



# A note on some moduli spaces of Ulrich bundles

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## Abstract

We prove that the modular component  $\mathcal{M}(r)$ , constructed in the Main Theorem in Fania and Flamini (Adv Math 436:109409, 2024. <https://doi.org/10.1016/j.aim.2023.109409>), of Ulrich vector bundles of rank  $r$  and given Chern classes, on suitable threefold scrolls  $X_e$  over Hirzebruch surfaces  $\mathbb{F}_{e \geq 0}$ , which arise as tautological embeddings of projectivization of very-ample vector bundles on  $\mathbb{F}_e$ , is generically smooth, irreducible and unirational. A stronger result holds for the suitable associated moduli space  $\mathcal{M}_{\mathbb{F}_e}(r)$  of vector bundles of rank  $r$  and given Chern classes on  $\mathbb{F}_e$ , Ulrich w.r.t. the very ample polarization  $c_1(\mathcal{E}_e) = \mathcal{O}_{\mathbb{F}_e}(3, b_e)$ , which turns out to be generically smooth, irreducible and unirational.

**Keywords** Ulrich bundles · Threefolds · Ruled surfaces · Moduli · Deformations

**Mathematics Subject Classification** Primary 14J30 · 14J26 · 14J60 · 14C05; Secondary 14N30

## Introduction

Let  $X$  be a smooth irreducible projective variety of dimension  $n \geq 1$ , polarized by a very ample divisor  $H$  on  $X$ . The existence of vector bundles  $\mathcal{U}$  on  $X$  which are *Ulrich with respect to*  $\mathcal{O}_X(H)$  has interested various authors.

For some specific classes of varieties such problem has being attacked, see for instance [1, 2, 9–11, 13]. Whenever such bundles do exist, since they are always *semistable* (in the sense of Gieseker-Maruyama, cf. also § 1 below) and also *slope-semistable* (cf. [6, Def. 2.7, Thm. 2.9-(a)]), one is interested in knowing if these bundles are also *stable*, equivalently *slope-stable* (cf. [6, Def. 2.7, Thm. 2.9-(c)]). Furthermore, from their semi-stability, such rank- $r$  vector bundles give rise to points in a moduli space, say  $M := M^{ss}(r; c_1, c_2, \dots, c_k)$ , where

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To Enrique Arrondo, in the occasion of his 60th birthday

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$k := \min\{r, n\}$ , parametrizing ( $S$ -equivalence classes of) semistable sheaves of given rank  $r$  and given Chern classes  $c_i$  on  $X$ ,  $1 \leq i \leq k$  (cf. [6, p. 1250083-9]). Therefore, one is also interested e.g. in understanding: whether  $M$  contains at least an irreducible component, say  $\mathcal{M}(r)$ , which is generically smooth, i.e. reduced, or even smooth; to which sheaf on  $X$  corresponds the general point of such a component  $\mathcal{M}(r)$ ; what can be said about the birational geometry of  $\mathcal{M}(r)$ , namely if it is perhaps rational/unirational; finally, if by chance  $M$  turns out to be also irreducible, that is,  $M = \mathcal{M}(r)$ .

In this paper we are interested in some of the aforementioned properties for the moduli spaces of Ulrich vector bundles on a variety  $X_e$  which is a 3-fold scroll over a Hirzebruch surface  $\mathbb{F}_e$ , with  $e \geq 0$ . More precisely on 3-fold scrolls  $X_e$  arising as embedding, via very-ample tautological line bundles  $\mathcal{O}_{\mathbb{P}(\mathcal{E}_e)}(1)$ , of projective bundles  $\mathbb{P}(\mathcal{E}_e)$  over  $\mathbb{F}_e$ , where  $\mathcal{E}_e$  are very-ample rank-2 vector bundles on  $\mathbb{F}_e$  with Chern classes  $c_1(\mathcal{E}_e)$  numerically equivalent to  $3C_e + b_e f$  and  $c_2(\mathcal{E}_e) = k_e$ , where  $C_e$  and  $f$  are, as customary, generators of  $\text{Num}(\mathbb{F}_e)$  as in [14, V, Prop. 2.3] and where  $b_e$  and  $k_e$  are integers satisfying some natural numerical conditions. We will set  $\xi := \mathcal{O}_{X_e}(1)$  the hyperplane line bundle of the embedded 3-fold scroll, which we will also call *tautological polarization of  $X_e$* , as  $(X_e, \xi) \cong (\mathbb{P}(\mathcal{E}_e), \mathcal{O}_{\mathbb{P}(\mathcal{E}_e)}(1))$ .

The existence of Ulrich bundles on such threefolds  $X_e$  has been considered in [13], where it was proved that  $X_e$  does not support any Ulrich line bundle w.r.t.  $\xi$ , unless  $e = 0$ . As to Ulrich vector bundles of rank  $r \geq 2$ , it was proved in [13] that the moduli space  $M$ , in the above sense, arising from rank- $r$  vector bundles  $\mathcal{U}_r$  on  $X_{e \geq 0}$  which are Ulrich w.r.t.  $\xi$  and with first Chern class

$$c_1(\mathcal{U}_r) = \begin{cases} r\xi + \varphi^*\mathcal{O}_{\mathbb{F}_e}(3, b_e - 3) + \varphi^*\mathcal{O}_{\mathbb{F}_e}\left(\frac{r-3}{2}, \frac{(r-3)}{2}(b_e - e - 2)\right), & \text{if } r \text{ is odd,} \\ r\xi + \varphi^*\mathcal{O}_{\mathbb{F}_e}\left(\frac{r}{2}, \frac{r}{2}(b_e - e - 2)\right), & \text{if } r \text{ is even.} \end{cases}$$

is not empty and it contains a generically smooth component  $\mathcal{M}(r)$  of dimension

$$\dim(\mathcal{M}(r)) = \begin{cases} \left(\frac{(r-3)^2}{4} + 2\right)(6b_e - 9e - 4) + \frac{9}{2}(r - 3)(2b_e - 3e), & \text{if } r \text{ is odd,} \\ \frac{r^2}{4}(6b_e - 9e - 4) + 1, & \text{if } r \text{ is even.} \end{cases}$$

The general point  $[\mathcal{U}_r] \in \mathcal{M}(r)$  has been proved to correspond to a slope-stable vector bundle, of slope w.r.t.  $\xi$  given by  $\mu(\mathcal{U}_r) = 8b_e - k_e - 12e - 3$  (see Theorem 2.5 below, for more details).

As a consequence of such result and a natural one-to-one correspondence among rank- $r$  vector bundles on  $X_e$ , of the form  $\xi \otimes \varphi^*(\mathcal{F})$ , which are Ulrich w.r.t.  $\xi$  on  $X_e$ , and rank- $r$  vector bundles on  $\mathbb{F}_e$ , of the form  $\mathcal{F}(c_1(\mathcal{E}_e))$ , which are Ulrich w.r.t.  $c_1(\mathcal{E}_e) = 3C_e + b_e f$ , in [13] we have deduced Ulrichness results for vector bundles on the base surface  $\mathbb{F}_e$  with respect to naturally associated very ample polarization  $c_1(\mathcal{E}_e)$ , see Theorem 2.6 for more details.

