

Critical Review

Multiple Strategies for O₂ Transport: From Simplicity to Complexity

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Summary

O₂ carriers (extracellular and intracellular as well as monomeric and multimeric) have evolved over the last billion of years, displaying iron and copper reactive centers; very different O₂ carriers may co-exist in the same organism. Circulating O₂ carriers, faced to the external environment, are responsible for maintaining an adequate delivery of O₂ to tissues and organs almost independently of the environmental O₂ partial pressure. Then, intracellular globins facilitate O₂ transfer to mitochondria sustaining cellular respiration. Here, molecular aspects of multiple strategies evolved for O₂ transport and delivery are examined, from the simplest myoglobin to the most complex giant O₂ carriers and the red blood cell, mostly focusing on the aspects which have been mainly addressed by the so called 'Rome Group'.

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Abbreviations Ery, erythrocyte; Hb, hemoglobin; Hmc, hemocyanin; Hr, hemerythrin; Mb, myoglobin; Ngb, neuroglobin; 2,3-DPG, 2,3-diphosphoglycerate; MWC model, Monod-Wyman-Changeux concerted allosteric two-state model.

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INTRODUCTION

Studies on the structure-function relationships in respiratory proteins and their physiological implications have been a pioneering pathway for the development of our understanding at the molecular level of how a macromolecule exerts its role, being regulated by environmental factors. In this respect, works by Adair (1) and Wyman (2, 3) paved the way to a field of investigation which became explosive when Perutz and Kendrew solved for the first time the three-dimensional structure of myoglobin (Mb) (4) and hemoglobin (Hb) (5).

Due to the joined efforts and ideas of Eraldo Antonini, Maurizio Brunori, and Jeffries Wyman, under the wise supervision of Alessandro Rossi Fanelli, there was a building up in Rome of scientific results which gave significant and important contributions to the understanding of: (i) the structural determinants of heme-reactivity in the so called 'simple' heme-proteins; (ii) thermodynamics and kinetics of the ligand-linked conformational changes which characterize the cooperative behavior of a biological macromolecule, casting light on the complex molecular mechanisms at the basis of the exchange of information between the various subunits within a multi-subunit structure; (iii) the way in which the concepts developed within the framework of tetrameric Hbs may be applied to much more complicated systems such as the so called 'giant' O₂ carriers; and (iv) the complexity of spatial relationships, which regulate the functional features of an integrated system, as represented by the erythrocyte.

Here, the molecular aspects of multiple strategies evolved for O₂ transport and delivery are reviewed, mostly focusing on the aspects which have been mainly addressed by the so called 'Rome Group'.

LESSONS FROM MONOMERIC GLOBINS

Monomeric globins are invaluable model systems for heme reactivity because of their apparent simplicity. In this context, horse heart mini-Mb, *Aplysia limacina* Mb, and murine neuroglobin (Ngb) represent prototypical model systems to highlight protein folding mechanisms, structure-function relationships, heme reactivity, and heme-based ligand recognition coupled to signal transduction pathway(s).

Protein Minimization: Horse Heart Mini-Mb

The discovery that most of eukaryotic genes possess a mosaic structure in which expressed sequences (exons) are separated by non-coding sequences (introns) has led to the fascinating hypothesis that the protein segments encoded by exons may correspond to distinct structural/functional domains playing specific roles inside a protein (6, 7). Regarding the exons/introns structure of heme-protein genes and the relationship between an informational module (exon) and a structural module (protein domain) it is now well established that the peptide corresponding to the central exon of Mb and Hb genes (i.e., residues 31–105 while horse heart Mb is made of 153 amino acids) (8–10) contains almost all residues necessary for heme-protein recognition, apo- and holo-protein stability, and heme reactivity. The first and the third exons encode sequences devoted to modulate Mb and Hb reactivity (11–13). An exception is represented by soybean legHb whose central exon is splitted in two exons (14).

