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Nicola Apollonio, Massimiliano Caramia

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# A Superclass of Edge-Path-Tree Graphs with Few Cliques

Nicola Apollonio\*

Massimiliano Caramia<sup>†</sup>

## Abstract

Edge-Path-Tree graphs are intersection graphs of Edge-Path-Tree matrices that is matrices whose columns are incidence vectors of edge-sets of paths in a given tree. Edge-Path-Tree graphs have polynomially many cliques as proved in [4] and [7]. Therefore, the problem of finding a clique of maximum weight in these graphs is solvable in strongly polynomial time. In this paper we extend this result to a proper superclass of Edge-Path-Tree graphs. Each graph in the class is defined as the intersection graph of a matrix with no submatrix in a set  $W$  of seven small forbidden submatrices. By forbidding an eighth small matrix, our result specializes to Edge-Path-Tree graphs.

**Keywords:** Edge-Path-Tree Graphs, Intersection graphs, Maximal Cliques, Graphic Matroids.

## 1 Introduction

In this paper we do not distinguish between a matrix  $A \in \{0, 1\}^{M \times N}$ ,  $M$  and  $N$  being finite, and the finite family  $A = (A^j)_{j \in N}$  of subsets of the finite ground set  $M$ . This is accomplished by identifying column  $A^j$  of  $A$  with its *support* in  $M$  (and conversely). Recall that the *support* of a vector  $u \in \{0, 1\}^M$  is the set  $\{i \in M \mid u_i = 1\}$ . Accordingly we use the terms *column* and *member* as synonyms and we apply set theoretic operations to the columns of  $A$ . The intersection graph of  $A$  is the graph  $L(A)$  with vertex set  $N$  in which two vertices  $h, j \in N$  are adjacent if  $A^h \cap A^j \neq \emptyset$ .

In their paper [4], Golumbic and Jamison introduced and studied Edge-Path-Tree (EPT) graphs defined as intersection graphs of Edge-Path-Tree (EPT) matrices, namely, matrices whose columns are the incidence vectors of edge-sets of paths in a given tree. One of the nicest features of EPT graphs is that they generalize line graphs while retaining the property of possessing polynomially many maximal cliques, i.e.,  $O(n^2)$ ,  $n$  being the order of the EPT graph, as showed by Monma and Wey in [7]. This fact implies that in an EPT graph a maximum weight clique can be found in strongly polynomial time by running a polynomial time delay algorithm that generates all maximal cliques [10, 6].

In this paper we extend the above mentioned results in [4, 7] to a proper superclass of EPT graphs: the class of intersection graphs of  $\{0, 1\}$  matrices whose submatrices are not isomorphic to anyone in

$$W = \{F_7, F_7^*, 3PC, 3PC_1, H_{3,3}, Y_{3,3}, 3PC_2\}$$

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\*Istituto per le Applicazioni del Calcolo, M. Picone, Via G. Amendola, 122/D 70126 Bari, Italy. [nicola.apollonio@uniroma1.it](mailto:nicola.apollonio@uniroma1.it)

<sup>†</sup>Dipartimento di Ingegneria dell'Impresa, Università di Roma "Tor Vergata", Via del Politecnico 1, 00133 Roma, Italy. [caramia@disp.uniroma2.it](mailto:caramia@disp.uniroma2.it)

$$\begin{array}{ccccc}
\begin{pmatrix} 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \end{pmatrix} & \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \end{pmatrix} & \begin{pmatrix} 1 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{pmatrix} & \begin{pmatrix} 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 \end{pmatrix} & \begin{pmatrix} 1 & 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 \end{pmatrix} \\
\mathbf{F}_7 & \mathbf{F}_7^* & \mathbf{3PC} & \mathbf{H}_{3,3} & \mathbf{Y}_{3,3} \\
\\
\begin{pmatrix} 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \end{pmatrix} & \begin{pmatrix} 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \end{pmatrix} & \begin{pmatrix} 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{pmatrix} \\
\mathbf{3PC}_1 & \mathbf{3PC}_2 & \mathbf{Q}_6 & & 
\end{array}$$

Figure 1: The eight matrices used in the paper.

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the matrices in  $W$  being defined in Figure 1 (see also the end of Section 2 for a discussion). The extension is proper in the sense that by forbidding one more matrix, namely, the matrix  $Q_6$  in Figure 1, the result specializes to EPT graphs and leads to a bound of  $5q/3$  for the number of the maximal cliques in a graph with  $q$  edges. Our result relies on two powerful tools introduced in [4], namely, the notions of *strong Helly number* (a strengthening of the Helly Property) and of 3-pie (an extension of the notion of triangle in a graph), which we now discuss briefly.