By a result of Antonelli, [1, Theorem 1.2], if  $\mathcal{H}_r$  is a rank- $r$  vector bundle on  $\mathbb{F}_e$  which is Ulrich with respect to a very ample polarization of the form  $\mathcal{O}_{\mathbb{F}_e}(a, b)$  and with  $c_1(\mathcal{H}_r) = \mathcal{O}_{\mathbb{F}_e}(\alpha, \beta)$ , then  $\mathcal{H}_r$  must fit into a short exact sequence of the form

$$0 \rightarrow \mathcal{O}_{\mathbb{F}_e}(a - 1, b - e - 1)^{\oplus \gamma} \xrightarrow{\phi} \mathcal{O}_{\mathbb{F}_e}(a - 1, b - e)^{\oplus \delta} \oplus \mathcal{O}_{\mathbb{F}_e}(a, b - 1)^{\oplus \tau} \rightarrow \mathcal{H}_r \rightarrow 0,$$

where  $\gamma, \delta$  and  $\tau$  are suitably defined by  $r, \alpha, \beta, a, b, e$  (cfr. (3.1)). This fact will be useful in the present note to give further information about our modular components  $\mathcal{M}(r)$  as in [13]. Our main results in this paper are the following

**Theorem A** (cf. Theorem 3.2, below) *For any integer  $e \geq 0$ , let  $\mathbb{F}_e$  be the Hirzebruch surface and let  $\mathcal{O}_{\mathbb{F}_e}(\alpha, \beta)$  denote the line bundle  $\alpha C_e + \beta f$  on  $\mathbb{F}_e$ , where  $C_e$  and  $f$  are generators of  $\text{Num}(\mathbb{F}_e)$  (cf. [14, V, Prop. 2.3]). Let  $(X_e, \xi)$  be a 3-fold scroll over  $\mathbb{F}_e$  as above, where  $\varphi : X_e \rightarrow \mathbb{F}_e$  denotes the scroll map. Then the moduli space of rank- $r \geq 2$  vector bundles  $\mathcal{U}_r$  on  $X_e$  which are Ulrich w.r.t.  $\xi$  and with first Chern class*

$$c_1(\mathcal{U}_r) = \begin{cases} r\xi + \varphi^* \mathcal{O}_{\mathbb{F}_e}(3, b_e - 3) + \varphi^* \mathcal{O}_{\mathbb{F}_e}\left(\frac{r-3}{2}, \frac{r-3}{2}(b_e - e - 2)\right), & \text{if } r \text{ is odd,} \\ r\xi + \varphi^* \mathcal{O}_{\mathbb{F}_e}\left(\frac{r}{2}, \frac{r}{2}(b_e - e - 2)\right), & \text{if } r \text{ is even} \end{cases}$$

is not empty and it contains a generically smooth component  $\mathcal{M}(r)$ , which is of dimension

$$\dim(\mathcal{M}(r)) = \begin{cases} \left(\frac{(r-3)^2}{4} + 2\right)(6b_e - 9e - 4) + \frac{9}{2}(r-3)(2b_e - 3e), & \text{if } r \text{ is odd,} \\ \frac{r^2}{4}(6b_e - 9e - 4) + 1, & \text{if } r \text{ is even,} \end{cases}$$

(see Theorem 2.5) and which is moreover unirational.

For the moduli space of rank- $r \geq 2$  bundles on  $\mathbb{F}_e$ , the base of the scroll  $X_e$ , which are Ulrich w.r.t. the polarization  $c_1(\mathcal{E}_e) = \mathcal{O}_{\mathbb{F}_e}(3, b_e)$ , a stronger result holds; precisely

**Theorem B** (cf. Theorem 3.1, below) *Let  $\mathcal{M}_{\mathbb{F}_e}(r)$  be the moduli space of rank- $r$  vector bundles  $\mathcal{H}_r$  on  $\mathbb{F}_e$  which are Ulrich w.r.t.  $c_1(\mathcal{E}_e)$  and with first Chern class*

$$c_1(\mathcal{H}_r) = \begin{cases} \mathcal{O}_{\mathbb{F}_e}(3(r+1), (r+1)b_e - 3) \otimes \mathcal{O}_{\mathbb{F}_e}\left(\frac{r-3}{2}, \frac{r-3}{2}(b_e - e - 2)\right), & \text{if } r \text{ is odd,} \\ \mathcal{O}_{\mathbb{F}_e}(3r, rb_e) \otimes \mathcal{O}_{\mathbb{F}_e}\left(\frac{r}{2}, \frac{r}{2}(b_e - e - 2)\right), & \text{if } r \text{ is even.} \end{cases}$$

Then  $\mathcal{M}_{\mathbb{F}_e}(r)$  is generically smooth, of dimension

$$\dim(\mathcal{M}_{\mathbb{F}_e}(r)) = \begin{cases} \left(\frac{(r-3)^2}{4} + 2\right)(6b_e - 9e - 4) + \frac{9}{2}(r-3)(2b_e - 3e), & \text{if } r \text{ is odd,} \\ \frac{r^2}{4}(6b_e - 9e - 4) + 1, & \text{if } r \text{ is even,} \end{cases}$$

(see Theorem 2.6) and moreover it is irreducible and unirational.

The above theorems extend unirationality results in [1] and [9].

The paper is structured as follows. In Sect. 1 we fix notation and terminology. In Sect. 2 we recall some of the known results that we will use throughout the paper. In Sect. 3 we state and prove our new main results.

## 1 Notation and terminology

In this paper we work over  $\mathbb{C}$ . All schemes will be endowed with the Zariski topology. We will interchangeably use the terms rank- $r$  vector bundle on a smooth, projective variety  $X$  and rank- $r$  locally free sheaf. In particular, sometimes, to ease some formulas, with a small abuse of notation we identify divisor classes with the corresponding line bundles, interchangeably using additive and tensor-product notation. The dual bundle of a rank- $r$  vector bundle  $\mathcal{F}$  on  $X$  will be denoted by  $\mathcal{F}^\vee$ ; thus, if  $L$  is of rank-1, i.e. it is a line bundle, we interchangeably use  $L^\vee$  or  $-L$ . If  $M$  is a moduli space, parametrizing objects modulo a given equivalence relation, and if  $Y$  is a representative of an equivalence class in  $M$ , we will denote by  $[Y] \in M$  the point corresponding to  $Y$ . For non-reminded general terminology, we refer the reader to [14].

Because our object will be Ulrich bundles, we recall their definition and basic properties.