Following this line of thought, a proteolytic fragment (32–139) of horse heart Mb, called ‘mini-Mb’, was prepared and deeply characterized (15–20). Mini-Mb mimicks closely the protein domain (residues 31–105) encoded by the central exon of the Mb gene; in fact, the first exon-coded domain is completely removed, whilst 34 amino acid residues of the third exon-coded domain remain at the C-terminal side (16).

Mini-Mb binds tightly the heme (1:1 stoichiometry) and displays spectral and reactivity features similar to those of native horse heart Mb (15, 16). The main difference between mini-Mb and Mb resides in the stability of their oxygenated complex, possibly reflecting the absence of some heme-protein interactions, not strictly required for the maintenance of the overall structure, but necessary to prevent heme-Fe-atom oxidation (17). However, O₂ helps mini-Mb to achieve the folding of native Mb, at least as far as the first coordination sphere is concerned (17).

Spectroscopic, kinetic, and dynamic investigations indicate that structural and functional features of mini-Mb mostly reflect those of the domain responsible for the core structural arrangement and heme reactivity, while the two terminal fragments (corresponding to the first and third exons) dampen the amplitude of the structural fluctuations, which accompany ligand binding, thus rendering the native protein stable and functionally more efficient (17–19).

Apo-mini-Mb disclosed a new scenario in globin folding when examined in parallel with apo-Mb (20). The lack of the A helix and part of the B and H helices and of some crucial tertiary interactions in mini-Mb represents an important test and a challenge for the folding pathway of apo-Mb proposed by various authors (21, 22), who postulated a crucial nucleation role played by the A, G, and H helices. Therefore, the structural stability of mini-Mb (where a key role seems to be played by hydrophobic interactions; see 19) indicates that it may represent a valuable model as a folding intermediate of Mb under non-denaturing conditions, suggesting an alternative sequence of events in the acquisition of native folding in mini-Mb which may or may not be shared under some conditions also by Mb (20).

More recently, the results and the hypothesis on structure-function relationship in mini-Mb have been confirmed by the expression of the 29–105 fragment of sperm whale Mb (called ‘micro-Mb’), which is closely corresponding to the central exon of the Mb gene; thus, this peptide shows a correct folding for heme recognition as well as native Mb (23).

Protein minimization opens new insights for the comprehension of the complex relationships between independent domains and the overall structure in proteins. The investigations on how a protein reaches its correct folding and how many alternative folding pathways exist may open new ways to understand molecular evolution of proteins and the processes of misfolding with related pathologies (24).

***Aplysia limacina* Mb: An Invertebrate Prototype Globin**

A. limacina is a opisthobranch gastropod (known as the sea hare) living in the coastal waters of temperate regions. As in other molluscs, the main organs where Mb is localized are the buccal muscle (radula) and the triturative stomach, where it facilitates O₂ delivery (25); remarkably, the primary O₂-carrier in *A. limacina* is hemocyanin (Hmc) (see 26).

A. limacina Mb serves as a prototype for holo- and apo-heme-protein folding (27–34), for globins lacking the heme distal HisE7 residue (35–39), and for reactivity of heme-proteins displaying a tetra- and/or penta-coordinate heme-Fe-atom (40–44). Furthermore, *A. limacina* Mb can be taken as the prototype of invertebrate monomeric O₂-carrying heme-proteins, in which the conventional globin fold residues and molecular mechanisms have evolved along a different line from that observed for vertebrate heme-proteins, though achieving a comparable affinity for the heme ligands (39, 45–47).

Earlier work carried out on *A. limacina* holo-Mb highlighted the fully reversible thermal unfolding transition (28) and the accumulation of a transient intermediate in the kinetic folding pathway (29). More recently, it has been shown that the folding of *A. limacina* apo-Mb follows the same multi-state pathway of the mammalian globins, populating two partially structured intermediates. Moreover, it was shown that the main folding intermediate of *A. limacina* globin corresponds to

the main intermediate of mammalian globins. These results showed that the role of the A, G, and H helices in forming the initial native-like core in the folding of globins (21) appears to be evolutionarily conserved, from invertebrates to mammals (31–33), and it may be consistent with the conclusion reached in other protein families (48–50). On the other hand, this statement is not in contradiction with data observed for apo-mini-Mb (20), since the pathway of folding is not necessarily unique.