Let  $A \in \{0, 1\}^{M \times N}$  be an EPT matrix with  $n := |N|$  columns underlain by a tree  $T$  with  $m := |M|$  edges. The *strong Helly number* of  $A$  is the least  $h \in \mathbb{N}$  such that for any  $k \geq h$  pairwise intersecting columns of  $A$  there are  $h$  among them whose intersection equals the intersection of the  $k$  chosen columns. Golumbic and Jamison proved that  $A$  has strong Helly number three, that is, said explicitly, for each  $K \subseteq N$ ,  $|K| \geq 3$ , such that the members of  $(A^k)_{k \in K}$  are pairwise intersecting, there are three indices  $k_1, k_2, k_3 \in K$  such that

$$\bigcap_{k \in K} A^k = A^{k_1} \cap A^{k_2} \cap A^{k_3}. \quad (1)$$

For a maximal clique  $K$  in the EPT graph  $G = L(A)$ , the members of  $(A^k)_{k \in K}$  are pairwise intersecting by definition of clique. Thus, either  $\bigcap_{k \in K} A^k \neq \emptyset$  and the paths spanned by the  $A^k$ 's go through the same edge of  $T$ , or  $\bigcap_{k \in K} A^k = A^{k_1} \cap A^{k_2} \cap A^{k_3} = \emptyset$  for some three indices  $k_1, k_2, k_3 \in K$  and (as proved by Golumbic and Jamison) the corresponding paths all go through the center of some claw (a  $K_{1,3}$ ) of  $T$  and each one contains exactly two of the three edges of the claw (any such pair of edges is referred to as a *slice* in [4]). In the former case  $K$  is an edge-clique while in the latter one  $K$  is a claw-clique. Monma and Wey proved in [7] that the number of edge-cliques is  $O(n)$ . Thus, the number of maximal cliques has the order of the number of claw-cliques of  $G$ .

In view of (1) a natural upper bound for the latter number is the number of 3-elements subsets of  $N$  times the maximum number  $t$  of claw-cliques containing a given 3-element set. It is clear that each claw-clique is uniquely determined by any three paths containing, respectively, one of the slices of the corresponding claw in  $T$ . Therefore,  $t = 1$  and the number of claw-cliques is at most  $\binom{n}{3} = O(n^3)$ . Monma and Wey improved this bound to  $O(n^2)$ .

By a result of [2] stating that a  $\{0, 1\}$ -matrix  $A$  has strong Helly number  $h \geq 2$  if and only if

$A$  does not contain the matrix  $O_{h+1} = J_{h+1} - I_{h+1}$  as submatrix, ( $J_h$  and  $I_h$ , being the all ones and the identity matrix of order  $h$ , respectively) it follows straightforwardly that  $\{0, 1\}$ -matrices with no  $F_7^*$  submatrix have strong Helly number three (because for  $h \geq 4$ ,  $F_7^*$  is a submatrix of  $O_h$ ). Therefore, we can still speak of edge-clique and claw-cliques as in [4].

However, we need to understand what is meant by a claw-clique in the more general case of  $W$ -free matrices, i.e., matrices with no submatrix in  $W$ . This is accomplished in Lemma 1 and Lemma 2. In Theorem 1 and in Theorem 2 we prove that intersection graphs of  $W$ - and  $W \cup \{Q_6\}$ -free matrices have  $O(n^3)$  and  $O(q)$  maximal cliques, respectively, where  $q$  is the number of edges of the intersection graph. Corollary 1 specializes the result to EPT graphs. We stress here the fact that the extension is possible because it is possible to give vertex-free proofs of the results in [4, 7], namely, proofs that do not exploit arguments involving vertices of the underlying tree realization of an EPT graph.

