-plane bending stiffness of the ring are given by the sum of a small perturbation depending on the angular variable and a constant value relevant to the perfect ring. The Euler-Bernoulli theory is adopted to build a dynamical model of the structure, under the assumption that the ring is axially undeformable. A first order perturbation expansion of the solution if performed, by assuming that each eigenmode of the imperfect structure can be represented as the sum of an unknown perturbation depending on the angular variable and the corresponding sinusoidal eigenmode relevant to the perfect structure. A non homogeneous partial differential equation is derived, assuming as an unknown function the modal perturbation, and its solvability conditions yield the values of the frequency splits and the corresponding phase angles. Closed-form expressions are obtained for the Fourier series coefficients of the unknown modal perturbation. Finally, some case study problems are considered; the frequency splits and perturbed modal shapes obtained by using the proposed model are compared with the ones obtained via the use of a suitable finite-element formulation.

## 2. DYNAMICAL MODEL OF A RING

A model of the dynamical behavior of a ring is here derived, based on the classical Euler-Bernoulli theory. The ring of radius $R$ is made of a elastic material. It is here assumed that, due to the presence of imperfections, the linear density $\sigma$ and the in-plane bending stiffness $E I$ of the ring are functions of the angular variable $\theta$. Let $u$ and $v$ denote, respectively, the tangential and radial displacement as shown in fig. 1. Moreover it is considered that the ring is axially inextensible, i.e. the tangential strain $\varepsilon$ is zero.


Figura 1: Schematic representation of the ring

Accordingly the following expression holds:
$\varepsilon=\frac{1}{R}\left(\frac{\partial u}{\partial \theta}+w\right)=0$
The Hamiltonian functional $\mathcal{H}$ for the ring can be written as
$\mathcal{H}=\frac{1}{2} \int_{0}^{t} \int_{0}^{2 \pi} \sigma(\theta)\left[\left(\frac{\partial u}{\partial t}\right)^{2}+\left(-\frac{\partial^{2} u}{\partial \theta \partial t}\right)^{2}\right] R d \theta d t-\frac{1}{2} \int_{0}^{t} \int_{0}^{2 \pi} \frac{E(\theta) I(\theta)}{R^{4}}\left[\frac{\partial u}{\partial \theta}+\frac{\partial^{3} u}{\partial \theta^{3}}\right]^{2} R d \theta d t$

The stationarity condition of (2) gives the dynamic equilibrium equation of the ring, and reads as follows:

$$
\begin{equation*}
\frac{\partial^{2}}{\partial t^{2}}\left[\sigma u-\frac{\partial}{\partial \theta}\left(\sigma \frac{\partial u}{\partial \theta}\right)\right] R-\left(\frac{\partial}{\partial \theta}+\frac{\partial^{3}}{\partial \theta^{3}}\right)\left[\frac{E I}{R^{3}}\left(\frac{\partial u}{\partial \theta}+\frac{\partial^{3} u}{\partial \theta^{3}}\right)\right]=0 \tag{3}
\end{equation*}
$$

### 2.1 Perfect ring

If the ring is perfect $\sigma$ and $E I$ are not depending on $\theta$ and are here denoted by $\sigma_{o}$ and $E I_{o}$. The stationarity condition of $\mathcal{H}$ reported in (3) can be simplified into:
$\sigma\left(\frac{\partial^{2} u}{\partial t^{2}}-\frac{\partial^{4} u}{\partial \theta^{2} \partial t^{2}}\right)-\frac{E I}{R^{3}}\left(\frac{\partial^{2} u}{\partial \theta^{2}}+2 \frac{\partial^{4} u}{\partial \theta^{4}}+\frac{\partial^{6} u}{\partial \theta^{6}}\right)=0$
A solution of (4) can be found as:
$u=\sum_{n=0}^{\infty} u_{o n}=\sum_{n=0}^{\infty} U_{n} \cos \left(n \theta+\varphi_{n}\right) e^{\left(i \omega_{o n} t\right)}$
where $u_{o n}$ is the eigemnode of circular frequency $\omega_{o n}$, nodal diameter $n$ and phase angle $\varphi_{n}$. Substituting (5) in (4) the following well-known relation between $n$ and $\omega_{o n}$ can be found:
$\omega_{o n}^{2}=\frac{E I_{o}}{\sigma_{o} R^{4}} \frac{n^{2}\left(1-n^{2}\right)^{2}}{1+n^{2}}$
The eigenmodes relevant to $n=0$ and $n=1$ corresponds to rigid motions, and thus have frequency equal to 0 . All the eigenmodes with $k>0$ are degenerate, i.e. two orthogonal eigenmodes exist at the same eigenfrequency.

## 3. MODAL FREQUENCIES AND MODAL SHAPES EVALUATION

It is well understood that when small imperfections are added to a perfect ring, thus destroying the rotational periodicity of the structure, the frequencies relevant to couples of degenerate eigenmodes, coinciding when the ring is perfect, split in two different values. Moreover the modal shape of these couples of modes deviates from the sinusoidal shape, exhibiting localized increase of vibration amplitude. In this section a model is established leading to analytical expressions of the frequency splits and the modal shapes of imperfect rings for very general imperfections.

