1 Human IncRNAs harbor conserved modules embedded

2 in different sequence contexts

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16 Abstract

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18 We analyzed the structure of human long non-coding RNA (IncRNAs) genes to investigate 19 whether the non-coding transcriptome is organized in modular domains, as is the case for protein-coding 20 genes. To this aim, we compared all known human IncRNA exons and identified 340 pairs of exons with 21 high sequence and/or secondary structure similarity but embedded in a dissimilar sequence context. 22 We grouped these pairs in 106 clusters based on their reciprocal similarities. These shared modules are 23 highly conserved between humans and the four great ape species, display evidence of purifying 24 selection and likely arose as a result of recent segmental duplications. Our analysis contributes to the 25 understanding of the mechanisms driving the evolution of the non-coding genome and suggests 26 additional strategies towards deciphering the functional complexity of this class of molecules.

28 Author summary

30	The Human genome includes more than 18,000 genes coding for RNAs that are not translated
31	into proteins, called long non-coding RNAs (IncRNA). Mounting evolutionary and experimental evidence
32	shows that a large amount of these RNAs have a specific function, mainly as regulators of a diverse set
33	of biological processes. Here we set out to investigate whether these genes have a modular organization
34	similar to that of protein-coding genes. Accordingly, we compared the sequence of all the exonic regions
35	of human IncRNAs and identified 106 clusters of non-repetitive exonic modules shared between this
36	class of genes. These modules display evidence of purifying selection, are highly conserved between
37	humans and the four great ape species, and may represent distinct functional units that have been
38	shuffled among multiple IncRNA genes, in a manner similar to the exon-shuffling process that is
39	observed in the coding genome.

40 Introduction

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42 Many eukaryotic proteins are composed of a discrete number of domains, endowed with 43 autonomous folding capacity and/or characteristic functions. This type of organization is defined as 44 modular, and the process by which this set of modules is recombined into a variety of different protein 45 products is known as "exon-shuffling" [1].

46 Long noncoding RNAs (IncRNAs) represent a heterogeneous class of RNAs that are not 47 translated into functional protein products but, similar to messenger RNAs, are transcribed from genes 48 that may have an exon/intron structure. These RNAs are generally defined as non-coding RNAs of more 49 than 200 nucleotides in length and can be capped, polyadenylated and spliced [2], much in the same 50 way as the transcripts of protein coding genes. The human genome contains about 18,000 IncRNA 51 genes and 47,000 transcripts [3], most of which are of unknown function. IncRNAs exhibit evidence of 52 purifying selection and experimental evidence shows that at least a portion of them is indeed functional 53 (287 eukaryotic IncRNAs associated with a biological function are collected by [4], 1,273 human 54 IncRNAs by [5]). Some IncRNAs have been characterized in depth and they may function as regulatory 55 molecules both in the nucleus and the cytoplasm, through a variety of mechanisms, including interaction 56 with transcription factors, recruitment of chromatin modifying complexes, modulation of the expression 57 of their neighboring genes, control of mRNA stability and translation and competition for the binding of 58 specific miRNAs [6-8]. Individual IncRNAs have been found to have a role in promotion of metastasis 59 [9], neuronal differentiation [10], regulation of the accumulation of beta amyloid peptide in Alzheimer's 60 disease [11], and many other processes in a diverse array of pathological and physiological contexts. 61 However the identification of the function of IncRNAs on a global scale remains elusive [12], also 62 because their definition likely encompasses an extremely heterogeneous set of genes, whose main, and 63 possibly only, common characteristic is the fact that they do not produce a functional protein product 64 [13].

In general, IncRNAs are significantly less conserved than protein-coding sequences [14], which also suggests that the relationship between sequence and function is particularly complex in this class of molecules. Examples of IncRNA such as *Xist, Megamind, Cyrano* and *Miat* have been described, which have conserved functions throughout multiple organisms, and yet display a level of sequence divergence that challenges sequence homology search tools [13,15]. A corollary of this observation is

that similarity amongst IncRNA within a given organism is also limited, and, unlike coding sequences,
most IncRNAs appear in single copies in vertebrate genomes [13].

However, IncRNAs are significantly more likely to contain repetitive sequences, particularly transposable elements (TEs) [15,16]. On one hand, this could simply indicate that IncRNAs are more prone to transposon insertion, because of their aforementioned looser association between sequence and function [13]. On the other hand, this observation implies the existence of stretches of homologous sequences that are shared among different IncRNAs, even when the IncRNAs themselves are not related by descent.

Because TEs are often enriched in sequences with regulatory function, and may contribute to their "spread" within a genome [17], Johnson and Guigò [18] hypothesized that the presence of TEs may result in the sharing of functional cassettes among evolutionarily unrelated IncRNA, possibly implying a modularization of function for this class of molecules [6,12], reminiscent of the notion of domains in the protein-coding world. In support of this hypothesis, it has been reported that TE-derived sequences within IncRNAs are more conserved compared with non-TE sequences [19].

84 Here we set out to expand the identification of modules in IncRNAs that could have contributed 85 to increasing the diversity of the non-coding genome, similar to the exon-shuffling phenomenon that is 86 well known for protein sequences. Our work extends previous observations in three ways, namely by i) 87 focusing on the sharing of individual exons among unrelated IncRNAs within the human genome, ii) 88 specifically excluding exons that contain repetitive sequences, and iii) including secondary structure as 89 an additional criterion to define similarity, as IncRNAs with similar functions often lack linear sequence 90 homology [20], and many examples of ncRNA are known whose function is tied to their secondary 91 structure [21-24].