**Notation and preliminaries** All the matrices dealt with in this paper are binary matrices, i.e.,  $\{0, 1\}$ -matrices and throughout the rest of the paper rows and columns are indexed by the finite sets  $M$  and  $N$ , respectively, with  $m = |M|$  and  $n = |N|$ . The transpose of a binary matrix  $A$  is denoted by  $A^*$ . Accordingly,  $A^*$  is identified with the family of the supports of the rows of  $A$  (such a family is the so called *dual family*). Two matrices  $A$  and  $A'$  are isomorphic if  $A' = QAP$  for some two permutation matrices  $Q$  and  $P$ . For  $I \subseteq M$  and  $J \subseteq N$ ,  $A_I^J$  is the matrix obtained from  $A$  by deleting the columns whose indices are not in  $J$  and the rows whose indices are not in  $I$ . If  $I = M$  we set  $A_I^J = A^J$ . Analogously, if  $J = N$  we set  $A_I^J = A_I$ . In particular  $A^{\{j\}}$  and  $A_{\{i\}}$  are abridged into  $A^j$  and  $A_i$ , respectively. A *submatrix* (*subfamily*) of  $A$  is any matrix of the form  $A_I^J$  for some  $I \subseteq M$  and  $J \subseteq N$ . We also put  $A(J) = \bigcap_{j \in J} A^j$ . Thus, for  $I \subseteq M$ ,  $A^*(I) = \bigcap_{i \in I} A_i$ . A binary matrix not containing any submatrix isomorphic to one of non-isomorphic matrices in the set  $D = \{H_1, \dots, H_r\}$ , will be referred to both as an  $H_1, \dots, H_r$ -free and  $D$ -free matrix. We also say that  $A$  has no  $H$  submatrix to mean that  $A$  has no submatrix isomorphic to  $H$ . A *3-pie* in  $A$  is a subset  $J = \{j_1, j_2, j_3\} \subseteq N$  such that the three columns of  $A^J$  pairwise intersect and  $A(J) = \emptyset$ . Each of  $A^{j_1} \cap A^{j_2}$ ,  $A^{j_2} \cap A^{j_3}$  and  $A^{j_1} \cap A^{j_3}$  is called a *branch* of the 3-pie. We observe explicitly that the branches of a 3-pie are pairwise disjoint. For a 3-pie  $J \subseteq N$  in  $A$  let  $S(J) = \{t \in N \mid A^j \cap A^t \neq \emptyset, j \in J\}$ . Moreover, let

$$S_0(J) = \{t \in S(J) \mid A^t \cap ((A^{j_1} \cap A^{j_2}) \cup (A^{j_2} \cap A^{j_3}) \cup (A^{j_1} \cap A^{j_3})) = \emptyset\}$$

and

$$S_2(J) = S(J) \setminus S_0(J).$$

By Golombic and Jamison's argument if  $K$  is a claw-clique of the intersection graph of an EPT matrix  $A$ , then the set  $\{k_1, k_2, k_3\}$  in (1) is a 3-pie. Furthermore, for each  $j \in K \setminus \{k_1, k_2, k_3\}$ ,  $A^j$  intersects exactly two branches of the pie. This fact is in general no longer true for intersection graphs of  $W$ -free matrices: take for instance the matrix  $Q_6$  with columns left to right indexed by  $0, 1, 2, 3, 4$ ;  $Q_6$  is a  $W$ -free matrix (see the discussion preceding Corollary 1); moreover,  $J = \{1, 2, 3\}$  is a 3-pie in  $Q_6$  and  $0 \in S(J)$ ; however  $A^0 \subseteq A^1 \Delta A^2 \Delta A^3$ , where  $\Delta$  denotes symmetric difference. This consideration justifies the introduction of the sets  $S_0(J)$  and  $S_2(J)$ . Lemma 1 and Lemma 2, up to technicalities, show that the case of the  $Q_6$  is general for  $W$ -free matrices, that is, either  $A^j$  intersects  $A^{k_1} \Delta A^{k_2} \Delta A^{k_3}$  or  $A^j$  behaves as it were a path going to the center of some claw in a tree.

## 2 Results

Lemma 1 and Lemma 2 below taken together describe the structure of the set  $S(J)$  for a 3-pie  $J$  in a  $W$ -free matrix  $A$ . Such a structure is exploited to count the number of claw-cliques in Theorem 1 and in Theorem 2. Lemma 3 provides a bound on the number of edges cliques of intersection graphs of the more general  $F_7^*$ -free matrices. Throughout the rest of the paper  $U(J)$  will denote  $\cup_{j \in J} A^j$ .

**Lemma 1** *Let  $J$  be a 3-pie in a  $W$ -free matrix  $A$ . Then  $t \in S_2(J)$  if and only if  $A^t$  intersects exactly two branches of  $J$ . Moreover, the members of  $A^{S_2(J)}$  are pairwise intersecting and  $S_2(J) = S_2(L)$  for each 3-pie  $L$  in  $A^{S_2(J)}$ .*