### 3.1 Position of the problem

In order to take into account the presence of imperfections in a ring, it is assumed that the density $\sigma$ and the in-plane bending stiffness $E I$ of the imperfect ring are given by:
$\sigma=\sigma_{o}+\delta \sigma(\theta), \quad E I=E I_{o}+\delta E I(\theta)$
where $\sigma_{o}$ and $E I_{o}$ are constant values corresponding, respectively, to the density and bending stiffness of the perfect ring whereas $\delta \sigma$ and $\delta E I$ are small perturbations depending on $\theta$. Accordingly, the eigenmodes $u_{n}$ of the imperfect ring can be obtained by perturbing the perfect ring eigenmodes given in (5). To this end, it is assumed that
$u_{n}=\left(u_{o n}+\delta u_{n}\right) e^{i\left(\omega_{o n}+\delta \omega_{n}\right) t}$
where $\delta u_{n}$ is a small unknown perturbation of the modal shape $u_{o n}$ of the perfect ring, depending on $\theta$ and $\delta \omega_{n}$ is the unknown perturbation of the corresponding modal circular frequency $\omega_{o n}$. A differential equation for $\delta u_{n}$ can be obtained by substituting the assumptions (7) and (8) in the equation (3). By remembering that the zero-order terms satisfy the equation (4) and neglecting infinitesimal terms of order higher than 1 , the following differential equation is obtained:

$$
\begin{align*}
& -\omega_{o n}^{2} \sigma_{o} R\left(\delta u_{n}-\frac{\partial^{2} \delta u_{n}}{\partial \theta^{2}}\right)-\frac{E I_{o}}{R^{3}}\left(\frac{\partial^{2} \delta u_{n}}{\partial \theta^{2}}+2 \frac{\partial^{4} \delta u_{n}}{\partial \theta^{4}}+\frac{\partial^{6} \delta u_{n}}{\delta \theta^{6}}\right)= \\
& \quad+\omega_{o n}^{2} R\left[\delta \sigma u_{o n}-\frac{\partial}{\partial \theta}\left(\delta \sigma \frac{\partial u_{o n}}{\partial \theta}\right)\right]+2 \omega_{o n} \sigma_{o} R \delta \omega_{n}\left(u_{o n}-\frac{\partial^{2} u_{o n}}{\partial \theta^{2}}\right) \\
& \quad+\left(\frac{\partial}{\partial \theta}+\frac{\partial^{3}}{\partial \theta^{3}}\right)\left[\frac{\delta E I}{R^{3}}\left(\frac{\partial u_{o n}}{\partial \theta}+\frac{\partial^{3} u_{o n}}{\partial \theta^{3}}\right)\right]=0 \tag{9}
\end{align*}
$$

A condition for the existence of a solution of equation (9) is that the non homogeneous term at the right hand side of the equation is orthogonal to the kernel of the self adjoint operator at the left hand side. It can be easily seen that a basis of such a kernel is given by

$$
\begin{equation*}
\{\cos (n \theta), \sin (n \theta)\} \tag{10}
\end{equation*}
$$

Accordingly the right end side $F(\theta)$ of equation (9) must satisfy
$\int_{0}^{2 \pi} F(\theta) \cos (n \theta)=0, \quad \int_{0}^{2 \pi} F(\theta) \sin (n \theta)=0$
These two conditions are non linear equations in the scalar the unknowns $\delta \omega_{n}$ and $\varphi_{n}$, which can be solved in order to find out the frequency split $\delta \omega_{n}$ and the angular phase $\varphi_{n}$. As shown in the foregoing,
for each $n \geq 2$ (elastic modes) there are two solutions of system (11), corresponding to the two split frequencies $\delta \omega_{n 1,2}$ of the degenerate pair of eigenmodes relevant to the perfect ring, and the corresponding two phase angles $\varphi_{n 1,2}$. A weak version of (9) is given by:

$$
\begin{align*}
\int_{0}^{2 \pi} & {\left[-\omega_{o n}^{2} \sigma_{o} R\left(\delta u_{n} \psi+\frac{\partial \delta u_{n}}{\partial \theta} \frac{\partial \psi}{\partial \theta}\right)+\frac{E I_{o}}{R^{3}}\left(\frac{\partial \delta u_{n}}{\partial \theta}+\frac{\partial^{3} \delta u_{n}}{\partial \theta^{3}}\right)\left(\frac{\partial \psi}{\partial \theta}+\frac{\partial^{3} \psi}{\partial \theta^{3}}\right)\right] d \theta=} \\
& \int_{0}^{2 \pi}\left[\left(\omega_{o n}^{2} R \delta \sigma+2 \omega_{o n} \sigma_{o} R \delta \omega_{n}\right)\left(u_{o n} \psi+\frac{\partial u_{o n}}{\partial \theta} \frac{\partial \psi}{\partial \theta}\right)-\right. \\
& \left.\frac{\delta E I}{R^{3}}\left(\frac{\partial u_{o n}}{\partial \theta}+\frac{\partial^{3} u_{o n}}{\partial \theta^{3}}\right)\left(\frac{\partial \psi}{\partial \theta}+\frac{\partial^{3} \psi}{\partial \theta^{3}}\right)\right] d \theta \tag{12}
\end{align*}
$$