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93 **Results**

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95 Exon sequence and secondary structure comparison

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97 In order to search for similarities among IncRNAs, we performed a pairwise comparison of both
98 the sequence and the predicted secondary structure of 12,097 non-overlapping human IncRNA exons

that do not contain repetitive sequences, performing a total of more than 73 million sequence alignments
and an equal number of structure alignments. The distributions of the corresponding scores are shown
in Figure 1A, B (1A-B Fig.).

To identify pairs or groups of exons representing shared sequence elements, hereafter referred
to as "modules", it was necessary to select a threshold above which their sequence or structure similarity
would be considered significant.

We thus investigated the conservation of IncRNA exons in four non-human primates (see Materials and Methods), with the goal of identifying shared sequence elements in the human genome that are also conserved in other primate genomes.

108 Accordingly, we calculated the mean conservation scores of sequence modules across these 109 species, as a function of the similarity score threshold used to define the modules themselves. Using 110 this procedure, we observed a sharp transition in conservation at Z-score similarity thresholds of 6.2 111 and 5.3 for sequence and structure alignments, respectively (1C-D-E Fig.). We consider this increase in 112 conservation, coupled with the high Z-score similarity threshold, as a strong indication that the shared 113 sequence elements we identified represent significant similarities. As a further benchmark, we repeated 114 the entire procedure by aligning exons against random sequences with the same length and base 115 composition. None of the alignments produced z-scores above the 6.2 threshold.

By using these thresholds, we identified a total of 340 exon pairs (219 identified by sequence, 75 by structure and 46 by both), involving 338 different exons and 218 different genes (1F Fig.). Starting from these pairwise similarities, we identified 106 clusters (exon modules) defined by homologous IncRNA exons represented in at least two copies in the same or different genes (2 Fig., S1 Fig. and S1 Table).

To rule out the possibility that similarity between exons in a pair of genes is simply due to paralogy, we aligned the entire genes using BLAST and excluded pairs with alignment coverage on the smallest gene of the pair greater than 80%. Measuring the alignment coverage of the entire genes, including introns, allowed us to identify and exclude cases of complete paralogy even in the presence of intronization or imprecise exon annotation.

We note that, in general, our analysis is dependent on the reliability of the reconstruction of the whole transcript structure, which is used to define the exons themselves. This is summarized by the Transcript Support Level (TSL, S1 Table).







131 Fig.1 Sequence and structure alignments results. A) Distribution of z-transformed pairwise 132 alignment scores for sequence; B) Distribution of z-transformed pairwise alignment scores for structures, 133 for these distributions, a close-up around the proposed cutoff thresholds is also shown; C) heatmap 134 representing the conservation scores in the four non-human primates of all pairs selected at the different 135 z-score thresholds of sequence and structure alignments; D, E) Mean conservation scores (within four 136 non-human primates) of members of clusters defined by different z-score thresholds of pairwise 137 similarity for sequence (D) and structure (E). Note the steep increase in evolutionary conservation for 138 the z-score cutoff of 6.2 (sequence) and 5.3 (structure), respectively; F) Scatter plot of sequence and 139 structure similarity z-scores of the exon pairs (for the sake of clarity, the more than 73 million pairs below 140 the thresholds are not shown).



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Fig.2 Network representation of the exon-sharing gene clusters and the corresponding exon modules. Each node represents a lncRNA gene and each edge an exonic module shared between two genes. Same color edges within a gene cluster represent a module. Self-loops represent instances where the same module occurs multiple times in a single gene. The network representation was generated using Cytoscape [25].

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Figure 3A (3A Fig.) is an example of one of the identified exon modules shared by a group of 7 IA9 IncRNA genes: ENSG00000279072.1, ENSG00000188185.11, ENSG00000276997.4, ENSG00000280136.2, ENSG00000280279.1, ENSG00000230724.9, ENSG00000238035.8. This cluster consists of 9 exons that contain a region of ~65 nucleotides with high sequence similarity

- 152 (external gap trimmed sequence identity 92-98%, 3B Fig.) embedded in different genes. It is worth noting
- that, in some cases, the module constitutes an exon on its own, whereas in other cases it is part of a
- 154 larger exon.
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Fig.3 An example of the identified exon modules. A) Schematic representation of 7 genes containing representatives (in red) of exons contributing to a module cluster. Each box represents an exon, with width proportional to its length (intron length not to scale); B) multiple alignment of the 9 exons contributing to the cluster.

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We then analyzed in more detail the sequence context of exon modules. More specifically, we
looked at the sequence similarity of additional exons flanking the modules, to rule out the possibility that

164 the similarity between modules in different genes simply reflects global sequence similarity between the 165 exonic components of genes (see Materials and Methods and 4A Fig.). The alignment scores for exons 166 flanking the putative module in the same gene, upstream and downstream (4B Fig.), showed that the 167 similarity between exon modules is significantly higher than that of the sequence context in which they 168 are embedded. We also observed a small proportion of cases in which the flanking exons are also similar 169 (outliers in 4B Fig.). These cases fall outside the criteria used to define exon modules: in 17 cases 170 because they are less than 50 nucleotides in length, and in another 17 cases because they contain 171 repetitive sequences.