**Definition 1.1** Let  $X \subset \mathbb{P}^N$  be a smooth, irreducible, projective variety of dimension  $n$  and let  $H$  be a hyperplane section of  $X$ . A vector bundle  $\mathcal{U}$  on  $X$  is said to be *Ulrich* with respect to  $\mathcal{O}_X(H)$  if

$$H^i(X, \mathcal{U}(-jH)) = 0 \quad \text{for } i = 0, \dots, n \quad \text{and } 1 \leq j \leq n.$$

**Definition 1.2** Let  $X \subset \mathbb{P}^N$  be a smooth, irreducible, projective variety of dimension  $n$  polarized by  $\mathcal{O}_X(H)$ , where  $H$  is a hyperplane section of  $X$ , and let  $\mathcal{U}$  be a rank-2 vector bundle on  $X$  which is *Ulrich* with respect to  $\mathcal{O}_X(H)$ . Then  $\mathcal{U}$  is said to be *special* if  $c_1(\mathcal{U}) = K_X + (n + 1)H$ .

For the reader’s convenience, we briefly remind facts concerning (semi)stability and slope-(semi)stability properties of Ulrich bundles as in [6, Def. 2.7]. Let  $X$  be a smooth, irreducible, projective variety and let  $\mathcal{F}$  be a vector bundle on  $X$ ; recall that  $\mathcal{F}$  is said to be *semistable* (in the sense of Gieseker-Maruyama) if for every non-zero coherent subsheaf  $\mathcal{G} \subset \mathcal{F}$ , with  $0 < \text{rk}(\mathcal{G}) := \text{rank of } \mathcal{G} < \text{rk}(\mathcal{F})$ , the inequality  $\frac{P_{\mathcal{G}}}{\text{rk}(\mathcal{G})} \leq \frac{P_{\mathcal{F}}}{\text{rk}(\mathcal{F})}$  holds true, where  $P_{\mathcal{G}}$  and  $P_{\mathcal{F}}$  are the *Hilbert polynomials* of the sheaves. Furthermore,  $\mathcal{F}$  is *stable* if strict inequality above holds. Similarly, recall that the *slope* of a vector bundle  $\mathcal{F}$  (w.r.t. a given polarization  $\mathcal{O}_X(H)$  on  $X$ ) is defined to be  $\mu(\mathcal{F}) := \frac{c_1(\mathcal{F}) \cdot H^{n-1}}{\text{rk}(\mathcal{F})}$ ; the bundle  $\mathcal{F}$  is said to be  $\mu$ -*semistable*, or even *slope-semistable*, if for every non-zero coherent subsheaf  $\mathcal{G} \subset \mathcal{F}$  with  $0 < \text{rk}(\mathcal{G}) < \text{rk}(\mathcal{F})$ , one has  $\mu(\mathcal{G}) \leq \mu(\mathcal{F})$ . The bundle  $\mathcal{F}$  is  $\mu$ -*stable*, or *slope-stable*, if strict inequality holds.

The two definitions of (semi)stability are in general related as follows (cf. e.g. [6, § 2]):

$$\text{slope-stability} \Rightarrow \text{stability} \Rightarrow \text{semistability} \Rightarrow \text{slope-semistability}.$$

If  $\mathcal{U}$  is in particular a rank- $r$  vector bundle which is Ulrich w.r.t.  $\mathcal{O}_X(H)$ , then  $\mathcal{U}$  is always semistable, so also slope-semistable (cf. [6, Thm. 2.9-(a)]); moreover, for  $\mathcal{U}$  the notions of stability and slope-stability coincide (cf. [6, Thm. 2.9-(c)]).

As for the projective variety which will be the support of Ulrich bundles we are interested in, throughout this work we will denote it by  $X_e$  and it will be a 3-dimensional scroll over the Hirzebruch surface  $\mathbb{F}_e := \mathbb{P}(\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(-e))$ , with  $e \geq 0$  an integer.

More precisely, let  $\pi_e : \mathbb{F}_e \rightarrow \mathbb{P}^1$  be the natural projection onto the base. Then, as in [14, V, Prop. 2.3],  $\text{Num}(\mathbb{F}_e) = \mathbb{Z}[C_e] \oplus \mathbb{Z}[f]$ , where:

- $f := \pi_e^*(p)$ , for any  $p \in \mathbb{P}^1$ , whereas
- $C_e$  denotes either the unique section corresponding to the morphism of vector bundles on  $\mathbb{P}^1$   
 $\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(-e) \rightarrow \mathcal{O}_{\mathbb{P}^1}(-e)$ , when  $e > 0$ , or the fiber of the other ruling different from that induced by  $f$ , when otherwise  $e = 0$ .

In particular

$$C_e^2 = -e, \quad f^2 = 0, \quad C_e f = 1.$$

Let  $\mathcal{E}_e$  be a rank-2 vector bundle over  $\mathbb{F}_e$  and let  $c_i(\mathcal{E}_e)$  be its  $i^{\text{th}}$ -Chern class. Then  $c_1(\mathcal{E}_e) \equiv aC_e + bf$ , for some  $a, b \in \mathbb{Z}$ , and  $c_2(\mathcal{E}_e) \in \mathbb{Z}$ . For the line bundle  $\mathcal{L} \equiv \alpha C_e + \beta f$  we will also use the notation  $\mathcal{O}_{\mathbb{F}_e}(\alpha, \beta)$ .

From now on, we will consider the following:

**Assumption 1.3** Let  $e \geq 0$ ,  $b_e, k_e$  be integers such that

$$b_e - e < k_e < 2b_e - 4e, \tag{1.1}$$

and let  $\mathcal{E}_e$  be a rank-2 vector bundle over  $\mathbb{F}_e$ , with

$$c_1(\mathcal{E}_e) \equiv 3C_e + b_e f \text{ and } c_2(\mathcal{E}_e) = k_e,$$

which fits in the exact sequence

$$0 \rightarrow A_e \rightarrow \mathcal{E}_e \rightarrow B_e \rightarrow 0, \tag{1.2}$$

where  $A_e$  and  $B_e$  are line bundles on  $\mathbb{F}_e$  such that

$$A_e \equiv 2C_e + (2b_e - k_e - 2e)f \text{ and } B_e \equiv C_e + (k_e - b_e + 2e)f \tag{1.3}$$

From (1.2), in particular, one has  $c_1(\mathcal{E}_e) = A_e + B_e$  and  $c_2(\mathcal{E}_e) = A_e B_e$ .

By results in [13],  $\mathcal{E}_e$  as above, turns out to be very ample on  $\mathbb{F}_e$ . Thus we take  $X_e$  to be the 3-fold scroll arising as embedding, via very-ample tautological line bundle  $\mathcal{O}_{\mathbb{P}(\mathcal{E}_e)}(1)$ , of the projective bundle  $\mathbb{P}(\mathcal{E}_e)$ .

## 2 Preliminaries

In this section, for the reader convenience, we state some of the known results that we will be using in the sequel.

The following Theorem 2.1, (cf. [12, Theorem 2.4]) states under which conditions an Ulrich bundle on the base of the scroll gives rise to a bundle on the scroll itself which is Ulrich w.r.t. the tautological polarization  $\xi$ .