Equation (12) is used in order to obtain a series expansion of the unknown function $\delta u_{n}$. Accordingly, the values of $\delta \omega_{n}$ and $\varphi_{n}$ evaluated from (11) together with the expression in (5) of $u_{o n}$ are substituted into equation (12). The Fourier representation for $\delta u_{n}$ is given by
$\delta u_{n}=\frac{a_{o}^{\delta u_{n}}}{2}+\sum_{k=1}^{\infty}\left[a_{k}^{\delta u_{n}} \cos (k \theta)+b_{k}^{\delta u_{n}} \sin (k \theta)\right]$
By substituting the representation (13) of $\delta u_{n}$ in (12) and taking as test function $\psi$
$\psi=\frac{1}{2}, \quad \cos (\theta), \quad \sin (\theta), \quad \cos (2 \theta), \quad \sin (2 \theta), \quad \ldots \quad \cos (k \theta), \quad \sin (k \theta), \quad \ldots$
it is obtained

$$
\begin{align*}
& a_{o}^{\delta u_{n}} \pi \omega_{o n} \sigma_{o}=-U_{n} \int_{0}^{2 \pi} \cos \left(n \theta+\varphi_{n}\right)\left(\omega_{o n} \delta \sigma+2 \sigma_{o} \delta \omega_{n}\right) d \theta \\
& a_{k}^{\delta u_{n}} \pi\left[\frac{E I}{R^{3}} k^{2}\left(1-k^{2}\right)^{2}-\omega_{o n}^{2} \sigma_{o} R\left(1+k^{2}\right)\right]=U_{n} \int_{0}^{2 \pi}\left\{\omega_{o n} R\left(\omega_{o n} \delta \sigma+2 \sigma_{o} \delta \omega_{n}\right) \times\right. \\
& \quad\left[\cos \left(n \theta+\varphi_{n}\right) \cos (k \theta)+n k \sin \left(n \theta+\varphi_{n}\right) \sin (k \theta)\right]- \\
& \left.\quad \frac{\delta E I}{R^{3}} \sin \left(n \theta+\varphi_{n}\right)(-n)\left(1-n^{2}\right) \sin (k \theta)(-k)\left(1-k^{2}\right)\right\} d \theta \\
& b_{k}^{\delta u_{n}} \pi\left[\frac{E I}{R^{3}} k^{2}\left(1-k^{2}\right)^{2}-\omega_{o n}^{2} \sigma_{o} R\left(1+k^{2}\right)\right]=U_{n} \int_{0}^{2 \pi}\left\{\omega_{o n} R\left(\omega_{o n} \delta \sigma+2 \sigma_{o} \delta \omega_{n}\right) \times\right. \\
& \quad\left[\cos \left(n \theta+\varphi_{n}\right) \sin (k \theta)-n k \sin \left(n \theta+\varphi_{n}\right) \cos (k \theta)\right]- \\
& \left.\quad \frac{\delta E I}{R^{3}} \sin \left(n \theta+\varphi_{n}\right)(-n)\left(1-n^{2}\right) \cos (k \theta) k\left(1-k^{2}\right)\right\} d \theta \tag{15}
\end{align*}
$$

yielding the values of the coefficients appearing in the series expansion (13) of $\delta u_{n}$. It can be noticed that the coefficients $a_{k}^{\delta u_{n}}$ and $b_{k}^{\delta u_{n}}$ relevant to $k=n$ are left undeterminated by equations (15); in fact when $k=n$ both the left and right hand side of equations $(15)_{2}$ and $(15)_{3}$ are equal to 0 ; the latter for obvious computations whereas the former due to the existence conditions (11). Moreover it can be easily seen that the Fourier coefficient and thus the perturbed modal shapes do not depend on the frequency split $\delta \omega_{n}$, because the relevant term in equations (15) is always 0 when $k \neq n$.

### 3.1 Fourier expansion of $\delta \sigma$ and $\delta E I$

In order to develop closed-from expressions for the frequency split and the perturbed modal shapes, the generic density perturbation $\delta \sigma$ and bending stiffness perturbation $\delta E I$ are represented using their

Fourier series expansion. Accordingly, the following expressions hold:

$$
\begin{align*}
& \delta \sigma=\frac{a_{o}^{\sigma}}{2}+\sum_{p=1}^{\infty}\left\{a_{p}^{\sigma} \cos (p \theta)+b_{p}^{\sigma} \sin (p \theta)\right\} \\
& \delta E I=\frac{a_{o}^{E I}}{2}+\sum_{q=1}^{\infty}\left\{a_{q}^{E I} \cos (q \theta)+b_{q}^{E I} \sin (q \theta)\right\} \tag{16}
\end{align*}
$$

By substituting (16) into the existence conditions (11), after some calculations involving the integration of the product of many trigonometric functions over $(0,2 \pi)$, the values of the two frequency splits $\delta \omega_{n}$ and the two corresponding phase angles $\varphi_{n}$ for each mode of index $n$ are obtained and read as:

$$
\begin{align*}
& \tan \left(2 \varphi_{n}\right)=-\frac{\omega_{o n}^{2} R b_{2 n}^{\sigma}+\frac{n^{2}}{R^{3}}\left(1-n^{2}\right) b_{2 n}^{E I}}{\omega_{o n}^{2} R a_{2 n}^{\sigma}+\frac{n^{2}}{R^{3}}\left(1-n^{2}\right) a_{2 n}^{E I}} \\
& \delta \omega_{n}=\left(1+n^{2}\right) \frac{\omega_{o n}}{4 \sigma_{o}}\left(a_{o}^{\sigma}-\frac{\sigma_{o}}{E I_{o}} a_{o}^{E I}\right) \pm \frac{\left(1-n^{2}\right)}{1+n^{2}} \frac{\omega_{o n}}{4 \sigma_{o}} \times \\
& \quad \sqrt{\left(a_{2 n}^{\sigma}+\frac{\sigma_{o}}{E I_{o}} \frac{1+n^{2}}{1-n^{2}} a_{2 n}^{E I}\right)^{2}+\left(b_{2 n}^{\sigma}+\frac{\sigma_{o}}{E I_{o}} \frac{1+n^{2}}{1-n^{2}} b_{2 n}^{E I}\right)^{2}} \tag{17}
\end{align*}
$$