**Proof.** Possibly after renumbering we may suppose that  $J = \{1, 2, 3\}$ . Let  $B^1 = A^1 \cap A^2$ ,  $B^2 = A^2 \cap A^3$  and  $B^3 = A^1 \cap A^3$  be the branches of  $J$ . For  $t \in S(J)$  let  $b(t)$  be the number of branches intersected by  $A^t$ . By the definition of  $S_0(J)$  and  $S_2(J)$  if  $b(t) \geq 1$  then  $t \in S_2(J)$ . Thus, to prove the first part of the lemma it suffices to show that  $b(t) = 2$  for each  $t \in S_2(J)$ . For no  $t \in S_2(J)$ ,  $b(t) = 3$  otherwise by picking  $i(j) \in A^t \cap B^j$ ,  $j \in J$  and letting  $I = \{i(1), i(2), i(3)\}$  one has that  $A_I^{J \cup \{t\}}$  is isomorphic to  $F_7$  contradicting that  $A$  is  $W$ -free. For no  $t \in S_2(J)$ ,  $b(t) = 1$ . For, if  $A^t$  intersects  $B^1$ , say, then  $A^t$  must intersect  $A^3$  because  $t \in S(J)$ . Since  $A^t \cap B^2 = A^t \cap B^3 = \emptyset$  (because  $b(t) = 1$  for the sake of contradiction) it follows that  $A^t \cap A^3 \subseteq A^3 \setminus (A^1 \cup A^2)$ . Pick  $i(1) \in A^t \cap B^1$  and let  $i(2)$  and  $i(3)$  be arbitrarily chosen in  $B^2$  and  $B^3$ , respectively. Let  $i \in A^t \cap A^3$  and let  $I = \{i, i(1), i(2), i(3)\}$ . Thus  $A_I^{J \cup \{t\}}$  is isomorphic to 3PC contradicting that  $A$  is  $W$ -free. We conclude that  $b(t) = 2$  for each  $t \in S_2(J)$  and the first part of lemma is thus established. The second part is a straightforward consequence of the following claim.

**Claim 1** *For  $j = 1, 2, 3$  there is  $\beta(j) \in B^j$  such that for each  $t \in S_2(J)$  if  $A^t$  intersects  $B^j$  then it contains  $\beta(j)$ . Therefore, for each  $t \in S_2(J)$   $A^t$  contains exactly one of the three slices  $\{\beta(1), \beta(2)\}$ ,  $\{\beta(1), \beta(3)\}$  and  $\{\beta(2), \beta(3)\}$ .*

*Proof of (1).* We prove the claim only for  $j = 1$  as the other cases follow by symmetry. Suppose that the claim is false. Hence there are  $s, t \in S_2(J)$  and  $\alpha(s), \alpha(t) \in B^1$  such that  $A^s \cap \{\alpha(s), \alpha(t)\} = \alpha(s)$  and  $A^t \cap \{\alpha(s), \alpha(t)\} = \alpha(t)$ . By the first part of the lemma  $A^s$  and  $A^t$  both intersect exactly one among  $B^2$  and  $B^3$ . Let us distinguish two cases:

- (a)  $A^s$  and  $A^t$  intersect the same branch and, without loss of generality, let such a branch be  $B^2$ ;
- (b)  $A^s$  and  $A^t$  intersect different branches and, without loss of generality, let  $A^s$  intersect  $B^2$  and  $A^t$  intersect  $B^3$ .

In case (a), let  $\gamma(s) \in A^s \cap B^2$  and  $\gamma(t) \in A^t \cap B^2$  and notice that  $\{\alpha(s), \alpha(t), \gamma(s), \gamma(t)\} \subseteq A^2$ . Necessarily  $\gamma(s) \neq \gamma(t)$ , otherwise by letting  $I = \{\alpha(s), \alpha(t), \gamma(s)\}$  and  $L = \{1, 2, s, t\}$  one has that  $A_I^L$  is isomorphic to  $F_7$  contradicting that  $A$  is  $W$ -free. Thus,  $\gamma(s) \neq \gamma(t)$ . If we let  $I = \{\alpha(s), \alpha(t), \gamma(s), \gamma(t)\}$  and  $L = J \cup \{s, t\}$  then  $A_I^L$  is isomorphic to  $H_{3,3}$  again contradicting that  $A$  is  $W$ -free. Hence, case (a) leads to a contradiction. In case (b) let  $\gamma(s) \in A^s \cap B^2$ ,  $\gamma(t) \in A^t \cap B^3$  and  $I = \{\alpha(s), \alpha(t), \gamma(s), \gamma(t)\}$ . Thus,  $A_I^L$  where  $L = J \cup \{s, t\}$ , is thus isomorphic to  $Y_{3,3}$  contradicting once more that  $A$  is  $W$ -free. Hence, case (b) leads to a contradiction as well. Consequently we must conclude that the claim is true and the lemma is thus completely proved.  $\square$