Both ferric and ferrous unliganded derivatives of *A. limacina* Mb are tetra-coordinated at pH ~4 and penta-coordinated at pH ~6. The acid-to-neutral transition(s) is reversible and affects markedly the heme-protein reactivity (41–43, 51). The high reactivity of ferrous and ferric *A. limacina* Mb towards CO and N₃⁻, respectively, at pH ≤ 4 appears to reflect the pH-dependent cleavage of the proximal HisF8-N_ε-Fe bond following the protonation of the HisF8-N_ε atom. This induces the displacement of the heme-Fe-atom in the heme plane and this is associated to a large increase of reactivity of the unstrained reaction center (41–43, 51, 52). This mechanism is supported by fast CO binding to ferrous penta-coordinate *Chironomus thummi thummi* monomeric erythrocyruorin (Ery) displaying a Fe-atom heme plane distance of 0.17 Å, to be compared with that of most penta-coordinate heme-proteins showing low ligand reactivity (e.g., 0.55 Å for sperm whale Mb) (see 43, 47, 51). Unlike other monomeric globins, the reversible penta-to-tetra-coordinate transition (*i.e.*, the heme reactivity) in *A. limacina* Mb is modulated by anions (40, 43).

Within this functional model, the rupture of the fifth axial bond, occurring at low pH and leading to the tetra-coordinate form, allows the heme-Fe-atom to relax in the heme plane in ferrous globins and thus makes the rate of formation of the Fe-ligand bond more favorable by -2 kcal/mol. It is interesting to note that a similar difference in the kinetic free energy barrier has been reported for CO binding to human HbA in the T and the R quaternary conformations (53). Although in multimeric Hbs other factors come into play, the heme-Fe-atom has been shown to be closer to the heme plane in the R conformation and therefore such a similarity suggests that -2 kcal/mol is actually the free energy to be spent for such a structural transition (see 43, 51).

At neutral pH, the reactivity of ferrous *A. limacina* Mb towards diatomic ligands (*i.e.*, O₂ and CO) is similar to that of most ferrous monomeric globins (46, 47, 54). In contrast, ferric *A. limacina* Mb displays a very high reactivity towards anionic ligands (e.g., N₃⁻) when compared to sperm whale Mb, a prototypical vertebrate monomeric heme-protein (41, 45, 55–58). This reflects the absence in ferric *A. limacina* Mb of the water molecule bound at the sixth coordination position of the heme-Fe-atom in most ferric heme-proteins, around neutrality. Therefore, ferric *A. limacina* Mb binds N₃⁻ showing an unhindered active center (42) and without suffering competition with the heme-bound water molecule

(which has been shown to represent an important rate-limiting step factor) (see 59) (Fig. 1).

This functional model reflects the absence of the HisE7 residue at the heme distal site of *A. limacina* Mb (42). The replacement of HisE7 with Val in either pig or sperm whale Mb drastically lowers ligand affinity (46). The HisE7Val natural mutation in *A. limacina* Mb (Fig. 1) appears to represent the main determinant for the low stability of some heme-ligand complexes (42). An array of amino acid substitutions in the heme distal pocket appears to complement, at least in part, the HisE7Val natural mutation in *A. limacina* Mb. Mutations, introduced singly and in combination at residues Lys/ArgCD3, HisE7, ThrE10, and ValE11 in pig and sperm whale Mb (45, 46) probe the roles of these key heme distal residues in modulating *A. limacina* Mb reactivity. In *A. limacina* Mb, the ArgE10 residue swings into the heme pocket and provides a hydrogen bond(s) to the heme-bound ligand (37, 38) (Fig. 1).