**Theorem 2.1** ([12, Theorem 2.4]) *Let  $(S, H)$  be a polarized surface, with  $H$  a very ample line bundle, and let  $\mathcal{E}$  be a rank-2 vector bundle on  $S$  such that  $\mathcal{E}$  is (very) ample and spanned. Let  $\mathcal{F}$  be a rank- $r \geq 1$  vector bundle on  $S$ . Let  $(X, \xi) \cong (\mathbb{P}(\mathcal{E}), \mathcal{O}_{\mathbb{P}(\mathcal{E})}(1))$  be a 3-fold scroll over  $S$ , where  $\xi$  is the tautological polarization, and let  $X \xrightarrow{\varphi} S$  denote the scroll map. Then the vector bundle  $\mathcal{U} := \xi \otimes \varphi^*(\mathcal{F})$  is Ulrich with respect to  $\xi$  if and only if the bundle  $\mathcal{F}$  is such that*

$$H^i(S, \mathcal{F}) = 0 \text{ and } H^i(S, \mathcal{F}(-c_1(\mathcal{E}))) = 0, \quad 0 \leq i \leq 2. \tag{2.1}$$

*In particular, if  $c_1(\mathcal{E})$  is very ample on  $S$ , then the rank- $r$  vector bundle on  $X$ ,  $\mathcal{U} = \xi \otimes \varphi^*(\mathcal{F})$ , is Ulrich with respect to  $\xi$  if and only if the rank- $r$  vector bundle on  $S$ ,  $\mathcal{F}(c_1(\mathcal{E}))$ , is Ulrich with respect to  $c_1(\mathcal{E})$ .*

Viceversa, starting with a rank- $r$  vector bundle on the 3-fold scroll  $(X, \xi)$  which is Ulrich w.r.t.  $\xi$ , satisfying suitable properties, we recall how to obtain an Ulrich vector bundle of the same rank on the base  $S$  of the scroll.

Let  $\varphi : X \rightarrow S$  be a 3-fold scroll over a surface  $S$ . Let us recall, see [5, Theorem 11.1.2.], that a general hyperplane section  $\tilde{S}$  of  $X$  has the structure of a blow-up of the base surface  $S$  at  $c_2(\mathcal{E})$  points and one can consider the following diagram:

$$\begin{array}{ccc}
 \tilde{S} & \xrightarrow{i} & X \\
 & \searrow \varphi' & \downarrow \varphi \\
 & & S,
 \end{array} \tag{2.2}$$

where  $i$  is the inclusion and  $\varphi'$  is the blow-up map, where we denote by  $E_i$  the exceptional divisors of the latter map. More precisely, if  $\tilde{S} \in |\xi|$  is a general hyperplane section of  $X$ , then it corresponds to the vanishing locus of a general global section  $\tilde{\sigma} \in H^0(X, \xi)$ ; since one has  $H^0(X, \xi) \cong H^0(\mathbb{P}(\mathcal{E}), \mathcal{O}_{\mathbb{P}(\mathcal{E})}(1)) \cong H^0(S, \mathcal{E})$ , then  $\tilde{\sigma}$  bijectively corresponds to a global section  $\sigma$  of  $\mathcal{E}$  whose vanishing locus  $Z := V(\sigma)$  is a zero-dimensional subscheme on  $S$  which is an element of  $c_2(\mathcal{E})$ . From [5, Theorem 11.1.2.],  $\tilde{S}$  turns out to be isomorphic to the blow-up of  $\varphi' : \tilde{S} \rightarrow S$  at such points  $Z$  and, for any  $z \in Z$ , the  $\varphi$ -fiber  $\varphi^{-1}(z) := F_z$  of  $X$  is contained in  $\tilde{S}$  as the  $\varphi'$ -exceptional divisor  $E_z$  over the point  $z$  of such a blow-up  $\varphi'$ .

With this set-up, in [12, Thm. 6.1, Prop. 6.2], the authors gave conditions to get bijective correspondences among rank- $r$  bundles on  $X$  which are Ulrich w.r.t. the tautological polarization  $\xi$  and rank- $r$  bundles on the base surface  $S$  which are Ulrich w.r.t. the naturally related polarization as in Theorem 2.1.

**Theorem 2.2** ([12, Theorem 6.1]) *Let  $\varphi : X \rightarrow S$  be a 3-fold scroll over a surface  $S$  and let  $\mathcal{G}$  be a rank- $r$  vector bundle on  $X$  which is Ulrich with respect to the tautological polarization  $\xi$ , i.e.  $(X, \xi) \cong (\mathbb{P}(\mathcal{E}), \mathcal{O}_{\mathbb{P}(\mathcal{E})}(1))$ . Let us suppose that  $c_1(\mathcal{E})$  is very ample on  $S$ . Assume that on the general fiber  $F = \varphi^{-1}(s)$ ,  $s \in S$ , the vector bundle  $\mathcal{G}$  splits as follows:  $\mathcal{G}|_F \cong \mathcal{O}_{\mathbb{P}^1}(1)^{\oplus r}$ . Then  $\varphi_*(\mathcal{G} \otimes i_*(\mathcal{O}_{\tilde{S}}(\sum_{i=1}^k E_i)))$ , with  $k = |c_2(\mathcal{E})|$ , is a rank- $r$  vector bundle on  $S$  which is Ulrich w.r.t.  $c_1(\mathcal{E})$ .*

In the following remark we comment on the hypotheses of Theorem 2.2, in order to better explain the aforementioned Ulrich-bundle bijective correspondence arising from Theorems 2.1 and 2.2 (cf. Proposition 2.4 below).

**Remark 2.3** We like to point out that the assumption on the splitting-type of the vector bundle  $\mathcal{G}$  on the general fiber  $F$  of  $\varphi$  as  $\mathcal{G}|_F \cong \mathcal{O}_{\mathbb{P}^1}(1)^{\oplus r}$  as in Theorem 2.2 implies that such a splitting-type holds true for all  $\varphi$ -fibers  $\varphi^{-1}(u) := F_u$ , for  $u$  varying in a suitable open dense subset  $U \subseteq S$ . Thus, from the previous description on the birational structure of a general hyperplane section  $\tilde{S} = V(\tilde{\sigma})$  of  $X$  as in (2.2), the main points to let the Ulrich-bundle bijective correspondence arise are first of all that the zero-dimensional scheme  $Z = V(\sigma)$ , corresponding to  $\tilde{S} \in |\xi|$  general, is entirely contained in the open set  $U \subseteq S$  (so that, for any  $z \in Z$ , the restriction of  $\mathcal{G}$  to  $F_z := \varphi^{-1}(z)$  is  $\mathcal{G}|_{F_z} \cong \mathcal{O}_{\mathbb{P}^1}(1)^{\oplus r}$  namely, from (2.2),  $\mathcal{G}|_{E_i} \cong \mathcal{O}_{\mathbb{P}^1}(1)^{\oplus r}$ , for any  $1 \leq i \leq |c_2(\mathcal{E})|$ , where  $\sum_i E_i$  denotes the total exceptional divisor of the blow-up  $\varphi'$  of  $S$  along  $Z$ ) and then the use of [8, Thm. 4.2].

Arguments described in Remark 2.3 are the principles used in [12] to get the following Proposition.