By substituting (16) into (15) an analytical expression of the Fourier coefficient of the unknown modal perturbation $\delta u_{n}$ depending on the Fourier coefficients of $\delta \sigma$ and $\delta E I$ is obtained. After some calculations involving integrals of product of trigonometric functions it is obtained:

$$
\begin{align*}
a_{o}^{\delta u_{n}}= & -U_{n} \frac{a_{n}^{\sigma} \cos \left(\varphi_{n}\right)}{\sigma_{o}} \\
a_{k}^{\delta u}= & U_{n}\left\{\left[\left(a_{|k-n|}^{\sigma}+a_{k+n}^{\sigma}\right) \cos \left(\varphi_{n}\right)+\left(\operatorname{sign}(\mathrm{k}-\mathrm{n}) b_{k-n}^{\sigma}-b_{k+n}^{\sigma}\right) \sin \left(\varphi_{n}\right)\right]+\right. \\
& n k\left[\left(a_{|k-n|}^{\sigma}-a_{k+n}^{\sigma}\right) \cos \left(\varphi_{n}\right)+\left(\operatorname{sign}(\mathrm{k}-\mathrm{n}) b_{k-n}^{\sigma}+b_{k+n}^{\sigma}\right) \sin \left(\varphi_{n}\right)\right]- \\
& \frac{\sigma_{o}}{E I_{o}} \frac{k\left(1-k^{2}\right)}{n\left(1-n^{2}\right)}\left(1+n^{2}\right)\left[\left(a_{|k-n|}^{E I}-a_{k+n}^{E I}\right) \cos \left(\varphi_{n}\right)+\right. \\
& \left.\left.\left(\operatorname{sign}(\mathrm{k}-\mathrm{n}) b_{k-n}^{E I}+b_{k+n}^{E I}\right) \sin \left(\varphi_{n}\right)\right]\right\} /\left\{\sigma_{o}\left[\frac{k^{2}\left(1-k^{2}\right)}{n^{2}\left(1-n^{2}\right)}\left(1+n^{2}\right)-\left(1+k^{2}\right)\right]\right\} \\
b_{k}^{\delta u}= & U_{n}\left\{\left[\left(-a_{|k-n|}^{\sigma}+a_{k+n}^{\sigma}\right) \sin \left(\varphi_{n}\right)+\left(\operatorname{sign}(\mathrm{k}-\mathrm{n}) b_{k-n}^{\sigma}+b_{k+n}^{\sigma}\right) \cos \left(\varphi_{n}\right)\right]+\right. \\
& -n k\left[\left(a_{|k-n|}^{\sigma}+a_{k+n}^{\sigma}\right) \sin \left(\varphi_{n}\right)+\left(-\operatorname{sign}(\mathrm{k}-\mathrm{n}) b_{k-n}^{\sigma}+b_{k+n}^{\sigma}\right) \cos \left(\varphi_{n}\right)\right]+ \\
& -\frac{\sigma_{o}}{E I_{o}} \frac{k\left(1-k^{2}\right)}{n\left(1-n^{2}\right)}\left(1+n^{2}\right)\left[\left(a_{|k-n|}^{E I}+a_{k+n}^{E I}\right) \sin \left(\varphi_{n}\right)+\right. \\
& \left.\left.\left(-\operatorname{sign}(\mathrm{k}-\mathrm{n}) b_{k-n}^{E I}+b_{k+n}^{E I}\right) \cos \left(\varphi_{n}\right)\right]\right\} /\left\{\sigma_{o}\left[\frac{k^{2}\left(1-k^{2}\right)}{n^{2}\left(1-n^{2}\right)}\left(1+n^{2}\right)-\left(1+k^{2}\right)\right]\right\} \tag{18}
\end{align*}
$$

where the value of $\varphi_{n}$ is known from (17). In (18) $\operatorname{sign}(x)$ is the sign of $x$.

## 4. Case-study problems

In order to assess the accuracy of the results obtained with the perturbation approach here proposed, two case-study problems are here studied. To this end, a elastic ring is considered, of radius $R=200 \mathrm{~mm}$ and rectangular cross section of dimensions $50 \times 5 \mathrm{~mm}$; the ring is made of steel, Young modulus $E=$ 210 GPa and density $\rho=7850 \mathrm{~kg} / \mathrm{m}^{3}$. The modal eigenfrequencies of the perfect ring are reported in

Tabella 1: Modal circular frequencies of the perfect ring

| n | $\omega_{\text {on }}[\mathrm{rad} / \mathrm{s}]$ |
| ---: | ---: |
| 2 | 500 |
| 3 | 1416 |
| 4 | 2716 |

table (1), evaluated according to formula (6). The two case-study problems are schematically shown in fig. 2. In the first case a massless reinforce of constant section is applied to the ring, spanning an angle of $\Theta$. In the second case a lumped mass is added to the ring at an angle $\Theta$. A dynamical analysis is performed by applying the theory previously described, and the modal frequencies and modal shapes relevant to the imperfect ring are evaluated. The results are then compared with the results obtained by employing a finite element model of the imperfect ring. The finite element formulation is based on the


Figura 2: On the left: case 1; on the right: case 2
functional (2) and employs curvilinear two-node elements. The interpolation scheme uses the following non linear shape functions
$1, \quad \theta, \quad \cos (\theta), \quad \sin (\theta), \quad \theta \cos (\theta), \quad \theta \sin (\theta)$
which guarantees exact integration of constant and sinusoidal functions (i.e. perfect reconstruction of rigid motions) and global continuity up to the second derivative; thus the interpolated functions are in the Sobolev space $H^{3}$, which is the minimum regularity requested by the functional (2).