Lastly, HisE7 acts as a ‘gate’ for ligand access to and exit from the heme pocket in globins devoid of an entrance tunnel within the protein matrix (60, 61), thus playing a pivotal role in ligand binding and protein dynamics. Site-directed mutagenesis has confirmed the gating role of HisE7 (45) and has allowed to postulate a role for the system of cavities where ligands can dock temporarily (62). Time-resolved crystallography (63–65) and cryo-trapping experiments (66, 67) showed how the internal Mb cavity system modulates heme reactivity in a subtle interplay with tertiary and side-chain transitions centered on the topological position E7 (68).

In conclusion, heme-protein reactivity is a complex event reflecting the heme-Fe-atom coordination state (penta- or tetra-coordinate), the ligand accessibility to the active center (by heme distal ‘gate(s)’, *i.e.*, HisE7), and the stabilization of the heme-bound ligand by hydrogen bonding to heme distal residues (e.g., HisE7 and ArgE10) (Fig. 1). Furthermore, different strategies have been evolved by non-vertebrate and vertebrate globins to achieve comparable ligand affinity, representing a case of convergent evolution (47).

Neuroglobin: A Hexa-coordinate Stress-responsive Sensor for Signal Transduction in the Brain

Ngb, a model system for reactivity of hexa-coordinate monomeric globins, is expressed in the brain of vertebrates and is involved in the neuroprotection from damage due to hypoxia or ischemia; overexpression of Ngb ameliorates the recovery from stroke in experimental animals (see 69–71).

Binding of exogenous ligands to Ngb is a complex event which appears to be characterized by: (i) the cleavage of the endogenous sixth ligand bound to the heme-Fe-atom (*i.e.*, HisE7), (ii) the formation of the transient reactive penta-coordinate species, and (iii) the binding of the exogenous ligand (e.g., O₂) to the vacant sixth coordination site of