**Proposition 2.4** ([12, Prop. 6.2]) *Let  $\varphi : X \rightarrow S$  be a 3-fold scroll over a surface  $S$ , where  $(X, \xi) \cong (\mathbb{P}(\mathcal{E}), \mathcal{O}_{\mathbb{P}(\mathcal{E})}(1))$  for some very ample rank-2 vector bundle  $\mathcal{E}$  on  $S$ . Assume that  $c_1(\mathcal{E})$  is very ample on  $S$ . Then there exists a bijection:*

$$\left\{ \begin{array}{l} \text{Bundles } \mathcal{F} \text{ of rank } r \text{ on } S \\ \text{which are Ulrich w.r.t. } c_1(\mathcal{E}) \end{array} \right\} \Big/ \cong_{iso} \Leftrightarrow \left\{ \begin{array}{l} \text{Bundles } \mathcal{G} \text{ of rank } r \text{ on } X \\ \text{which are Ulrich w.r.t. } \xi \text{ and such that} \\ \mathcal{G}|_{\varphi^{-1}(s)} \cong \mathcal{O}_{\mathbb{P}^1}(1)^{\oplus r}, \text{ for general } s \in S \end{array} \right\} \Big/ \cong_{iso}$$

the bijection given by the maps

$$\phi : \mathcal{F} \mapsto \mathcal{G} := \xi \otimes \varphi^*(\mathcal{F}(-c_1(\mathcal{E})));$$

and

$$\psi : \mathcal{G} \mapsto \mathcal{F} := \varphi_* \left( \mathcal{G} \otimes i_* \left( \mathcal{O}_{\tilde{\mathcal{S}}} \left( \sum_{i=1}^k E_i \right) \right) \right).$$

Because we are interested on moduli spaces of Ulrich bundles on threefolds scrolls  $X_e$  over  $\mathbb{F}_e$ , as well as on moduli spaces of Ulrich bundles on  $\mathbb{F}_e$ , we recall what was already proved in [13].

**Theorem 2.5** ([13, Main Theorem]) *For any integer  $e \geq 0$ , consider the Hirzebruch surface  $\mathbb{F}_e$  and let  $\mathcal{O}_{\mathbb{F}_e}(\alpha, \beta)$  denote the line bundle  $\alpha C_e + \beta f$  on  $\mathbb{F}_e$ , where  $C_e$  and  $f$  are generators of  $\text{Num}(\mathbb{F}_e)$ .*

*Let  $(X_e, \xi)$  be a 3-fold scroll over  $\mathbb{F}_e$  as in Assumption 1.3, where  $\varphi : X_e \rightarrow \mathbb{F}_e$  denotes the scroll map. Then:*

(a)  $X_e$  does not support any Ulrich line bundle w.r.t.  $\xi$  unless  $e = 0$ . In this latter case, the unique Ulrich line bundles on  $X_0$  are the following:

- (i)  $L_1 := \xi + \varphi^* \mathcal{O}_{\mathbb{F}_0}(2, -1)$  and  $L_2 := \xi + \varphi^* \mathcal{O}_{\mathbb{F}_0}(-1, b_0 - 1)$ ;
- (ii) for any integer  $t \geq 1$ ,  $M_1 := 2\xi + \varphi^* \mathcal{O}_{\mathbb{F}_0}(-1, -t - 1)$  and  $M_2 := \varphi^* \mathcal{O}_{\mathbb{F}_0}(2, 3t - 1)$ , which only occur for  $b_0 = 2t, k_0 = 3t$ .

(b) Set  $e = 0$  and let  $r \geq 2$  be any integer. Then the moduli space of rank- $r$  vector bundles  $\mathcal{U}_r$  on  $X_0$  which are Ulrich w.r.t.  $\xi$  and with first Chern class

$$c_1(\mathcal{U}_r) = \begin{cases} r\xi + \varphi^* \mathcal{O}_{\mathbb{F}_0}(3, b_0 - 3) + \varphi^* \mathcal{O}_{\mathbb{F}_0} \left( \frac{r-3}{2}, \frac{(r-3)}{2}(b_0 - 2) \right), & \text{if } r \text{ is odd,} \\ r\xi + \varphi^* \mathcal{O}_{\mathbb{F}_0} \left( \frac{r}{2}, \frac{r}{2}(b_0 - 2) \right), & \text{if } r \text{ is even.} \end{cases}$$

is not empty and it contains a generically smooth component  $\mathcal{M}(r)$  of dimension

$$\dim(\mathcal{M}(r)) = \begin{cases} \frac{(r^2-1)}{4}(6b_0 - 4), & \text{if } r \text{ is odd,} \\ \frac{r^2}{4}(6b_0 - 4) + 1, & \text{if } r \text{ is even.} \end{cases}$$

The general point  $[\mathcal{U}_r] \in \mathcal{M}(r)$  corresponds to a slope-stable vector bundle, of slope w.r.t.  $\xi$  given by  $\mu(\mathcal{U}_r) = 8b_0 - k_0 - 3$ . If moreover  $r = 2$ , then  $\mathcal{U}_2$  is also special (cf. Def. 1.2 above).

(c) When  $e > 0$ , let  $r \geq 2$  be any integer. Then the moduli space of rank- $r$  vector bundles  $\mathcal{U}_r$  on  $X_e$  which are Ulrich w.r.t.  $\xi$  and with first Chern class

$$c_1(\mathcal{U}_r) = \begin{cases} r\xi + \varphi^* \mathcal{O}_{\mathbb{F}_e}(3, b_e - 3) + \varphi^* \mathcal{O}_{\mathbb{F}_e} \left( \frac{r-3}{2}, \frac{(r-3)}{2}(b_e - e - 2) \right), & \text{if } r \text{ is odd,} \\ r\xi + \varphi^* \mathcal{O}_{\mathbb{F}_e} \left( \frac{r}{2}, \frac{r}{2}(b_e - e - 2) \right), & \text{if } r \text{ is even.} \end{cases}$$

is not empty and it contains a generically smooth component  $\mathcal{M}(r)$  of dimension

$$\dim(\mathcal{M}(r)) = \begin{cases} \left( \frac{(r-3)^2}{4} + 2 \right) (6b_e - 9e - 4) + \frac{9}{2}(r-3)(2b_e - 3e), & \text{if } r \text{ is odd,} \\ \frac{r^2}{4}(6b_e - 9e - 4) + 1, & \text{if } r \text{ is even.} \end{cases}$$

The general point  $[\mathcal{U}_r] \in \mathcal{M}(r)$  corresponds to a slope-stable vector bundle, of slope w.r.t.  $\xi$  given by  $\mu(\mathcal{U}_r) = 8b_e - k_e - 12e - 3$ . If moreover  $r = 2$ , then  $\mathcal{U}_2$  is also special.