172 We then analyzed the sequence similarity of the intronic sequences flanking the exon modules. 173 To this end, we defined genomic regions of interest by extending upstream and downstream the 174 sequence of each candidate exon pair, until we obtained two sequences with a length equal to three 175 times that of the longest exon of the pair (4C-E Fig.). We limited the analysis to pairs with sequence 176 similarity above the z-score threshold of 6.2 and excluded modules repeating within the same gene. For 177 each pair of genomic regions of interest, we performed a global alignment using the same parameters 178 used to identify the exon modules, and calculated the percentage identity of the pairs using overlapping 179 windows of 50 nucleotides with a single nucleotide shift, to generate graphs depicting the extent of the 180 similarity. We found that, in the majority of instances, sequence similarity extends into the flanking 181 intronic regions. More specifically, in approximately one third of the cases, the similarity encompassed 182 both the upstream and downstream intron, in another third of the cases the similarity extended to a 183 single intron, while the remainder of cases lacked a clear pattern. We did not observe any cases where 184 the similarity was confined to the boundaries of the candidate exon modules.

185 The extension of the similarity through the flanking introns suggests that the most common 186 mechanism responsible for the origin of exon modules is segmental duplication of a genomic DNA 187 stretch encompassing the parental copy of an exon. This is the same mechanism suggested as a driver 188 of exon shuffling in protein coding genes [26]. To further confirm these findings, we compared our results 189 with the data present in the UCSC Segmental Dups track (genomicSuperDups) which contains regions 190 detected as putative genomic duplications within the human genome. These regions represent large 191 recent duplications (>= 1 kb and >= 90% identity) that originated over the last ~40 million years of 192 human evolution, based on neutral expectation of divergence [26]. For 84 of the 340 IncRNA exon pairs

193 identified here, we found a match in the segmental duplications identified by Bailey et al. In 81 of these 194 cases the duplicated stretch includes the entire exons of the pair, while in 3 cases the duplication is 195 interrupted within the exon. We also observed a higher frequency of pairs located on the same 196 chromosome (~20.5%) compared with what is observed when the same exons are randomly paired 197 (~3.6%). Moreover, pairs of exon modules that are on the same chromosome are closer together when 198 compared to the same random pairing control (Mann-Whitney p-value=9.86e-05). A higher rate of 199 occurrence on the same chromosome has been described for segmental duplications [27]. To further 200 extend the analysis of flanking regions, we compared the rate of occurrence of multiple families of 201 repetitive elements in the introns flanking candidate exonic modules vs other IncRNA exons (for exons 202 located at the ends of a gene, we included a region of 10k bps in the genome). We calculated the 203 number of occurrences per 1,000 base pairs of each family of repetitive elements on the set of regions 204 flanking the exon modules vs the other IncRNA exons (4F Fig., S2 Table) thus obtaining a distribution 205 of occurrences where the observations correspond to the individual sequence regions. We then 206 compared these distributions using a Mann-Whitney U test, with Bonferroni correction for multiple 207 hypothesis testing. We observed significant differences for 15 of 46 families (padj<0.05). Interestingly, 208 centromere and satellite repeats are among the few classes of repeats enriched in regions flanking the 209 exon modules, while most classes of transposon- or endogenous retrovirus-derived repeats are 210 depleted. Since the genomic regions proximal to centromeres and telomeres are enriched with 211 segmental duplications [28], this observation further points at segmental duplication as the main driver 212 of the appearance of these exon modules, as opposed to, for instance, transposition. The enrichment 213 of this type of repetitive sequences can be explained by the localization near the centromeres or 214 telomeres of a portion of the modules (S2 Fig.). Moreover, searching for transposase domains using a 215 procedure similar to the one described in [29] did not reveal significant differences in their occurrence 216 among genes containing exon modules (data not shown), further highlighting that transposition is not 217 the main driver of this process.







Fig.4 Analysis of the sequence regions flanking exon modules. A) For each pair of genes 220 containing a shared exon module we compared the similarities of the upstream and downstream flanking

221 exons (when present); B) Distributions of the length-normalized Needleman and Wunsch scores of 222 exonic modules (in blue) and of their upstream and downstream flanking exons (in red); C) A pair of 223 exons in which the similarity only extends to the downstream flanking intron; D) A pair of exons in which 224 the similarity extends upstream and downstream into both flanking introns; E) Overall representation of 225 all the length-scaled similarities between all the exon pairs and their flanking introns (in grey), the median 226 identity percentage is represented in red. The other colored lines represent five clusters of similarity 227 patterns as defined by grouping individual lines; F) Number of occurrences per thousand base pairs of 228 families of repetitive sequences in flanking introns with significant differences (padj<0.05) between the 229 exonic modules and the other IncRNA exons.

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232 To further investigate the characteristics of these modules we looked at the distribution of cis-233 regulatory elements (CRE) within their sequences (5A Fig.). This research highlighted a depletion in 234 exon modules of the most frequent CREs (Fisher's exact test padj=6.2e10-3, 1.2e10-2, 1.2e10-2 for 235 pELS, dELS and PLS, CTCF-bound respectively). One of the few elements that are not depleted are 236 H3K4me3 marks, which are characteristic of transcriptionally active regions (Howe et al. 2017). 237 Interestingly this histone modification is usually found in the region corresponding to the beginning of 238 the transcript [30]. Accordingly, when we investigated the position of the exonic modules within their 239 transcripts (5B Fig.), we detected a higher frequency of the modules at the 5' end. This finding is 240 consistent with what is observed in protein coding genes, which in vertebrates tend to increase their 241 length over time by gaining recently evolved domains, primarily through the addition of sequences at 242 the 5' end of genes [31]. The insertion of these modules at the extremities of the transcript presumably 243 allows the addition of genetic material with minimal disruption to the existing sequence.