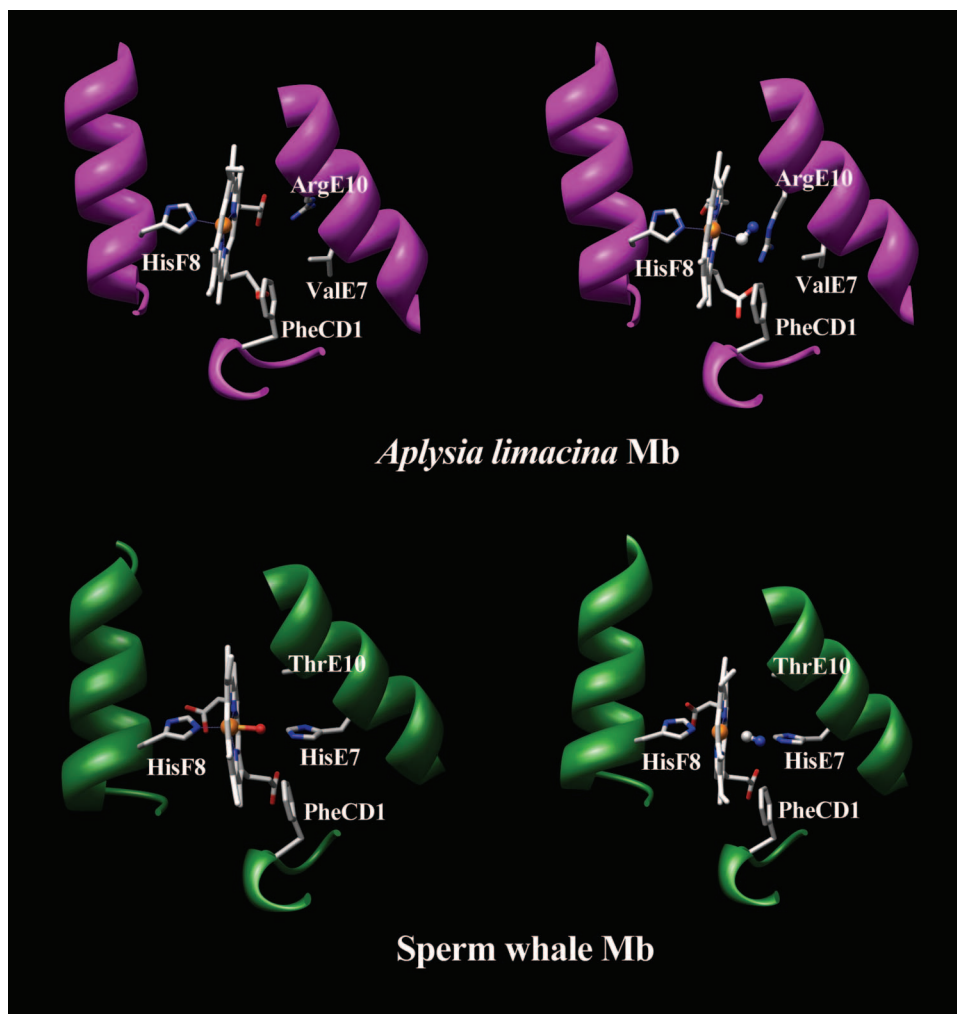


Figure 1. The heme reactive site of ferric *A. limacina* Mb and sperm whale Mb in the absence (left) and presence of cyanide (right). In the absence of exogenous ligands, the ferric heme-Fe-atom of *A. limacina* Mb is penta-coordinated (PDB code, 1MBA; 36), while sperm whale Mb binds a water molecule (i.e., is hexa-coordinated) (PDB code, 4MBN; 170), around neutrality. In *A. limacina* Mb, the heme-bound cyanide is stabilized by hydrogen bonding to ArgE10, being the heme distal residue HisE7 replaced by Val (PDB code, 2FAL; 37). In sperm whale Mb, the heme distal residue HisE7 stabilizes the heme-bound cyanide by hydrogen bonding (PDB code, 1EBC; 171). All pictures were drawn using the software CHIMERA (<http://www.cgl.ucsf.edu/chimera/>).

the heme-Fe-atom. As a result of these concurring events, the very high intrinsic affinity of exogenous ligands for the penta-coordinate heme-Fe-atom of Ngb is significantly lowered by internal competition with HisE7, resulting in an apparent affinity of ligands for Ngb closely similar to that of classical penta-coordinate globins (47, 54, 71–78).

Ferric hexa-coordinate Ngb shows a huge internal cavity, which connects the heme distal and proximal sides and is in contact with the bulk solvent. The ‘entrance’ of this cavity is centered around the EF corner, which was found to be characterized by an unusual mobility just like the CD corner (79, 80). Binding of CO is associated to a large sliding

motion of the heme toward the interior associated to an extensive reorganization of the internal cavity (81). Thus, it has been proposed that a role for the huge internal cavity may be to yield space for the heme, thereby facilitating the sliding motion linked to ligand (i.e., CO) binding and the coupled structural changes (such as the change in external mobility of loops and control of access to the tunnel) (71, 81) (Fig. 2).

Structural changes accompanying the redox/ligation state have been related to the neuroprotective role of Ngb against hypoxia. Ngb could act as a sensor of the O₂/NO ratio in the cell, possibly regulating the GDP/GTP exchange rate

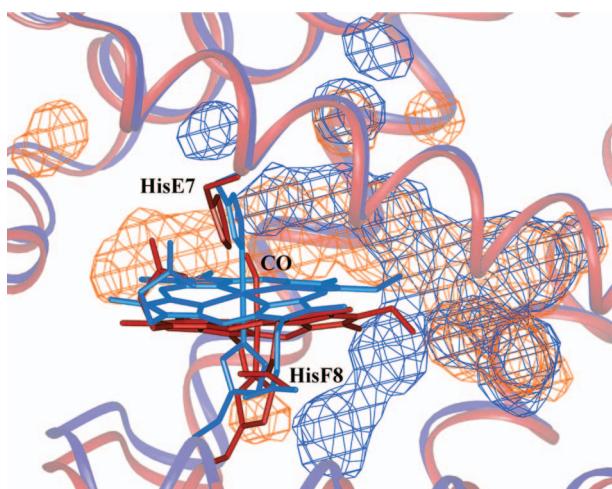


Figure 2. The reactive site of murine ferrous carbonylated Ngb (red) and unliganded ferric Ngb (blue). On CO ligation, the coordination bond with HisE7 (above the heme plane) is broken but imidazole ring moves only slightly, whereas heme tilts and slides toward the right in a pre-existing cavity. This conformational change is associated to (i) a substantial change in the position of the proximal HisF8 residue (seen at bottom) and (ii) the obliteration of the proximal branch of the large cavity (highlighted in blue in ferric Ngb) and its extension on distal side (indicated with orange contour in ferrous carbonylated Ngb) (PDB codes: 1Q1F and 1W92; 80, 81). Modified from (71).