We want to stress that in [13, Proof of Thm. 5.1] it has been proved that bundles  $L_1, L_2$  and  $\mathcal{U}_r$ , for any  $r \geq 2$ , as in Theorem 2.5 split on any  $\varphi$ -fiber of  $X_e$  as requested in Theorem 2.2 and

in Proposition 2.4, namely for any  $\varphi$ -fiber  $F$ , one has  $(L_1)|_F = (L_2)|_F \cong \mathcal{O}_{\mathbb{P}^1}(1)$  whereas  $(\mathcal{U}_r)|_F \cong \mathcal{O}_{\mathbb{P}^1}(1)^{\oplus r}$  (this is due to the iterative constructions in [13] of such bundles as deformations of iterative extensions). As a direct consequence of Theorem 2.5, Theorem 2.1 and the one-to-one correspondence in Proposition 2.4, in [13] we could prove the following result concerning moduli spaces of rank- $r$  vector bundles on Hirzebruch surfaces  $\mathbb{F}_e$ , for any  $r \geq 1$  and any  $e \geq 0$ , which are Ulrich w.r.t. the very ample line bundle  $c_1(\mathcal{E}_e) = 3C_e + b_e f$ , with  $b_e \geq 3e + 2$  as it follows from Assumption 1.3 (the case  $r = 1, 2, 3$  already known by [1, 2, 7]).

**Theorem 2.6** ([13, Theorem 5.1]) *For any integer  $e \geq 0$ , consider the Hirzebruch surface  $\mathbb{F}_e$  and let  $\mathcal{O}_{\mathbb{F}_e}(\alpha, \beta)$  denote the line bundle  $\alpha C_e + \beta f$  on  $\mathbb{F}_e$ , where  $C_e$  and  $f$  are generators of  $\text{Num}(\mathbb{F}_e)$ .*

*Consider the very ample polarization  $c_1(\mathcal{E}_e) = \mathcal{O}_{\mathbb{F}_e}(3, b_e)$  on  $\mathbb{F}_e$ , where  $b_e \geq 3e + 2$ . Then:*

- (a)  $\mathbb{F}_e$  does not support any Ulrich line bundle w.r.t.  $c_1(\mathcal{E}_e)$  unless  $e = 0$ . In this latter case, the unique line bundles on  $\mathbb{F}_0$  which are Ulrich w.r.t.  $c_1(\mathcal{E}_e)$  are

$$\mathcal{L}_1 := \mathcal{O}_{\mathbb{F}_0}(5, b_0 - 1) \text{ and } \mathcal{L}_2 := \mathcal{O}_{\mathbb{F}_0}(2, 2b_0 - 1).$$

- (b) Set  $e = 0$  and let  $r \geq 2$  be any integer. Then the moduli space  $\mathcal{M}_{\mathbb{F}_0}(r)$  of rank- $r$  vector bundles  $\mathcal{H}_r$  on  $\mathbb{F}_0$  which are Ulrich w.r.t.  $c_1(\mathcal{E}_0)$  and with first Chern class

$$c_1(\mathcal{H}_r) = \begin{cases} \mathcal{O}_{\mathbb{F}_0}(3(r+1), (r+1)b_0 - 3) \otimes \mathcal{O}_{\mathbb{F}_0}\left(\frac{r-3}{2}, \frac{(r-3)}{2}(b_0 - 2)\right), & \text{if } r \text{ is odd,} \\ \mathcal{O}_{\mathbb{F}_0}(3r, rb_0) \otimes \mathcal{O}_{\mathbb{F}_0}\left(\frac{r}{2}, \frac{r}{2}(b_0 - 2)\right), & \text{if } r \text{ is even.} \end{cases}$$

is not empty and it contains a generically smooth component of dimension

$$\begin{cases} \frac{(r^2-1)}{4}(6b_0 - 4), & \text{if } r \text{ is odd,} \\ \frac{r^2}{4}(6b_0 - 4) + 1, & \text{if } r \text{ is even.} \end{cases}$$

The general point  $[\mathcal{H}_r]$  of such a component corresponds to a slope-stable vector bundle.

- (c) When  $e > 0$ , let  $r \geq 2$  be any integer. Then the moduli space  $\mathcal{M}_{\mathbb{F}_e}(r)$  of rank- $r$  vector bundles  $\mathcal{H}_r$  on  $\mathbb{F}_e$  which are Ulrich w.r.t.  $c_1(\mathcal{E}_e)$  and with first Chern class

$$c_1(\mathcal{H}_r) = \begin{cases} \mathcal{O}_{\mathbb{F}_e}(3(r+1), (r+1)b_e - 3) \otimes \mathcal{O}_{\mathbb{F}_e}\left(\frac{r-3}{2}, \frac{(r-3)}{2}(b_e - e - 2)\right), & \text{if } r \text{ is odd,} \\ \mathcal{O}_{\mathbb{F}_e}(3r, rb_e) \otimes \mathcal{O}_{\mathbb{F}_e}\left(\frac{r}{2}, \frac{r}{2}(b_e - e - 2)\right), & \text{if } r \text{ is even.} \end{cases}$$

is not empty and it contains a generically smooth component of dimension

$$\begin{cases} \left(\frac{(r-3)^2}{4} + 2\right)(6b_e - 9e - 4) + \frac{9}{2}(r-3)(2b_e - 3e), & \text{if } r \text{ is odd,} \\ \frac{r^2}{4}(6b_e - 9e - 4) + 1, & \text{if } r \text{ is even.} \end{cases}$$

The general point  $[\mathcal{H}_r]$  of such a component corresponds to a slope-stable vector bundle.

### 3 Moduli spaces

Our aim in this section is to prove that the moduli space  $\mathcal{M}_{\mathbb{F}_e}(r)$  of Ulrich bundles on  $\mathbb{F}_e$ ,  $e \geq 0$ , as in Theorem 2.6 is irreducible, generically smooth and unirational, whereas that the generically smooth modular component  $\mathcal{M}(r)$  of Ulrich bundles on  $X_e$ ,  $e \geq 0$ , as in Theorem 2.5 is unirational.



**Theorem 3.1** *Let  $\mathcal{M}_{\mathbb{F}_e}(r)$  be the moduli space of rank- $r$  vector bundles  $\mathcal{H}_r$  on  $\mathbb{F}_e$  which are Ulrich w.r.t.  $c_1(\mathcal{E}_e) = \mathcal{O}_{\mathbb{F}_e}(3, b_e)$  and with first Chern class*

$$c_1(\mathcal{H}_r) = \begin{cases} \mathcal{O}_{\mathbb{F}_e}(3(r+1), (r+1)b_e - 3) \otimes \mathcal{O}_{\mathbb{F}_e}\left(\frac{r-3}{2}, \frac{r-3}{2}(b_e - e - 2)\right), & \text{if } r \text{ is odd,} \\ \mathcal{O}_{\mathbb{F}_e}(3r, rb_e) \otimes \mathcal{O}_{\mathbb{F}_e}\left(\frac{r}{2}, \frac{r}{2}(b_e - e - 2)\right), & \text{if } r \text{ is even,} \end{cases}$$

(see Theorem 2.6). Then  $\mathcal{M}_{\mathbb{F}_e}(r)$  is generically smooth, irreducible, unirational and of dimension

$$\dim(\mathcal{M}_{\mathbb{F}_e}(r)) = \begin{cases} \left(\frac{(r-3)^2}{4} + 2\right)(6b_e - 9e - 4) + \frac{9}{2}(r-3)(2b_e - 3e), & \text{if } r \text{ is odd,} \\ \frac{r^2}{4}(6b_e - 9e - 4) + 1, & \text{if } r \text{ is even.} \end{cases}$$

**Proof** From Theorem 2.6 we know that the moduli space  $\mathcal{M}_{\mathbb{F}_e}(r)$  is not empty.

Let  $\mathcal{M} \subseteq \mathcal{M}_{\mathbb{F}_e}(r)$  be any irreducible component and let  $[\mathcal{H}_r] \in \mathcal{M}$  be its general point. So  $\mathcal{H}_r$  is of rank  $r$  and as in the statement of Theorem 3.1.