245 Fig 5 Cis-regulatory elements (CRE) and position of the modules. A) number of 246 occurrences of the different CREs from the annotation present in ENCODE every thousand nucleotides 247 in the modules (in blue) and in the other IncRNA exons of the dataset (in red); B) the y axis indicates 248 the frequency of regions containing modules relative to their position on their transcript (which is 249 indicated on the Y axis, see Methods), as the sum of modules present in that region. The higher y value 250 therefore indicates that there is a greater number of modules at the ends of the transcripts, particularly 251 at the level of the 5' end.

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Evolutionary conservation of exon modules

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255 To analyze in detail the inter-specific conservation of exon modules, we compared their 256 conservation scores (see Materials and Methods) with the conservation scores of functionally annotated 257 IncRNA exons, using the conservation scores of other IncRNA exons as control. Functionally annotated 258 IncRNA genes were collected from the Inc2Cancer database [5], which contains experimentally 259 supported annotations of IncRNA associated with a biological function, as derived from the literature 260 (see Materials and Methods). The comparison of these three categories revealed that the conservation 261 score of exon modules was higher than that of exons belonging to functionally annotated IncRNA genes 262 (Mann-Whitney p-value=6.3e-5), and both the conservation score of exon modules and of exons 263 belonging to functionally annotated IncRNA were significantly higher than the conservation score of the 264 remaining IncRNA exons (Mann-Whitney p-value=7.4e-27 and 3.5e-26 respectively, 6A Fig.). When 265 looking at the conservation of exon modules in four higher primate species, we also observed a greater 266 proportion of exons with a BLAST hit among exon modules vs the remaining exons. More specifically, 267 65.97% of the exon modules have a BLAST hit in Chimpanzee, 42.01% in Bonobo, 11.83% in Gorilla 268 and 47.04% in Orangutan. Conversely, only 43.23%, 16.72%, 2.61%, 25.86% of the control exons (i.e 269 the portion of the 12097 IncRNA exons that have no repetitive and non-overlapping sequences and that 270 are not modules) have BLAST hits on the same species respectively (6B Fig.) To evaluate the 271 significance of these results we performed a Fisher's exact test on the aggregated data from the different 272 species, which confirmed that these results are significant (p-value=4.10e-15).

273 Since the BLAST similarity score with non-human primates does not take into account the 274 genomic position of exons in different organisms, i.e. it cannot distinguish between the similarity of true 275 orthologs vs in- and out- paralogs, we investigated whether exon modules are located in regions of 276 synteny between non-human primates more often than other exons. To this end we leveraged the 277 SynthDB [32] database, which provides data on orthology relationships between humans and other 278 primates. We observed that the percentage of genes located in a syntenic region is higher for genes 279 that contain at least one exon module, compared with those which do not. Accordingly, 14.50% of the 280 exon modules are located in genes that have an ortholog in Chimpanzee, 16.57% in Bonobo, 17.46% 281 in Gorilla and 15.98% in Orangutan. While for the other IncRNA exons we observed percentages of 282 10.79, 4.74, 12.39, 6.55 in the same species respectively. We then performed a Fisher's exact test 283 comparing exons modules that belong to genes with an ortholog in at least one of the species mentioned 284 above to the other exons which confirmed the significance of our results (p-value=5.63e-05) (6C Fig.).

To strengthen the evolutionary conservation analysis, and to compare our results with the analysis by Sarropoulos et al. 2019 [33], we extended it by including additional species. To this end, we aligned all IncRNA exons using blastn against the genomes of the organisms used in Sarropoulos et al. 2019 (Macaque, taxid: 9544; Rabbit, taxid: 9986; Chicken, taxid: 9031; Opossum, taxid: 13616; Rat, taxid: 10116; Mouse, taxid: 10090), and other model organisms (Danio rerio, taxid: 7955; Drosophila melanogaster, taxid: 7227; Caenorhabditis elegans, taxid: 6239; Arabidopsis thaliana, taxid: 3702), using an e-value threshold of 0.01 to identify hits (5D-E Fig., S3 Fig.). Figure 6E (6E Fig.) displays the

292 percentage of exonic modules vs other IncRNA exons that have at least one hit in the species indicated 293 above. This analysis shows a rapid decay in the number of similar exons as the evolutionary distance 294 from humans increases. Figure 5F (5F Fig.) shows the 30 mammal PhastCons scores of the exon 295 modules, as a function of the z-score similarity threshold used to define the modules themselves (i.e. 296 the threshold described in 1A Fig.). This analysis demonstrates that the exon modules identified in this 297 work, which are highly similar as they were selected on the basis of having a Z-score of at least 6.2 and 298 5.3 in the sequence and structure alignment respectively, represent duplications that are recent (as 299 implied by the high levels of sequence similarity) and that are exclusively found in humans and higher 300 primates, and thus have lower PhastCons scores on the entire set of 30 mammals (6F Fig.).

301 Overall, the above results reveal that roughly 4% of IncRNA genes (218 IncRNA genes/5,423 302 total IncRNAs genes which contain at least one exon without repetitive sequences, see Materials and 303 Methods) include one or more exons having significant similarity with exonic portions of other IncRNAs.