### 4.1 Case 1

It is here considered a imperfect ring as shown at the left hand side of fig. 2. The imperfection is due to a reinforce spanning the arc $[0, \Theta)$; it is assumed that the reinforce has vanishing mass and constant cross section, thus locally increasing the in-plane bending stiffness $E I$ of the ring of a quantity $\alpha$. As a consequence the linear density $\sigma$ is constant on all the ring whereas the bending stiffness $E I$ is a constant piecewise function given by

$$
\begin{array}{ll}
E I(\theta)=E I_{o} & \text { in } \theta \in[0, \Theta) \\
E I(\theta)=E I_{o}+\alpha & \text { in } \theta \in[\Theta, 2 \pi) \tag{20}
\end{array}
$$

In the present case, the existence conditions (11) are equivalently written as follows

$$
\begin{align*}
& 2 \omega_{o n} \sigma_{o} R \delta \omega_{n} \int_{0}^{2 \pi}\left(u_{o n}-\frac{\partial^{2} u_{o n}}{\partial \theta^{2}}\right) \cos \left(n \theta+\varphi_{n}\right) d \theta+ \\
& -\frac{\alpha}{R^{3}} \int_{0}^{\Theta}\left(\frac{\partial u_{o n}}{\partial \theta}+\frac{\partial^{3} u_{o n}}{\partial \theta^{3}}\right)\left(\frac{\partial}{\partial \theta}+\frac{\partial^{3}}{\partial \theta^{3}}\right) \cos \left(n \theta+\varphi_{n}\right) d \theta=0 \\
& 2 \omega_{o n} \sigma_{o} R \delta \omega_{n} \int_{0}^{2 \pi}\left(u_{o n}-\frac{\partial^{2} u_{o n}}{\partial \theta^{2}}\right) \sin \left(n \theta+\varphi_{n}\right) d \theta+ \\
& -\frac{\alpha}{R^{3}} \int_{0}^{\Theta}\left(\frac{\partial u_{o n}}{\partial \theta}+\frac{\partial^{3} u_{o n}}{\partial \theta^{3}}\right)\left(\frac{\partial}{\partial \theta}+\frac{\partial^{3}}{\partial \theta^{3}}\right) \sin \left(n \theta+\varphi_{n}\right) d \theta=0 \tag{21}
\end{align*}
$$

Substituting the expression in (5) of $u_{o n}$ in (21) and making some calculations, the following equations are obtained:

$$
\begin{align*}
& 2 \omega_{o n} \sigma_{o} R\left(1+n^{2}\right) \pi \delta \omega_{n}-\frac{\alpha\left(-n+n^{3}\right)^{2}}{R^{3}}\left[\frac{\Theta}{2}+\frac{\sin \left(2 \varphi_{n}\right)-\sin \left(2 \varphi_{n}+2 n \Theta\right)}{4 n}\right]=0 \\
& \frac{\alpha\left(-n+n^{3}\right)^{2}}{R^{3}} \frac{\cos \left(2 \varphi_{n}\right)-\cos \left(2 \varphi_{n}+2 n \Theta\right)}{4 n}=0 \tag{22}
\end{align*}
$$

From $(22)_{2}$ the value of $\varphi_{n}$ is obtained, which can be then substituted in $(22)_{2}$ in order to find out the modal frequency split $\delta \omega_{n}$. From the knowledge of $\delta \omega_{n}$ and $\varphi_{n}$ the coefficients (15) of the series expansion of $\delta u_{n}$ can be computed. Taking into account that in the considered case $\delta \sigma=0$ and $\delta E I=$ $\alpha \chi_{[0, \Theta)}$, where $\chi_{[0, \Theta)}$ is the characteristic function of the interval $[0, \Theta)$, the coefficients of $\delta u_{n}$ read as:

$$
\begin{align*}
a_{o}^{\delta u_{n}}= & 0 \\
a_{k}^{\delta u_{n}}= & -U_{n} \alpha \frac{n k\left(1-n^{2}\right)\left(1-k^{2}\right)}{\pi\left[E I k^{2}\left(1-k^{2}\right)-\omega_{o n}^{2} \sigma_{o} R^{4}\left(1+k^{2}\right)\right]}\left\{\frac{\sin \left[(n-k) \Theta+\varphi_{n}\right]-\sin \left(\varphi_{n}\right)}{2(n-k)}\right. \\
& \left.-\frac{\sin \left[(n+k) \Theta+\varphi_{n}\right]-\sin \left(\varphi_{n}\right)}{2(n+k)}\right\} \\
b_{k}^{\delta u_{n}}= & -U_{n} \alpha \frac{n k\left(1-n^{2}\right)\left(1-k^{2}\right)}{\pi\left[E I k^{2}\left(1-k^{2}\right)-\omega_{o n}^{2} \sigma_{o} R^{4}\left(1+k^{2}\right)\right]}\left\{\frac{\cos \left[(n-k) \Theta+\varphi_{n}\right]-\cos \left(\varphi_{n}\right)}{2(n-k)}\right. \\
& \left.+\frac{\cos \left[(n+k) \Theta+\varphi_{n}\right]-\cos \left(\varphi_{n}\right)}{2(n+k)}\right\} \tag{23}
\end{align*}
$$