**Lemma 2** *Let  $J$  be a 3-pie in a  $W$ -free matrix  $A$ . Then  $C := A_{U(J)}^{S_0(J)}$  is a (possibly empty) chain, that is the members of  $C$  are nested. If in addition  $A$  is  $Q_6$ -free then  $S_0(J)$  is empty.*

**Proof.** Possibly after renumbering we may suppose that  $J = \{1, 2, 3\}$ . Let  $\beta(1)$ ,  $\beta(2)$  and  $\beta(3)$  be as in the Claim 1 of Lemma 1. We first show that  $C$  is laminar, namely each two columns of  $C$  are either disjoint or nested. Suppose not. Hence, there are  $s, t \in S_0(J)$  such that  $C^s \cap C^t$ ,  $C^s \setminus C^t$  and  $C^t \setminus C^s$  are all nonempty. Since  $A^s$  and  $A^t$  intersect all members of the 3-pie and both are subsets of  $A^1 \Delta A^2 \Delta A^3$  it follows that one of the following cases applies:

- (a) there are  $i \in (C^s \cap C^t) \cap A^j$ ,  $\alpha(s) \in (C^s \setminus C^t) \cap A^k$  and  $\alpha(t) \in (C^t \setminus C^s) \cap A^k$ , for  $j \neq k$  and  $j, k \in J$  (without loss of generality  $j = 1$  and  $k = 2$ ). In this case let  $I = \{i, \alpha(s), \alpha(t), \beta(1)\}$  and  $L = \{1, 2, s, t\}$ ;
- (b) there are  $i \in (C^s \cap C^t) \cap A^j$ ,  $\alpha(s) \in (C^s \setminus C^t) \cap A^k$  and  $\alpha(t) \in (C^t \setminus C^s) \cap A^l$ ,  $\{j, k, l\} = J$  (without loss of generality  $j = 1$  and  $k = 2$  and  $l = 3$ ). In this case let  $I = \{i, \alpha(s), \alpha(t), \beta(2), \beta(3)\}$  and  $L = J \cup \{s, t\}$ .

Since  $C^s \subseteq A^s$  and  $C^t \subseteq A^t$  it follows that in case (a)  $A_I^L$  is isomorphic to a 3PC while in case (b) it is isomorphic to  $3PC_1$ . In either cases we obtain a contradiction that proves that  $C$  is laminar. Suppose now that  $C$  is nonempty but it is not a chain. Thus  $C^s \cap C^t = \emptyset$  for some  $s, t \in S_2(J)$ . Pick  $\alpha(s)$  and  $\gamma(s)$  in  $C^s \cap A^1$  and  $C^s \cap A^2$ , respectively. Also pick  $\alpha(t)$  and  $\gamma(t)$  in  $C^t \cap A^1$  and  $C^t \cap A^2$ , respectively. Observe that none of them belongs to  $A^3$ . Thus, by setting  $I = \{\alpha(s), \alpha(t), \beta(2), \beta(3), \gamma(s), \gamma(t)\}$  and  $L = J \cup \{s, t\}$ ,  $A_I^L$  is isomorphic to  $3PC_2$  contradicting that  $A$  is  $W$ -free. Finally if  $A$  is also  $Q_6$ -free then  $S_0(J)$  is empty, otherwise, by letting  $I = \{\alpha(1), \alpha(2), \alpha(3), \beta(1), \beta(2), \beta(3)\}$ , where  $\alpha(j) \in C^s \cap A^j$  and  $s \in S_0(J)$ , one has that  $A_I^{J \cup \{s\}}$  is isomorphic to  $Q_6$ .  $\square$

Let  $G = L(A)$  for some  $W$ -free matrix  $A$ . Following Golumbic and Jamison we say that the maximal clique  $K$  of  $G$  is an *edge-clique* if  $A(K) \neq \emptyset$ ; we say that  $K$  is *claw-clique* if  $A(K) = \emptyset$ . Since  $F_7^*$ -free matrices (and hence  $W$ -free matrices) have strong Helly number 3, it follows that for each claw-clique  $K$  of  $G$  there is a 3-pie  $J \subseteq K$  such that  $A(K) = A(J)$ . We say that  $J$  *represents*  $K$ .