by forming a specific complex with the heterotrimeric $G_{\alpha\beta\gamma}$ -protein when oxidized but not when reduced and bound to a gaseous ligand. Ngb oxidation is facilitated under conditions where $[O_2]$ tends to be lower and $[NO]$ higher than normal physiological levels. O_2 binding to Ngb with subsequent very rapid oxidation by NO would have the triple effect of: (i) competing with the direct formation of NgbNO, which is oxidized very slowly by O_2 , (ii) reducing free NO, which is harmful to cellular respiration, and (iii) liberating the heterodimeric $G_{\beta\gamma}$ -protein and thereby activating the coupled signal transduction pathway, which is protective toward oxidative stress. Ngb:G-protein recognition is based on the homology of the Ngb sequence with that of regulators of G-protein signaling and the corresponding domains of G-protein coupled receptor kinase. However, this appears to be a characteristic of mammalian Ngbs, ferric zebra fish Ngb being devoid of GDI activity. Lastly, Ngb may also protect neurons facilitating directly the degradation and quenching of reactive oxygen species. These possible functions of Ngb demand the demonstration that the brain expresses a significant ferric Ngb reductase activity, which is necessary to restore the reduced state of the heme-protein competent for O_2 binding (see 70, 71, 82).

LINKED FUNCTIONS AND BINDING POLYNOMIALS: THE THERMODYNAMIC TOOLSET

Mb and mini-Mb often show complex features, rich of information particularly for the understanding of protein folding behavior. Yet, their ligand binding properties are by far the easiest to be described, due to the single binding site for O_2 . However, even in the case of moderately larger systems, like tetrameric Hbs (just to avoid mentioning, for the moment, giant systems like Hmcs and hemerythrins (Hrs)), a brand new set of functional features emerges, thanks to the higher number of ligand binding sites influencing each other in many different ways. Therefore, the systematic use of statistical thermodynamics in describing and understanding such complex phenomena allows to account for nonlinear responses to changes in chemical and/or physical variables, so common in such systems, as already illustrated in pioneering works by Wyman and coworkers (3).

From this approach a major stream of experimental results and novel theoretical achievements was generated, as in a virtuous loop where modelistic hypotheses called for new experiments, and received from them inspiration for further extension and clarification. Thus, from the concepts of linked functions (83), binding potential (84) and allosteric conformational transition in the classic form of the Monod-Wyman-Changeux concerted allosteric two-state model (MWC model) (85), the cooperative binding of O_2 to Hb, as well as the connection of this process with the binding of H^+ and other ions, found a satisfactory and unified rationale.

The flexibility of the allosteric idea, taken together with the simplicity and heuristic power of the MWC model, was soon fully exploited in order to: (i) provide a complete description, under equilibrium conditions, of homotropic (among identical sites) and heterotropic (among different sites) interactions in Hb (86); (ii) generalize the approach to the treatment of giant respiratory systems or even enzymes (87); and (iii) understand the effects of both physical and chemical variables on protein macromolecules within the same modelistic framework (88).

The conceptual elementary basis, underlying such an approach, resides in the idea of representing all macromolecular species of a system in any possible ligation state. This is the binding polynomial, which is a straightforward application of the partition function concept (83, 89), for a non dissociating tetrameric Hb its formulation is:

$$P = \sum_{i=0,4} \beta_i x^i = 1 + \beta_1 x^1 + \beta_2 x^2 + \beta_3 x^3 + \beta_4 x^4$$

where x is the activity of the X ligand and β_i are the overall binding constants, called Adair constants, for the reaction of adding i ligands to the unliganded macromolecule M (I).