To our knowledge, this represents the first draft of a genome-wide catalog of shared lncRNA exons.



Fig.6 Evolutionary conservation of exon modules. A) Box-plot of the conservation scores in four non-human primates for exon modules, functionally annotated exons from the Inc2Cancer database, and controls; B) Percentage of exon modules (in blue) and other exons (in red) that showed a BLAST hit (e-value <0.001) in the primate species considered; C) Percentage of genes showing a

310 conserved syntenic region (as defined in SynthDB) among those containing exon modules (in blue) vs 311 genes not containing an exon module (in red); D) Upset plot representing the exons that have a BLAST 312 hit in the species analyzed in Sarropoulos et al. and in other model organisms; E) Percentages of 313 modules (in blue) and other exons (in red) showing a BLAST hit in the indicated species F) PhastCons 314 30 mammals scores of members of clusters defined by different z-score thresholds of pairwise similarity 315 from sequence alignments (in blue) and the other IncRNA exons of the dataset (in red).

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317 Nucleotide variation in modules

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319 To further investigate whether exon modules may represent conserved functional units, we 320 analyzed the occurrence and frequency of single nucleotide polymorphisms (SNPs) in these regions, as 321 a lower incidence of variants may indicate the existence of constraints associated with functional 322 sequences, due to the effects of purifying selection [34]. Accordingly, we collected SNP data from the 323 1000 Genome project from dbSNP 153 [35] and we observed 12.87 variants per thousand bases in 324 control exons (which are not modules) and 11.83 in modules. We then obtained from the ALFA allele 325 frequencies aggregator [36] a total of 764.005 SNPs located in IncRNA exons. [36] and their associated 326 frequencies. For each exon, we calculated the index of nucleotide diversity $\theta\pi$ [37] as

$$\theta \pi = \frac{\sum_{i=1}^{l} 2f_i(1-f_i)}{l}$$

where *f_i* represents the frequency of variants in the *i* th position of the exon sequence in the population,
and *I* represents the length of the exon.

330 After comparing the distributions of $\theta\pi$ scores with the Mann-Whitney U test, we obtained a p-331 value of 2.14e-02 in the comparison between modules and exons from functionally annotated genes, a 332 p-value of 2.77e-02 from the comparison between exon modules and other IncRNA exons and a non-333 significant p-value (7.45e-01) from the comparison between functionally annotated and others, 334 confirming a significant lower propensity to harbor variation in exon modules as compared to the other 335 two groups. These findings indicate the existence of evolutionary constraints which limit the occurrence 336 of variants with polymorphic frequencies in exon modules, which in turn may reduce the rate of 337 evolutionary change in the long-term. We also looked at the frequency of polymorphic complete exon 338 deletions, but the results were not statistically significant (data not shown).

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Search for characteristics shared with protein coding genes

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To confirm that exon modules do not simply represent mis-annotated protein domains, we compared their sequence characteristics with those of known coding genes.

França et al. [38] observed that symmetric shuffling units (exons whose length is an exact multiple of three) are strongly over-represented in human protein coding genes, due to their lower impact on the reading frame when transposed. We found an opposite trend in IncRNA exon modules, with only 25% having a length that is a multiple of three, which confirms the lack of relevance of the reading frame. By contrast, in the remainder of the exons, this proportion is 33%, i.e. what would be expected under a random model.

The transition/transversion ratio (Ti/tv) among polymorphic variants should be 0.5 under a purely random model, resulting from four possible transitions/eight possible transversions. However, real data depart remarkably from this expectation, with functional regions and protein coding regions presenting values higher than 0.5, since transitions are more likely to result in non-synonymous substitutions (e.g. when they occur in the third base of a codon) [39]. Exon modules displayed values of 1.9, in line with previous results for lncRNAs [40]. As a reference, these values contrast sharply with those for protein coding genes, which range between 2.8-2.9 +/- 0.1 [40].

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359 **Functional hypothesis and organization of putative modules**

360 in clusters of IncRNA genes

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To further describe exon modules, here we show some examples of their organization within the structure of their IncRNA genes. Only 12 of 218 genes containing exon modules are associated with a known biological function in the Inc2Cancer database [5]. For most of them, the specific region of the IncRNA molecule responsible for that function is unknown. In the next two paragraphs we will provide a more detailed description for two of the identified modules, in an attempt to capture their putative

functions. The first example refers to an exon module recognized by virtue of sequence similarity, andthe second one refers to an exon module recognized by virtue of structure similarity.

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370 Identification of a putative YBX1 binding module

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372 Figure 7A (7A Fig.) shows an example of a putative module represented in a pair of exons as a 373 sequence of ~200 nucleotides sharing a high sequence similarity (>87%). The exons involved are 374 ENSE00003710224.1 and ENSE00003838358.1 which belong to genes ENSG00000182165.17 (also 375 known as TP53TG1) and ENSG00000285540.1, respectively. TP53TG1 is a lncRNA involved in the p53 376 network response to DNA damage [41], which has a role as tumor suppressor by blocking the 377 tumorigenic activity of the RNA binding protein (RBP) YBX1 [42]. More in detail, the expression of 378 TP53TG1 is induced by p53 under cellular stress conditions that involve the induction of double-strand 379 breaks [41], while the interaction in the cytoplasm between TP53TG1 and YBX1 prevents the migration 380 of the latter inside the nucleus where it might promote the transcription of a series of oncogenes [43]. 381 Diaz-Lagares et al. [42] demonstrated that a central region of TP53TG1, which includes the putative 382 module in the exon ENSE00003710224.1, is responsible for YBX1 binding. Moreover, they proved that 383 YBX1 binding motifs CACC are necessary to ensure the tumor-suppressor function of TP53TG1. We 384 identified two occurrences of the CACC motif in ENSE00003710224.1 and one in ENSE00003838358.1, 385 suggesting a common role for this module.