**Lemma 3** *Let  $G$  be the intersection graph of some  $F_7^*$ -free matrix  $A$  and let  $G$  have  $q$  edges. Then the number of edge-cliques of  $G$  is at most  $n_1 + q$ , where  $n_1$  is the number of isolated vertices of  $G$ .*

**Proof.** Let  $K$  be an edge-clique of  $G = L(A)$ . There is some  $i \in A(K)$ . The matrix  $A^K$  cannot contain the matrix  $O_3 = J_3 - I_3$  as submatrix (remark that  $O_3$  is the vertex edge incidence matrix of a triangle). For, if  $A_I^J$  is isomorphic to  $O_3$  for some  $J \subseteq K$  and  $I \subseteq M$ , then  $i \notin I$  and  $A_{I \cup \{i\}}^J$  is isomorphic to  $F_7^*$ . Therefore,  $A^K$  has strong Helly number 2 by the result in [2]. It follows that if  $K$  is not a singleton then  $A(K) = A^s \cap A^t$  for some  $s, t \in K$ . Moreover, it cannot happen that  $A(K') = A^s \cap A^t$  for some other edge-clique  $K'$ , otherwise we should conclude  $K = K'$  contradicting maximality. Therefore, by picking  $s(K), t(K) \in K$  such that  $A(K) = A^{s(K)} \cap A^{t(K)}$  for each non-singleton edge-clique  $K$  one defines an injection from the set of the non-singleton edge-cliques into the set of edges of  $G$  and this proves the lemma.  $\square$

**Remark 1** *Lemma 3 and its proof imply the following bound on the number of inclusionwise maximal rows of  $F_7^*$ -free matrices: an  $F_7^*$ -free matrix with  $n$  columns has at most  $\binom{n}{2}$  inclusionwise maximal rows.*

**Remark 2** A binary matrix  $A$  is Helly if for any collection of pairwise intersecting columns there is some row of  $A$  which intersects each of them in a nonzero entry. It is strong Helly if every submatrix of  $A$  is strong Helly. Strong Helly matrices were characterized by Ryser (see [5]) and Prisner [8]. Both results assert that  $A$  is strong Helly if and only if it is  $O_3$ -free. After the result in [2], strong Helly matrices are precisely those matrices that have strong Helly number 2. Hence, strong Helly matrices are necessarily  $F_7^*$ -free. Prisner proved in [8] that if  $A$  is strong Helly then  $L(A)$  has more edges than maximal cliques. Thus, Lemma 3 extends the result in [8].

**Theorem 1** Let  $G$  be the intersection graph of some  $W$ -free matrix  $A$  and let  $G$  have  $n$  vertices and  $q$  edges. Then the number of maximal cliques of  $G$  is at most  $q + \binom{n}{3}$ .

**Proof.** By Lemma 3, it suffices to show that  $G$  has at most  $\binom{n}{3}$  claw-cliques. To accomplish this it suffices to prove that each 3-pie  $J$  in  $A$  represents at most one claw-clique. Observe that if  $J$  represents  $K$  then  $K \subseteq S(J)$  and  $K = (K \cap S_2(J)) \cup (K \cap S_0(J))$  because  $S_0(J)$  and  $S_2(J)$  partition  $S(J)$ . Suppose, for the sake of contradiction, that  $J$  represents  $K$  and  $K'$ . By the maximality of  $K$  and  $K'$  we can find  $s \in K \setminus K'$  and  $t \in K' \setminus K$  such that  $A^s \cap A^t = \emptyset$ . By Lemma 1, the members of  $A^{S_2(J)}$  are pairwise intersecting. Thus  $s, t \in (K \Delta K') \cap S_0(J)$ . It follows that  $A^s \cap U(J)$  and  $A^t \cap U(J)$  are both members of  $A_{U(J)}^{S_0(J)}$  and they are disjoint. Thus  $A_{U(J)}^{S_0(J)}$  is not a chain contradicting Lemma 2. We conclude that the number of claw-cliques is bounded as stated.  $\square$

**Theorem 2** Let  $G$  be the intersection graph of some  $W \cup \{Q_6\}$ -free matrix  $A$ . Let  $G$  have  $q$  edges. Then the number of maximal cliques of  $G$  is at most  $\frac{5q}{3}$ .