In figure 3 and 4 the modal perturbations $\delta u_{n}$ corresponding, respectively, to $n=2$ and $n=3$ have been plotted considering as baseline the undeformed ring. The increment $\alpha$ of bending stiffness due to the reinforce is equal to $20 \%$ of the ring bending stiffness $E I$, and the reinforce spans the angle $\left[0,75^{\circ}\right.$ ). Each eigenmode $\delta u_{n}$ has been rescaled such as the maximum absolute value among its radial and tangential nodal displacement components is equal to $R / 4$. As a comparison, the corresponding curves evaluated by using the finite element method have been superimposed with dotted line; the agreement is quite satisfying.

In table 2 the frequency splits $\delta \omega_{n}$ are reported, evaluated according to the proposed method, as a function of the ratio $\gamma=\alpha / E I$, while keeping fixed the angle spanned by the reinforce as chosen previously. Moreover the relative difference $\delta \omega_{n} \%$ between the frequency splits and the relative $l^{2}$ norm $\delta u_{n} \%$ between the modal shape perturbations $\delta u_{n}$, evaluated using the proposed model and the finite element model, are also reported. The results in the table show that the proposed method is very accurate both in evaluating the frequency splits $\delta \omega_{n}$ and the perturbations $\delta u_{n}$ of the modal shapes, even in the case of not small imperfections.


Figura 3: Perturbation $\delta u_{n}$ relevant to $n=2$ due to a reinforce; continuous line: theory, dotted line: fem


Figura 4: Perturbation $\delta u_{n}$ relevant to $n=3$ due to a reinforce; continuous line: theory, dotted line: fem

### 4.2 Case 2

It is here considered a imperfect ring, as shown at the right hand side of fig. 2, whose imperfection is due to $p$ lumped masses $m_{i}$ added at angles $\theta=\Theta_{i}$. As a consequence the bending stiffness $E I$ is constant on all the ring whereas the linear density $\sigma$ is given by
$\sigma(\theta)=\sigma_{o}+\sum_{i=1}^{p} \alpha_{i} \delta\left(\theta-\Theta_{i}\right)$
where $\sigma_{o}$ is a constant, $\alpha_{i}=m_{i} / R$ and $\delta$ is the Dirac distribution. The two existence conditions (11) for the solution of the perturbed dynamical problem are specialized to the present case as follows:

$$
\begin{align*}
& \omega_{o n} \sum_{i=1}^{p} \alpha_{i}\left[\cos \left(n \Theta_{i}+\varphi_{n}\right) \cos \left(n \Theta_{i}\right)+n^{2} \sin \left(n \Theta_{i}+\varphi_{n}\right) \sin \left(n \Theta_{i}\right)\right]+2 \sigma_{o} \pi \delta \omega_{n}\left(1+n^{2}\right) \cos \left(\varphi_{n}\right)=0 \\
& \omega_{o n} \sum_{i=1}^{p} \alpha_{i}\left[\cos \left(n \Theta_{i}+\varphi_{n}\right) \sin \left(n \Theta_{i}\right)-n^{2} \sin \left(n \Theta_{i}+\varphi_{n}\right) \cos \left(n \Theta_{i}\right)\right]+ \\
& \quad-2 \sigma_{o} \pi \delta \omega_{n}\left(1+n^{2}\right) \sin \left(\varphi_{n}\right)=0 \tag{25}
\end{align*}
$$

Tabella 2: Comparison results between theoretical and fem model; imperfection due to a reinforce applied to the ring

| $\gamma$ | $\delta \omega_{n}[\mathrm{rad} / \mathrm{s}]$ |  |  |  | $\delta \omega_{n} \%$ |  |  | $\delta u_{n} \%$ |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\mathrm{n}=2$ |  | $\mathrm{n}=3$ |  | $\mathrm{n}=1$ |  | $\mathrm{n}=2$ |  | $\mathrm{n}=1$ |  |  |  |
| 0.04 | 1.69 | 2.48 | 4.84 | 6.96 | $3.45 \mathrm{E}-2$ | $1.20 \mathrm{E}-2$ | $1.45 \mathrm{E}-2$ | $2.72 \mathrm{E}-2$ | $3.23 \mathrm{E}-3$ | $6.56 \mathrm{E}-3$ | $1.19 \mathrm{E}-2$ | $4.84 \mathrm{E}-3$ |
| 0.08 | 3.38 | 4.97 | 9.68 | 13.9 | $6.91 \mathrm{E}-2$ | $2.40 \mathrm{E}-2$ | $2.86 \mathrm{E}-2$ | $5.46 \mathrm{E}-2$ | $3.37 \mathrm{E}-3$ | $1.20 \mathrm{E}-2$ | $2.18 \mathrm{E}-2$ | $7.73 \mathrm{E}-3$ |
| 0.12 | 5.06 | 7.45 | 14.5 | 20.9 | $1.04 \mathrm{E}-1$ | $3.60 \mathrm{E}-2$ | $4.22 \mathrm{E}-2$ | $8.20 \mathrm{E}-2$ | $3.55 \mathrm{E}-3$ | $1.77 \mathrm{E}-2$ | $3.17 \mathrm{E}-2$ | $1.07 \mathrm{E}-2$ |
| 0.16 | 6.75 | 9.94 | 19.4 | 27.9 | $1.38 \mathrm{E}-1$ | $4.79 \mathrm{E}-2$ | $5.53 \mathrm{E}-2$ | $1.10 \mathrm{E}-1$ | $3.75 \mathrm{E}-3$ | $2.31 \mathrm{E}-2$ | $4.12 \mathrm{E}-2$ | $1.36 \mathrm{E}-2$ |
| 0.20 | 8.44 | 12.4 | 24.2 | 34.8 | $1.73 \mathrm{E}-1$ | $5.97 \mathrm{E}-2$ | $6.81 \mathrm{E}-2$ | $1.37 \mathrm{E}-1$ | $3.97 \mathrm{E}-3$ | $2.83 \mathrm{E}-2$ | $5.12 \mathrm{E}-2$ | $1.63 \mathrm{E}-2$ |