For simplicity let  $c_1(\mathcal{H}_r) = \mathcal{O}_{\mathbb{F}_e}(\alpha, \beta)$ . By [1, Theorem 1.1]  $\mathcal{H}_r$  necessarily fits into the following short exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{F}_e}(2, b_e - e - 1)^{\oplus \gamma} \xrightarrow{\phi} \mathcal{O}_{\mathbb{F}_e}(2, b_e - e)^{\oplus \delta} \oplus \mathcal{O}_{\mathbb{F}_e}(3, b_e - 1)^{\oplus \tau} \rightarrow \mathcal{H}_r \rightarrow 0. \quad (3.1)$$

where  $\gamma = \alpha + \beta - r(2 + b_e) - e(\alpha - 3r)$ ,  $\delta = \beta - r(b_e - 1) - e(\alpha - 3r)$ ,  $\tau = \alpha - 2r$  which, after plugging in the value of  $\alpha$  and  $\beta$ , become

$$\gamma = \frac{(b_e - 2e + 1)r - b_e + 3}{2}, \delta = \frac{(r-1)b_e}{2} - er, \tau = \frac{3(r+1)}{2}, \text{ if } r \text{ is odd, and}$$

$$\gamma = \frac{(b_e - 2e + 1)r}{2}, \delta = \frac{(b_e - 2e)r}{2}, \tau = \frac{3r}{2}, \text{ if } r \text{ is even.}$$

Thus  $\mathcal{H}_r$  is expressed as the cokernel of an injective map  $\phi \in \text{Hom}_{\mathbb{F}_e}(\mathcal{A}, \mathcal{B})$ , where  $\mathcal{A} := \mathcal{O}_{\mathbb{F}_e}(2, b_e - e - 1)^{\oplus \gamma}$  and  $\mathcal{B} := \mathcal{O}_{\mathbb{F}_e}(2, b_e - e)^{\oplus \delta} \oplus \mathcal{O}_{\mathbb{F}_e}(3, b_e - 1)^{\oplus \tau}$ , with  $\gamma, \delta, \tau$  as above.

On the other hand, by [1, Theorem 1.3], if we take a general map  $\phi_{gen} \in \text{Hom}_{\mathbb{F}_e}(\mathcal{A}, \mathcal{B})$  then  $\text{coker}(\phi_{gen})$  is a rank- $r$  vector bundle on  $\mathbb{F}_e$ , in particular locally free, which is Ulrich w.r.t.  $c_1(\mathcal{E}_e)$ , and with Chern classes  $c_1(\text{coker}(\phi_{gen}))$  and  $c_2(\text{coker}(\phi_{gen}))$  as those of  $\mathcal{H}_r$ . Since  $\mathcal{A}, \mathcal{B}$  are uniquely determined by  $r, e, (3, b_e)$  and  $c_1(\mathcal{H}_r)$  and since  $\text{Hom}_{\mathbb{F}_e}(\mathcal{A}, \mathcal{B})$  is irreducible, it follows that  $\mathcal{M} = \mathcal{M}_{\mathbb{F}_e}(r)$ , i.e.  $\mathcal{M}_{\mathbb{F}_e}(r)$  is therefore irreducible and moreover it is unirational, being dominated by  $\text{Hom}_{\mathbb{F}_e}(\mathcal{A}, \mathcal{B})$ .

The generic smoothness of  $\mathcal{M}_{\mathbb{F}_e}(r)$  and the formula for its dimension follow as they have already been proved in Theorem 2.6-(b), (c). □

**Theorem 3.2** *For any integer  $e \geq 0$ , let  $\mathbb{F}_e$  be the Hirzebruch surface and let  $\mathcal{O}_{\mathbb{F}_e}(\alpha, \beta)$  denote the line bundle  $\alpha C_e + \beta f$  on  $\mathbb{F}_e$ , where  $C_e$  and  $f$  are generators of  $\text{Num}(\mathbb{F}_e)$ .*

*Let  $(X_e, \xi)$  be a 3-fold scroll over  $\mathbb{F}_e$  as in Assumption 1.3, where  $\varphi : X_e \rightarrow \mathbb{F}_e$  denotes the scroll map. Then the moduli space of rank- $r \geq 2$  vector bundles  $\mathcal{U}_r$  on  $X_e$  which are Ulrich w.r.t.  $\xi$  and with first Chern class as in Theorem 2.5 is not empty and it contains a generically smooth component  $\mathcal{M}(r)$  which is unirational and of dimension*

$$\dim(\mathcal{M}(r)) = \begin{cases} \left(\frac{(r-3)^2}{4} + 2\right)(6b_e - 9e - 4) + \frac{9}{2}(r-3)(2b_e - 3e), & \text{if } r \text{ is odd,} \\ \frac{r^2}{4}(6b_e - 9e - 4) + 1, & \text{if } r \text{ is even.} \end{cases}$$

**Proof** As we have seen in the proof of Theorem 3.1, a general  $[\mathcal{H}_r] \in \mathcal{M}_{\mathbb{F}_e}(r)$ , turns out to be  $\mathcal{H}_r = \text{coker}(\phi)$ , with  $\phi$  a general vector bundle morphism as in (3.1).

Now take  $\mathcal{A} = \mathcal{O}_{\mathbb{F}_e}(2, b_e - e - 1)^{\oplus \gamma}$ ,  $\mathcal{B} = \mathcal{O}_{\mathbb{F}_e}(2, b_e - e)^{\oplus \delta} \oplus \mathcal{O}_{\mathbb{F}_e}(3, b_e - 1)^{\oplus \tau}$ ,  $\gamma, \delta$  and  $\tau$  as in the proof of Theorem 3.1; then for  $\phi \in \text{Hom}_{\mathbb{F}_e}(\mathcal{A}, \mathcal{B})$  general, one has therefore

$$0 \rightarrow \mathcal{A} \xrightarrow{\phi} \mathcal{B} \rightarrow \mathcal{H}_r \rightarrow 0.$$