**Proof.** By Lemma 3 it suffices to prove that the number of claw-cliques of  $G$  is at most  $\frac{2q}{3}$ . Let us form a collection  $\Pi$  by picking for each claw-clique  $K$  of  $G$  a 3-pie which represents  $K$  (if there are several the choice is arbitrary). Let  $\pi = |\Pi|$ . By the second part of Lemma 2,  $S_0(J) = \emptyset$  for each  $J \in \Pi$ . Therefore, if  $J$  represents  $K$  then  $K \subseteq S(J) = S_2(J)$ . As  $K$  is maximal and  $S_2(J)$  is a clique (by Lemma 1), it follows that  $K = S_2(J)$ . Moreover, as each 3-pie  $J$  represents at most one claw-clique (by the proof of Theorem 1), we conclude that the number of claw-cliques is precisely  $\pi$ . A set  $\{s, t\} \subseteq N$  is called a *good pair* if it is a subset of some  $J \in \Pi$ . Let  $\beta$  be the number of good pairs and let  $\mu$  the maximum number of  $J$ 's that contain a good pair. Since there are three good pairs for each  $J \in \Pi$  it follows that  $3\pi \leq \mu\beta$ . Now observe that if  $\{s, t\}$  is a good pair then necessarily  $A^s \cap A^t \neq \emptyset$ . Therefore,  $G$  contains an edge between  $s$  and  $t$  for each good pair  $\{s, t\}$ . Hence,  $\beta \leq q$  and  $3\pi \leq \mu q$ . Consequently, to complete the proof it suffices to show that  $\mu \leq 2$ . This is accomplished next. Suppose that  $\mu \geq 3$ . Thus, there are  $j_1, j_2, j_3 \in N$  such that  $J_1 = \{s, t, j_1\}$ ,  $J_2 = \{s, t, j_2\}$  and  $J_3 = \{s, t, j_3\}$  are in  $\Pi$  for some good pair  $\{s, t\}$ . Possibly after renumbering,  $j_1 = 1, j_2 = 2$  and  $j_3 = 3$ . For  $j = 1, 2, 3$ , the definition of 3-pie implies that  $A^j \cap A^s \cap A^t = \emptyset$  and hence  $A^j \cap (A^s \cup A^t) \subseteq A^s \Delta A^t$ . Moreover,  $A^1, A^2, A^3$  are pairwise disjoint. For, if  $A^1 \cap A^2 \neq \emptyset$ , say, then  $2 \in S(J) = S_2(J_1)$ . Since  $A^2 \cap A^s \cap A^t = \emptyset$  it follows that  $A^2$  intersects the two branches  $A^1 \cap A^s$  and  $A^1 \cap A^t$ . Hence, by Lemma 1,  $J_1$  and  $J_2$  would represent the same claw-clique, contradicting the definition of  $\Pi$ . We conclude that  $A^1, A^2, A^3$  are disjoint subsets of  $A^s \Delta A^t$ . Therefore, for  $j = 1, 2, 3$ , one can pick  $\alpha(j) \in A^j \cap (A^s \setminus A^t)$  and  $\gamma(j) \in A^j \cap (A^t \setminus A^s)$ . But then, after setting  $I = \{\alpha(1), \alpha(2), \alpha(3), \gamma(1), \gamma(2), \gamma(3)\}$  and  $L = \{1, 2, 3, s, t\}$ ,  $A_I^L$  is isomorphic to  $3PC_2$ , contradicting that  $A$  is  $W$ -free.  $\square$