Leaving aside the intricacies of the modelistic complications the power of this approach can be easily appreciated just realizing that the first output of most experimental investigations is the fractional saturation (Y) of the protein with the

ligand X. The fractional saturation is immediately provided by the following expression containing P in the denominator and, in the numerator, x times the derivative of P with respect to x:

$$Y = \frac{(\beta_1 x + 2\beta_2 x^2 + 3\beta_3 x^3 + 4\beta_4 x^4)}{(1 + \beta_1 x + \beta_2 x^2 + \beta_3 x^3 + \beta_4 x^4)}$$

Moreover, if general thermodynamic concepts have been successfully applied almost exclusively to equilibrium conditions, Prigogine and his school extended their application field to the twilight zone between thermodynamics and kinetics, namely steady-state. In the realm of O₂ carriers, a number of interesting examples of such an approach are described at depth in the classical book by Wyman and Gill (90).

From the modelistic viewpoint, it is worth mentioning that the kinetic transitions of a system between its two conformations, M1 and M2, were depicted by a functional cycle called the 'turning wheel' (87). This model has been used to show that even the catalytic cycle of an enzyme having a single binding site may be described in terms of a cooperative behavior. In this respect, kinetics of CO binding to Hb in the presence of light of different intensities are consistent with a turning wheel model, in which the light of intensity I generates two excited states of the macromolecule, one in its unliganded form, M', and one in its liganded form, M'X (91). The rate of excited state generation is dependent on the absorption coefficients of the process, and the light plays the role of a second heterotropic effector. However, studies of CO binding to Mb in the presence of light show that the details of the fast kinetic events involved in photolysis of CO from Mb cannot be included into the simple turning wheel model (92). Among the most appealing exploitations of such a model is instead the reciprocal transport of O₂ and CO₂ by the circulating blood or the pH-driven O₂ loading of the swim bladder operated by some fish Hb components (93).

TETRAMERIC HEMOGLOBINS: LESSONS ON THE MODULATION OF COOPERATIVITY

Since the formulation of the MWC model (85) and the original structural observation by Perutz of the different tertiary and quaternary structures for the unliganded and liganded forms of Hb (94), the study of the ligand-linked structural-functional relationships of Hb has become a central topic. Particular attention was addressed toward the relationships between the strain exerted by the bond between the heme-Fe-atom and the proximal HisF8 residue and its spring-like effect toward the interface among the four subunits (especially the $\alpha_1\beta_2$ interface) (see 54). An additional, and closely related, aspect was the relationships between the ligand-linked release of H⁺, O₂ affinity, and quaternary conformational change(s), which had been originally sketched by Perutz as mostly referable to the quaternary-linked

variation of the C-terminal residue His β H24 (94). Therefore, at the onset of the 1970s it appeared feasible for the first time to attempt a detailed study of the energetics associated to the ligand-linked quaternary structural changes and to clarify how the structural trigger occurs along the ligand binding pathway (95).

In this framework, the work on the thermodynamic aspects of cooperativity of O₂ binding to human Hb carried out first by Imai and Yonetani (96, 97) and then by Gill and coworkers (98, 99) is of paramount importance. Thus, the detailed analysis reported in these works allowed to attempt a quantification of the energetics involved in individual binding steps, raising important questions about the plausibility of the MWC model for the quantitative description of O₂ binding to human Hb. As a matter of fact, these data seem to suggest the existence of manifold energetic levels for the T quaternary state, a possibility already postulated on the basis of pioneering laser photolysis kinetics of oxygenated human Hb (100) and compatible with previous temperature-jump observations (101, 102). This hypothesis has been also confirmed in the case of CO binding to human Hb, since it was shown that at acid pH values (where cleavage, or severe weakening of the proximal HisF8-Fe bond has been observed for Mb) (43, 52) human Hb undergoes the same phenomenon (103) with an increase of the rate constant for CO binding up to values typical of the R state, though keeping the T unliganded conformation. This behavior clearly demonstrated that the stereochemistry of the heme proximal pocket and the proximal HisF8-Fe bond is crucial in modulating the structural-functional relationships associated to the quaternary structural changes following CO binding, this is in line with the stereochemical model proposed by Perutz (94).