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387 Identification of a putative LIN28B binding module

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Figure 7B-D (7B-D Fig.) shows an example of a module with high structure similarity, embedded in dissimilar sequence contexts. The exons involved are ENSE00003741285.1, ENSE00001800736.1 and ENSE00001782399.1 which belong to ENSG00000278214.1, ENSG00000224610.1 and ENSG00000229249.6, respectively (7B Fig.). These three exons fold into a similar secondary structure, composed of two stems ending with a hairpin loop, with one of the two stems having one or two internal loops.

395 To detect a possible function, common to the three representatives of this exon module, we 396 searched for the presence of enriched structure and sequence motifs using the BRIO web server (see 397 Materials and Methods). BRIO identified a significantly enriched (Fisher's exact test padi<0.05) structure 398 motif shared between all the exons of the group (7C Fig.). This particular motif was associated by Adinolfi 399 et al. [44] with a series of different RNAs capable of binding some RBPs including LIN28B. This is an 400 evolutionary conserved RBP involved in several cellular processes, which acts as a critical oncogene 401 activated in cancer [45]. LIN28B is known to be able to bind different mRNAs, including a set of mRNAs 402 for splicing factors [46], miRNAs [47] and IncRNAs such as NEAT1 [48]. Furthermore, LIN28B C-terminal 403 zinc knuckle (ZnK) mediates specific binding to a conserved GGAG motif [49] which is also a sequence 404 motif present in all the three representatives of this module (7D Fig.). These observations suggest a 405 possible role of this module in binding LIN28B.



408 Fig.7 Organization of a sequence and a structure module and identified motifs. A) 409 Schematic representation of the IncRNA genes containing the putative YBX1 binding module (in green); 410 B) Representation of the IncRNA genes containing the exons with the putative LIN28B binding module 411 and their secondary structures. The blue boxes represent the exons with high structural similarity that 412 form the module; C) secondary structure motif revealed by BRIO represented with the BEAR alphabet 413 [50]; D) sequence motif recognized by ZnK in the three modules. The RNA secondary structure 414 representations were generated using VARNA (Darty et al. 2009); Sequence and structure logos were 415 generated using WebLogo [51].

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417 **Discussion**

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419 This work identified a set of IncRNA exons with high sequence and/or structure similarity that 420 are embedded within globally dissimilar genes, confirming the hypothesis of exon sharing between this 421 class of molecules similar to protein-coding genes. This set contains a total of 340 pairs of exons that 422 can be grouped, on the basis of their reciprocal connections, in 106 clusters. In contrast to previous 423 work [18], our analysis focused on exons that do not contain repetitive sequences. The resulting dataset 424 of exon modules likely represents the result of recent segmental duplications that are almost exclusively 425 found in humans and higher primates. These findings support the hypothesis that the non-coding 426 transcriptome is structured into modular domains, similar to the organization observed in protein-coding 427 genes.

Approximately 4% (218 out of 5,423) of all the IncRNA genes in our dataset contain an exon module. Even though we cannot assign a specific function to each of these modules, as it has been done for the majority of protein coding domains, it is tempting to infer that sharing of functional modules between different IncRNAs may contribute to expanding the functional repertoire of the non-coding genome, similar to the shuffling of functional exons in coding sequences [12].

LncRNA exon modules identified in this work display a higher degree of sequence conservation and synteny in four primate great ape species than the remainder of lncRNA exons. A high level of conservation between related species is suggestive of purifying selection and is a landmark characteristic of functional genetic elements [52]. Exon modules also harbor a lower frequency of SNPs compared with control sequences, which suggests that purifying selection also persists intra-specifically in human populations. Our set included 46 exon pairs highly similar in both sequence and structure (1F Fig.), which are associated with the highest conservation scores. Even though we cannot infer the age of the duplication/shuffling event based on our analysis, our results show that the exons involved are subjected to extreme purifying selection, which preserved both sequence and structure. Taken together, this evidence suggests that these modules play an important role within their respective IncRNA genes, even though their exact function is yet to be characterized.

444 Some of the modules may also have undergone an accelerated divergence. Our set includes 445 219 and 75 exon pairs similar only in sequence or structure, respectively, and since our inclusion criteria 446 considered both similarity and evolutionary conservation, examples of accelerated evolution may have 447 escaped our search. This mechanism is equally relevant, especially when searching for evolutionary 448 innovations specific to the human lineage. However, different methods than those used here are 449 required to identify such cases. Finally, it was reported that homologous IncRNAs can, in some cases, 450 conserve their function over long evolutionary times, despite having diverged in both their nucleotide 451 sequences and their secondary structures [53]. The above considerations suggest that our analysis may 452 underestimate the extent of module sharing in IncRNAs. Other limitations include the fact that the correct 453 identification of exons within IncRNAs is strongly dependent on the reliability of the reconstruction of the 454 whole transcript structure. This is usually summarized by the TSL parameter (Transcript Support Level) 455 which we included, for every exon, in the Supporting information (S1 Table).