**Relation to EPT graphs** To show that Theorem 1 and Theorem 2 actually specialize to EPT graphs we need a few elementary basic notions on binary matroids. Chapters 7 and 8 of [1] and Chapters 20 and 21 of [9] contain all what we need here (the reader is referred also to the textbooks cited therein). With every binary matrix  $A$  one can associate the binary matroid  $M(A)$  generated by  $[I_m, A]$ . Such a matroid is defined as the matroid whose circuits are the minimal supports of the vectors in the nullspace of  $[I_m, A]$ ,  $[I_m, A]$  being viewed as a matrix over  $GF(2)$ . Equivalently,  $M(A)$  is the matroid whose circuits are the minimal nonempty members in  $\{\Delta_{j \in J} A^j \cup \{j\} \mid J \in 2^N\}$ . Two binary matrices are  $GF(2)$ -equivalent if one arises from the other by a sequence of  $GF(2)$ -pivoting<sup>1</sup>. Any binary matrix  $A$  is  $GF(2)$ -equivalent to itself.  $GF(2)$ -equivalent matrices generate the same binary matroid and, conversely, if  $M(A) = M(A')$  then  $A$  and  $A'$  are  $GF(2)$ -equivalent. A *minor* in  $M(A)$  is a matroid of the form  $M(C)$  where  $C$  is a submatrix of some matrix  $A'$  which is  $GF(2)$ -equivalent to  $A$ . A *regular* matroid is a binary matroid not containing  $M(F_7)$  and  $M(F_7^*)$  as minors – Tutte’s deep characterization of regular matroids asserts that the binary matroid  $M$  is regular if and only if each  $A$  such that  $M = M(A)$  is a *regular matrix*, namely, it can be turned into a totally unimodular matrix by changing the sign to some of its entries [1, 9]. The matrices  $3PC$  and  $3PC_1$  are not regular [1]. Therefore, if  $A$  is regular then  $A$  is  $\{F_7, F_7^*, 3PC, 3PC_1\}$ -free. A binary matroid is *graphic* if it is generated by an EPT matrix and it is *co-graphic* if it generated by the transpose of an EPT matrix [3]. For a graph  $G$  and a spanning forest  $T$  of  $G$  the *EPT matrix generated by  $T$*  is the EPT matrix whose generic column is the edge-sets of the path  $C(e, T) \setminus \{e\}$ , where for  $e \in E(G) \setminus E(T)$ ,  $C(e, T)$  is the unique (fundamental) circuit through  $e$  in the graph  $(V(G), E(T) \cup \{e\})$ . The graphic matroid of  $G$  is denoted by  $M(G)$  and the co-graphic matroid of  $G$  is denoted by  $M^*(G)$ . EPT matrices are regular because they can be signed to become *network matrices* which are totally unimodular [9] (this amounts to orient the edges of  $T$ ). Therefore graphic and co-graphic matroid are regular (since being totally unimodular is preserved under transposition). The matrices  $H_{3,3}^*$  and  $Y_{3,3}^*$  are two of the three non isomorphic EPT matrices that generate the graphic matroid of the complete bipartite graph  $K_{3,3}$ . They are respectively generated by the two non isomorphic spanning tree  $H$  and  $Y$  of  $K_{3,3}$  whose degree sequences are  $(1, 1, 1, 1, 3, 3)$  and  $(1, 1, 1, 2, 2, 3)$ , respectively. Therefore,  $H_{3,3}$  and  $Y_{3,3}$  are  $GF(2)$ -equivalent matrices. Moreover, it is not hard to see that  $3PC_2$  is  $GF(2)$ -equivalent to a matrix containing  $H_{3,3}$  as submatrix. It follows that if  $A$  generates a regular matroid with no  $M^*(K_{3,3})$  minor then  $A$  is  $W$ -free. By another deep result of Tutte graphic matroids are precisely those regular matroids with no  $M^*(K_{3,3})$  and  $M^*(K_5)$  minors. The matrix  $Q_6$  is the incidence matrix of the collection formed by the edge sets of the triangles of the  $K_4$ . Hence,  $Q_6^*$  is the EPT matrix of the  $K_5$  generated by the spanning tree isomorphic to the star  $K_{1,4}$ . Thus,  $M(Q_6) = M^*(K_5)$ . Therefore, EPT matrices are  $W \cup \{Q_6\}$ -free matrices. In view of the preceding discussion the following corollary is a straightforward consequence of Theorem 1 and Theorem 2 and the result of Monma and Wey asserting that EPT graphs have at most  $2n$  edge-cliques [7].

**Corollary 1** *Let  $A$  be a binary matrix with  $n$  columns which generates a regular matroid with no  $M^*(K_{3,3})$  minor. Then  $L(A)$  has  $O(n^3)$  maximal cliques. If  $A$  is an EPT matrix then  $L(A)$*

<sup>1</sup>Recall that pivoting  $A$  over  $GF(2)$  on a nonzero entry (the pivot element) means replacing

$$A = \begin{pmatrix} 1 & a \\ b & D \end{pmatrix} \quad \text{by} \quad \bar{A} = \begin{pmatrix} 1 & a \\ b & D + ba \end{pmatrix}$$

where the rows and columns of  $A$  have been permuted so that the pivot element is  $a_{1,1}$  ([1], p. 69, [9], p. 280).



has at most  $\min\{2n + 2q/3, 5q/3\}$  maximal cliques,  $q$  being the number of edges of the EPT graph  $L(A)$ .

As a concluding remark let us justify the use of the symbol  $W$  for the set of forbidden submatrices considered in this paper. The bipartite graph  $B(A)$  of a matrix  $A \in \{0, 1\}^{M \times N}$  is the bipartite graph with color classes  $M$  and  $N$  where  $i \in M$  and  $j \in N$  are linked if  $a_{i,j} = 1$ . The graphs  $B(F_7)$  and  $B(F_7^*)$  are both isomorphic to a graph called *odd wheel with three spokes*. The graph  $B(H_{3,3})$  is isomorphic to an *even wheel with four spokes*. Thus, by the discussion preceding Corollary 1, for each  $H \in W$ , either  $B(H)$  is a wheel or  $H$  is  $GF(2)$ -equivalent to a matrix  $H'$  such that  $B(H')$  is either a wheel or contains a wheel as induced subgraph<sup>2</sup>. This justifies the use of prefix  $W$ .

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<sup>2</sup>Actually the operation of  $GF(2)$ -pivoting  $A$  can be carried out directly on  $B(A)$ , see [1].