We first tensor this exact sequence by  $-c_1(\mathcal{E}_e)$ , then we pull it back via  $\varphi^*$ , where  $\varphi : X_e \rightarrow \mathbb{F}_e$  is the scroll map, and the sequence remains exact on the left since  $\mathcal{H}_r(-c_1(\mathcal{E}_e))$  is locally free; subsequently we tensor the resulting short exact sequence with  $\xi$ , the tautological polarization on  $X_e$ , and thus we get the exact sequence

$$0 \rightarrow \varphi^*(\mathcal{A}(-c_1(\mathcal{E}_e))) \otimes \xi \xrightarrow{\bar{\phi}} \varphi^*(\mathcal{B}(-c_1(\mathcal{E}_e))) \otimes \xi \rightarrow \varphi^*(\mathcal{H}_r(-c_1)) \otimes \xi \rightarrow 0, \tag{3.2}$$

defining  $\bar{\phi}$ . Set  $\bar{\mathcal{A}} := \varphi^*(\mathcal{A}(-c_1(\mathcal{E}_e))) \otimes \xi$  and  $\bar{\mathcal{B}} := \varphi^*(\mathcal{B}(-c_1(\mathcal{E}_e))) \otimes \xi$ . Recall that the modular component  $\mathcal{M}(r)$  as in Theorem 2.5 has an open dense subset parametrizing isomorphism classes of slope-stable, rank- $r$  vector bundles  $\mathcal{U}_r$ , which are Ulrich w.r.t. the tautological polarization  $\xi$  of  $X_e$  and with Chern classes determined by the iterative constructions as in [13] (in particular, the first Chern class  $c_1$  is as reminded in Theorem 2.5); for  $[\mathcal{U}_r] \in \mathcal{M}(r)$  general it has also been proved in [13, Proof of Thm. 5.1] that the bundle  $\mathcal{U}_r$  has in particular the splitting type requested by Proposition 2.4, namely  $(\mathcal{U}_r)|_F \cong \mathcal{O}_{\mathbb{P}^1}(1)^{\oplus r}$ , on any  $\varphi$ -fiber  $F$ . As a consequence of the bijective correspondence induced by Proposition 2.4, in [13] we deduced therefore that  $\mathcal{U}_r = \xi \otimes \varphi^*(\mathcal{H}_r(-c_1(\mathcal{E}_e)))$ , with  $\mathcal{H}_r$  Ulrich w.r.t.  $c_1(\mathcal{E}_e)$  on  $\mathbb{F}_e$  as above.

Then the sequence (3.2) reads

$$0 \rightarrow \bar{\mathcal{A}} \xrightarrow{\bar{\phi}} \bar{\mathcal{B}} \rightarrow \mathcal{U}_r \rightarrow 0. \tag{3.3}$$

In particular, for those morphisms  $\bar{\phi} \in \text{Hom}_{X_e}(\bar{\mathcal{A}}, \bar{\mathcal{B}})$  such that  $\text{coker}(\bar{\phi}) = \mathcal{U}_r$ , one has that  $\text{coker}(\bar{\phi})$  is locally free, of rank  $r$  and it is moreover Ulrich on  $X_e$  w.r.t. the tautological polarization  $\xi$ , with Chern classes  $c_i(\text{coker}(\bar{\phi})) = c_i(\mathcal{U}_r)$ ,  $1 \leq i \leq 3$ , computed by iterative constructions of the vector bundles  $\mathcal{U}_r$  as in [13] (e.g.  $c_1$  is reminded in Theorem 2.5 above).

Let  $\bar{\phi}_{gen} \in \text{Hom}_{X_e}(\bar{\mathcal{A}}, \bar{\mathcal{B}})$  be general; since

$$\bar{\mathcal{A}}^\vee \otimes \bar{\mathcal{B}} = \varphi^*(\mathcal{A}^\vee \otimes \mathcal{B}) = \varphi^*(\mathcal{O}_{\mathbb{F}_e}(0, 1)^{\oplus (\gamma \delta)} \oplus \mathcal{O}_{\mathbb{F}_e}(1, e)^{\oplus (\gamma \tau)}),$$

i.e.  $\mathcal{A}^\vee \otimes \mathcal{B}$  is globally generated, so  $\bar{\mathcal{A}}^\vee \otimes \bar{\mathcal{B}}$  is also globally generated. Therefore, by [3, Thm. 4.2], (cf. also [4, Thm. 2])  $\bar{\phi}_{gen}$  is injective and it gives rise to an exact sequence

$$0 \rightarrow \bar{\mathcal{A}} \xrightarrow{\bar{\phi}_{gen}} \bar{\mathcal{B}} \rightarrow \text{coker}(\bar{\phi}_{gen}) \rightarrow 0.$$

Since  $\bar{\phi} \in \text{Hom}_{X_e}(\bar{\mathcal{A}}, \bar{\mathcal{B}})$  as in (3.3) is such that  $\text{coker}(\bar{\phi}) = \mathcal{U}_r$  is locally free, then also  $\text{coker}(\bar{\phi}_{gen})$  is locally free, as locally freeness is an open condition on the (irreducible) vector space  $\text{Hom}_{X_e}(\bar{\mathcal{A}}, \bar{\mathcal{B}})$ . Moreover, the rank of  $\text{coker}(\bar{\phi}_{gen})$  is given by  $\delta + \tau - \gamma = r = \text{rank}(\mathcal{U}_r)$ , with  $\gamma, \delta, \tau$  as in the proof of Theorem 3.1. Furthermore, once again from the irreducibility of  $\text{Hom}_{X_e}(\bar{\mathcal{A}}, \bar{\mathcal{B}})$  and from the constancy of Chern classes in irreducible flat families of vector bundles of given rank (or even from the fact that  $\mathcal{U}_r$  and  $\text{coker}(\bar{\phi}_{gen})$  are both locally free cokernels of injective vector bundle morphisms in  $\text{Hom}_{X_e}(\bar{\mathcal{A}}, \bar{\mathcal{B}})$ ) one has that

$$c_i(\text{coker}(\bar{\phi}_{gen})) = c_i(\mathcal{U}_r) \text{ for } 0 \leq i \leq 3. \tag{3.4}$$

Finally since  $\mathcal{U}_r$  is Ulrich on  $X_e$  w.r.t.  $\xi$  we have

$$h^i(\mathcal{U}_r(-j\xi)) = 0 \quad \text{for } 0 \leq i \leq 3 \text{ and } 1 \leq j \leq 3,$$

then by semicontinuity

$$h^i(\text{coker}(\overline{\phi}_{gen})(-j\xi)) = 0 \quad \text{for } 0 \leq i \leq 3 \text{ and } 1 \leq j \leq 3;$$

hence  $\text{coker}(\overline{\phi}_{gen})$  is Ulrich w.r.t.  $\xi$ .

The fact that  $\text{Hom}_{X_e}(\overline{\mathcal{A}}, \overline{\mathcal{B}})$  is irreducible implies that it must dominate the modular component  $\mathcal{M}(r)$  (as in Theorem 2.5) containing  $[\mathcal{U}_r]$  as its general point, which therefore implies that  $\mathcal{M}(r)$  is unirational. The generic smoothness of  $\mathcal{M}(r)$  as well as its dimension formula have already been proved in Theorem 2.5-(b), (c) (more precisely in [13, Main Theorem]).  $\square$

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