In the few cases for which functional information on a IncRNA is available, it may be possible to infer the function of the shared module. We report two examples of modules conserved in either sequence or structure. In both cases, the ability to bind specific targets is the inferred associated function.

460 Overall, our results highlight the presence of groups of exons sharing high sequence or structure 461 similarity within dissimilar IncRNA genes. These exons are highly conserved across primate species 462 and depleted of inter-individual variation among humans (SNPs), and we suggest that they may 463 represent functional modules.

The identification of these modules could constitute a tool for decoding the function of the many IncRNAs that are currently uncharacterized. Membership in a shared exon cluster represents a feature that deserves annotation, even though conclusive proof of shared function will require experimental evidence.

468

469 Materials and Methods

470

471 Dataset

472

473 We used gencode version 29 [3], to select 34,509 exons annotated as long intergenic non-474 coding RNA, which do not have overlaps with protein coding genes, and downloaded their chromosomal 475 coordinates as a gtf file. We then used these coordinates to obtain the corresponding sequences from 476 the hg38 version of the human genome (UCSC genome browser), converting the gtf to bed file and 477 using the getfasta tool from the bedtools suite [54], with repetitive sequences masked by RepeatMasker 478 (Smit et al., unpublished data, www.repeatmasker.org) and Tandem Repeats Finder [55]. We removed 479 18,703 exons containing repetitive sequences and retained 15,806 exons. 3,709 of these were shared 480 by different isoforms of the same IncRNA gene. In such cases we only considered the longest isoform, 481 thus obtaining a final set of 12,097 non-overlapping exons that do not contain repetitive sequences. 482 These exons belong to 5,423 different IncRNA genes.

483

484 Sequence alignments

485

All exon sequences were compared to each other using the Needleman and Wunsch global alignment algorithm [56], using the same default gap penalties scores as the EMBOSS Needle tool for global alignments of nucleic acids sequences [57] (-10 for gap insertions, -0.5 for gap extensions) and the EDNAFULL substitution matrix.

490

491 Structure alignments

492

The secondary structure of each exon was calculated using RNAfold [57,58], as the minimum free energy (MFE) structure, and represented by its dot-bracket notation. These representations were converted into the BEAR alphabet for RNA secondary structure notation (Mattei et al. 2014). The BEAR 496 alphabet is an encoding method for RNA secondary structure, whose characters encode for a specific 497 secondary structure element (loop, stem, bulge and internal loop) with specific length (e.g. a nucleotide 498 that is part of a stem of length 5 is represented by one character and a different character is used to 499 represent a stem of a different length). The global structure alignments were performed using the 500 BEAGLE algorithm [59], with default parameters (-2 for gap insertions, -0.7 for gap extensions, +0.6 for 501 the sequence match bonus) and the substitution matrix for RNA structural elements (MBR, Matrix of 502 Bear-encoded RNAs) described in [50]. To avoid favoring alignments between unstructured regions we 503 modified the original MBR, assigning a score of 0 to matches in these regions. BEAGLE is an algorithm 504 for pairwise RNA secondary structure global comparison similar to the Needleman and Wunsch 505 algorithm for sequence alignments.

506 For both sequence and structure alignments we considered the scores of the aligned sequences 507 after trimming external gaps. The score of each alignment was normalized by its length, to avoid biases 508 towards longer sequences. We selected only alignments of a length of at least 50 nucleotides after the 509 external gap trimming. The final distributions consisted of approx. 73 million values, with z-scores 510 ranging from ~-36 to ~16 and from ~-3 to ~9, respectively.

511

512 **Repetitive elements and cis-regulatory elements**

513

514 Repetitive sequences were mapped using the rmsk table from the UCSC genome browser, 515 which is derived from RepeatMasker (Smit et al., unpublished data, <u>www.repeatmasker.org</u>).

516 Cis-regulatory elements coordinates are derived from the ENCODE Registry of candidate cis-517 Regulatory Elements (cCREs) combined from all human cell types [60]. The enrichments are calculated 518 using a Fisher's exact test between modules containing a particular CRE and the other IncRNA exons 519 of the dataset with a Benjamini-Hochberg correction.

520

521 Evolutionary conservation score

522

523 The evolutionary conservation score for each exon was calculated using an approach similar to 524 [61], using the BLAST+ suite of command-line tools [62]. More specifically, the BLASTn algorithm was 525 used to perform an alignment of all the IncRNA exons of our dataset (12,097). In view of the pattern of 526 the evolutionary conservation of IncRNA sequences [14], we used the genomes of four primate species 527 closely related to H. sapiens: Pan troglodytes (Chimpanzee, taxid:9598), Pan paniscus (Bonobo, 528 taxid:9597), Pongo pygmaeus (Orangutan, taxid:9601) and Gorilla gorilla (Gorilla, taxid:9592). For each 529 IncRNA exon we then calculated a comprehensive conservation score as the sum of the best match bit-530 score over the four species, divided by the length of the query sequence. Though the four organisms 531 are phyletically correlated, we used this procedure to buffer lineage-specific effects and potential 532 genome annotation errors.