After some manipulations it is obtained

$$
\begin{align*}
& \cos \left(\varphi_{n}\right)\left[\frac{\omega_{\text {on }}}{R} \sum_{i=1}^{p} m_{i}\left[\cos ^{2}\left(n \Theta_{i}\right)+n^{2} \sin ^{2}\left(n \Theta_{i}\right)\right]+2 \sigma_{o} \pi \delta \omega_{n}\left(1+n^{2}\right)\right] \\
& -\sin \left(\varphi_{n}\right)\left[\frac{\omega_{\text {on }}}{R} \sum_{i=1}^{p} m_{i}\left(1-n^{2}\right) \cos \left(n \Theta_{i}\right) \sin \left(n \Theta_{i}\right)\right]=0 \\
& \cos \left(\varphi_{n}\right)\left[\frac{\omega_{\text {on }}}{R} \sum_{i=1}^{p} m_{i}\left(1-n^{2}\right) \cos \left(n \Theta_{i}\right) \sin \left(n \Theta_{i}\right)\right] \\
& -\sin \left(\varphi_{n}\right)\left[\frac{\omega_{\text {on }}}{R} \sum_{i=1}^{p} m_{i}\left[n^{2} \cos ^{2}\left(n \Theta_{i}\right)+\sin ^{2}\left(n \Theta_{i}\right)\right]+2 \sigma_{o} \pi \delta \omega_{n}\left(1+n^{2}\right)\right]=0 \tag{26}
\end{align*}
$$

These are two nonlinear equations in the unknowns $\delta \omega_{n}$ and $\varphi_{n}$; the solution is:

$$
\begin{align*}
& \tan \left(2 \varphi_{n}\right)=-\frac{\sum_{i=1}^{p} m_{i}\left(\sin 2 n \Theta_{i}\right)}{\sum_{i=1}^{p} m_{i}\left(\cos 2 n \Theta_{i}\right)} \\
& \delta \omega_{n}=\frac{\omega_{o n}}{2 \pi R \sigma_{o}} \sum_{i=1}^{p} m_{i}\left[-\frac{1}{2}+\frac{1-n^{2}}{2\left(1+n^{2}\right)} \sqrt{\frac{\left[\sum_{i=1}^{p} m_{i} \cos \left(2 n \Theta_{i}\right)\right]^{2}+\left[\sum_{i=1}^{p} m_{i} \sin \left(2 n \Theta_{i}\right)\right]^{2}}{\left(\sum_{i=1}^{p} m_{i}\right)^{2}}}\right] \tag{27}
\end{align*}
$$

The first of (27) correspond to two values of $\varphi_{n}$ differing from each other by an angle of $\pi / 2$; these two values, once substituted in the second of (27) yield two different values of the frequency split $\delta \omega_{n}$. In order to evaluate the coefficients relevant to the series expansion of $\delta u_{n}$, the equations (15) are taken into account and specialized to the present case; the coefficients of $\delta u_{n}$ read as:

$$
\begin{align*}
a_{o}^{\delta u_{n}}= & -\frac{U_{n}}{\pi \sigma_{o} R} \sum_{i=1}^{p} m_{i} \cos \left(n \Theta_{i}+\varphi_{n}\right) \\
a_{k}^{\delta u_{n}}= & U_{n} \frac{\omega_{o n}^{2}}{\pi\left[\frac{E I}{R^{3}} k^{2}\left(1-k^{2}\right)^{2}-R \omega_{o n}^{2} \sigma_{o}\left(1+k^{2}\right)\right]} \\
& \times \sum_{i=1}^{p} m_{i}\left[\cos \left(n \Theta_{i}+\varphi_{n}\right) \cos \left(k \Theta_{i}\right)+n k \sin \left(n \Theta_{i}+\varphi_{n}\right) \sin \left(k \Theta_{i}\right)\right] \\
b_{k}^{\delta u_{n}}= & U_{n} \frac{\omega_{o n}^{2}}{\pi\left[\frac{E I}{R^{3}} k^{2}\left(1-k^{2}\right)^{2}-R \omega_{o n}^{2} \sigma_{o}\left(1+k^{2}\right)\right]} \\
& \times \sum_{i=1}^{p} m_{i}\left[\cos \left(n \Theta_{i}+\varphi_{n}\right) \sin \left(k \Theta_{i}\right)-n k \sin \left(n \Theta_{i}+\varphi_{n}\right) \cos \left(k \Theta_{i}\right)\right] \tag{28}
\end{align*}
$$