533 For both sequence and structure similarity scores, the resulting distributions were compared 534 with the inter-specific degree of sequence conservation, under the hypothesis that constraints on exon 535 variation acted both intra- and inter-specifically. These comparisons were used to explore the 536 relationship between intra- and inter-specific conservation scores around the z-score value of 6.0 537 proposed by [63] as the threshold to distinguish homologous sequences (1 Fig.).

538 We excluded from this comparison exon pairs located in genes that are globally similar as the 539 similarity of the exons would simply reflect gene paralogy. To do so we performed a pairwise alignment 540 of the genes containing the exon pairs using BLASTn. The genomic coordinates of the whole genes, 541 including the introns, were retrieved from the gencode version 29 gtf file [3], and we used the same 542 procedure described above for the exons to obtain their sequences. Local alignments were performed 543 considering the smallest gene of the pair as the guery and the longest as the subject, and excluding 544 pairs presenting a total guery coverage greater than or equal to 80%. For each exon pair, we also 545 checked the coordinates from the bed file, excluding overlapping pairs.

546

547 Syntenies

548

549 Synteny data were collected from SyntDB [32], which takes into account positional conservation 550 and sequence similarity to identify syntenic regions of human lncRNAs across primates. This database 551 comprises synteny information for 55632 transcripts. From this dataset we selected conservation data 552 in Chimpanzee, Bonobo, Orangutan and Gorilla for the 8,390 lncRNA transcripts containing the 12,097 553 exons in our dataset.

555 Single nucleotide polymorphisms (SNPs)

556

557	SNPs locations were retrieved from common dbSNP 153 (variants with a minor allele frequency
558	(MAF) of at least 1% (0.01) in the 1000 Genomes Phase 3 dataset) [35] and population frequencies
559	were obtained from the ALFA allele frequency aggregator project [36]. The release 2 vcf format file
560	contains variant frequency data aggregated from 79 different studies on more than 900 million SNPs.
561	We used the tabix tool from the SAMtools suite of programs [64] to select SNPs located within one of
562	the 12,097 exons in our dataset, obtaining ~764,000 variants with associated allele frequency
563	information.

564

565 Transition/transversion ratio

566

567 The transitions to transversion ratio (Ti/Tv) was calculated by using the variant data present in 568 the common dbSNP 153 (see above) for all the 12,097 IncRNA exons in our dataset, as the number of 569 pyrimidine-pyrimidine or purine-purine substitutions (transitions), divided by the number of purine-570 pyrimidine or pyrimidine-purine substitutions (transversions).

571

572 **Protein coding exons**

573

The protein coding exon coordinates were obtained from the gencode version 29 annotation and mapped on the hg38 version of the human genome using the same procedure described for the IncRNA exons.

577

578 Motifs scan

579

580 The search for sequence and structure motifs in the putative LIN28B binding module was 581 performed using the BRIO (BEAM RNA Interaction mOtifs) web server [65]. This tool enables the 582 identification of RNA sequence and structure motifs involved in protein binding in one or more input RNA

583 molecules, by measuring, through a Fisher's exact test, their enrichment compared to a background of 584 RNAs from Rfam with similar length and structure content, defined as the fraction of paired nucleotides 585 in the RNA secondary structure. The database of motifs that is included in BRIO is derived from high 586 throughput protein-RNA binding experiments (PAR-CLIP, eCLIP and HITS) analyzed in [44]. For this 587 analysis, we considered the default enrichment significance threshold of p-value<0.05 to evaluate the 588 enrichment of a motif in a group of exon modules. We chose to use this algorithm because in addition 589 to identifying common motifs on some particular modules, it allows us to associate them with motifs 590 enriched in RNA that interact with specific proteins from experimental data.

591

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593

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597

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- 599
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- 608
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- 610 authors.
- 611

612 Data Availability

613

- 614 The annotation was obtained from GENCODE v29
- 615 (https://www.gencodegenes.org/human/release_29.html).
- 616 The hg38 version of the human genome was downloaded from UCSC genome browser
- 617 (http://hgdownload.cse.ucsc.edu/goldenPath/hg38/bigZips/).
- 618 The BEAGLE webserver for RNA structure alignments is available at:
- 619 http://beagle.bio.uniroma2.it.
- 620 Functionally annotated IncRNA was downloaded from: http://bio-
- 621 bigdata.hrbmu.edu.cn/lnc2cancer.
- 622 Variant frequencies in human populations are available in the ncbi website
- 623 (https://www.ncbi.nlm.nih.gov/snp/docs/gsr/alfa/#ftp-download).
- 624 The BRIO webserver for RNA interaction motif search is available at:
- 625 http://brio.bio.uniroma2.it.
- 626 SynthDB is available at: http://syntdb.amu.edu.pl.
- 627 For a list of the 340 exon pairs identified see S1 Table.
- 628

629 Supporting information

- 630
- 631 S1 Fig. Numerosity of IncRNA exons per exon cluster.
- 632 S2 Fig. Positions of the exon modules on the human chromosomes
- 633 S3 Fig. Comparison of BLAST hit frequencies at different evolutionary divergence ages of
- exonic modules (A) and IncRNAs genes analyzed by Sarropoulos et al. (B).
- 635 S1 Table A list of identified exonic modules and their properties.
- 636 S2 Table Repetitive elements in the regions flanking the exonic modules.

- 637 S3 Table Percentage of transposase domains identified in genes containing exon modules
- 638 and in other IncRNA genes.
- 639

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807 exonic modules (A) and IncRNAs genes analyzed by Sarropoulos et al. (B).