In the special case of just one lumped mass added $(\mathrm{p}=1)$, (27) reduces to:

$$
\begin{align*}
& \varphi_{n_{1}}=-n \Theta, \quad \varphi_{n_{2}}=-n \Theta+\frac{\pi}{2} \\
& \delta \omega_{n 1}=-\frac{M \omega_{o n}}{2 \pi \sigma_{o} R\left(1+n^{2}\right)}, \quad \delta \omega_{n 2}=-\frac{n^{2} M \omega_{o n}}{2 \pi \sigma_{o} R\left(1+n^{2}\right)} \tag{29}
\end{align*}
$$

In figures 5 and 6 the modal perturbations $\delta u_{n}$ corresponding, respectively, to $n=2$ and $n=3$ have been plotted, due to a lumped mass $M$ equal to $5 \%$ of the ring mass applied at $\theta=0$.


Figura 5: Perturbation $\delta u_{n}$ relevant to $n=2$ due to an added mass; continuous line: theory, dotted line: fem


Figura 6: Perturbation $\delta u_{n}$ relevant to $n=3$ due to an added mass; continuous line: theory, dotted line: fem
In table 3 quantities analogous to those of table 2 are reported, referred to the case of added lumped mass. The parameter $\gamma=M /(2 \pi R \sigma)$ is the ratio between the added lumped mass and the mass of the ring, which is kept fixed at $\theta=0$.

Tabella 3: Comparison results between theoretical and fem model; imperfection due to a lumped mass attached to the ring

| $\gamma$ | $\delta \omega_{n}[\mathrm{rad} / \mathrm{s}]$ |  |  |  | $\delta \omega_{n} \%$ |  |  |  | $\delta u_{n} \%$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\mathrm{n}=2$ |  | $\mathrm{n}=3$ |  | $\mathrm{n}=1$ |  | $\mathrm{n}=2$ |  | $\mathrm{n}=1$ |  |  |  |
| 0.01 | -1.00 | -4.00 | -1.42 | -12.7 | $1.83 \mathrm{E}-2$ | $2.27 \mathrm{E}-2$ | $3.04 \mathrm{E}-2$ | $2.55 \mathrm{E}-2$ | $1.56 \mathrm{E}-2$ | $1.53 \mathrm{E}-3$ | $1.81 \mathrm{E}-2$ | $1.55 \mathrm{E}-3$ |
| 0.02 | -2.00 | -8.01 | -2.83 | -25.5 | $3.66 \mathrm{E}-2$ | $4.53 \mathrm{E}-2$ | $6.13 \mathrm{E}-2$ | $5.10 \mathrm{E}-2$ | $3.07 \mathrm{E}-2$ | $1.99 \mathrm{E}-3$ | $3.37 \mathrm{E}-2$ | $2.16 \mathrm{E}-3$ |
| 0.03 | -3.00 | -12.0 | -4.25 | -38.2 | $5.51 \mathrm{E}-2$ | $6.80 \mathrm{E}-2$ | $9.26 \mathrm{E}-2$ | $7.65 \mathrm{E}-2$ | $4.56 \mathrm{E}-2$ | $2.53 \mathrm{E}-3$ | $4.84 \mathrm{E}-2$ | $2.86 \mathrm{E}-3$ |
| 0.04 | -4.00 | -16.0 | -5.67 | -51.0 | $7.36 \mathrm{E}-2$ | $9.06 \mathrm{E}-2$ | $1.24 \mathrm{E}-1$ | $1.02 \mathrm{E}-1$ | $6.02 \mathrm{E}-2$ | $3.08 \mathrm{E}-3$ | $6.24 \mathrm{E}-2$ | $3.58 \mathrm{E}-3$ |
| 0.05 | -5.00 | -20.0 | -7.08 | -63.7 | $9.22 \mathrm{E}-2$ | $1.13 \mathrm{E}-1$ | $1.57 \mathrm{E}-1$ | $1.27 \mathrm{E}-1$ | $7.46 \mathrm{E}-2$ | $3.63 \mathrm{E}-3$ | $7.56 \mathrm{E}-2$ | $4.29 \mathrm{E}-3$ |

Also in the present case the agreement between results provided by the proposed approach and by the finite element model is quite good, both in terms of frequency split prediction and in terms of modal perturbation evaluation.

## 5. CONCLUSIONS

The dynamics of a imperfect ring has been studied in this paper. The imperfections were modeled as small perturbations of the linear density and the in-plane bending stiffness of the ring, depending on the angular variable. A first-order perturbation expansion was employed in order to derive a differential equation governing the eigenmode perturbation. Closed-form expressions for the perturbed eigenmodes and eigenfrequencies have been found out considering a Fourier series expansion of the linear density and bending stiffness of the ring. Finally some case-study problems have been considered in order to compare the analytical results with analogous results obtained using a finite element model.

## AKNOWLEDGEMENTS

This research was developed within the framework of Lagrange Laboratory, an European research group between CNRS, CNR, University of Rome "Tor Vergata", University of Montpellier II, ENPC and LCPC.

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