On the other hand, an additional challenge to the original simple formulation stems from detailed analysis of H⁺ release, which does not appear to be uniform along the binding pathway (as expected instead for a purely allosteric effector) and mostly observed during the first binding step (96, 104). This observation, which is consistent with the possibility of a manifold T quaternary state, lead to the formulation of an extension of the MWC model (105), which allowed to account in a quantitative fashion for some of the most problematic challenges for the MWC model (106, 107). In particular, this model postulates the existence of a cooperative unit (called 'cooperon'), within which the interaction among active sites is modulated by direct interactions; the original MWC model operates only among different cooperons which form the whole oligomeric protein. Therefore, this model, which implies the existence of a different cooperon structure according to the number of active sites occupied by the ligand, is able to account for tertiary structural differences (nesting) within a given quaternary overall conformation of the oligomeric protein.

A very important contribution to the comprehension of the modulation of allosteric equilibria in Hb has certainly also

come from the very extensive investigation on the two main components of the Hb system of trout *Salmo irideus* (108).

One of these components, called trout HbI, displays cooperative O₂ binding curves which are independent of pH and of any heterotropic effector such as 2,3-diphosphoglycerate (2,3-DPG) (109). The structural basis for the lack of heterotropic interactions has been proposed to rely on the substitution of some key residues involved in the interaction of organic phosphates (110) (Fig. 3). Moreover, trout HbI is characterized by a peculiar temperature effect, such that in the T quaternary state O₂ binding shows no temperature dependence (i.e., $\Delta H_T \cong 0$ kJ/mol) (111), and CO binding turns out to be endothermic (i.e., $\Delta H_T = 25.1$ kJ/mol) (112). Since for both ligands the R state shows an exothermic ligand binding (i.e., $\Delta H_R < 0$ kJ/mol) it was possible to measure quantitatively the temperature perturbation of the allosteric equilibrium as a function of ligand saturation (111, 113). These observations on the allosteric equilibria were completed by measurements of the geminate CO recombination at different temperatures associated to a detailed analysis of the rates for the quaternary structural change as a function of temperature (114). This measurement allowed to quantify for the first time in a direct way the activation enthalpy for both rates of the allosteric equilibrium in the absence of ligands as well as the linear free energy relations for the quaternary conformational change. Thus, the detailed description of the energetics and the rates of all allosteric equilibria rendered possible to establish that the transition state for the quaternary conformational change is more R-like than T-like, leading to the conclusion that at different ligation steps the progressive population of the R state was due almost completely to the increase of the T \rightarrow R rate whereas the R \rightarrow T rate was left essentially unchanged (114, 115). As a whole, this investigation was a stringent test for the applicability of the MWC model, which, in the case of trout HbI, turned out to be perfectly suitable to account for all its functional properties. This was further strengthened by the detailed investigation on the O₂ binding properties of oxidation intermediates of trout HbI (111), which can be individually isolated (by virtue of the negligible dissociation to dimers of this Hb and the functional similarity for the two types of subunits) (see 109). Indeed, the O₂ binding parameters of all oxidation intermediates in trout HbI can be perfectly accounted for by the MWC model (111).

A different aspect concerns the relevance of the functional studies on another component of the trout Hb system, called trout HbIV, which displays a marked pH (as well as of other heterotropic ligands) dependence of ligand binding properties. Thus, at moderately acid pH values (i.e., < 6.5), trout HbIV is incompletely saturated even at atmospheric O₂ pressures, a phenomenon called 'Root effect' (93, 108). Detailed investigation on the pH dependence for O₂ and CO binding (116, 117) clearly envisaged a more complex behavior than for trout HbI. Thus, a marked pH dependence of the ligand binding

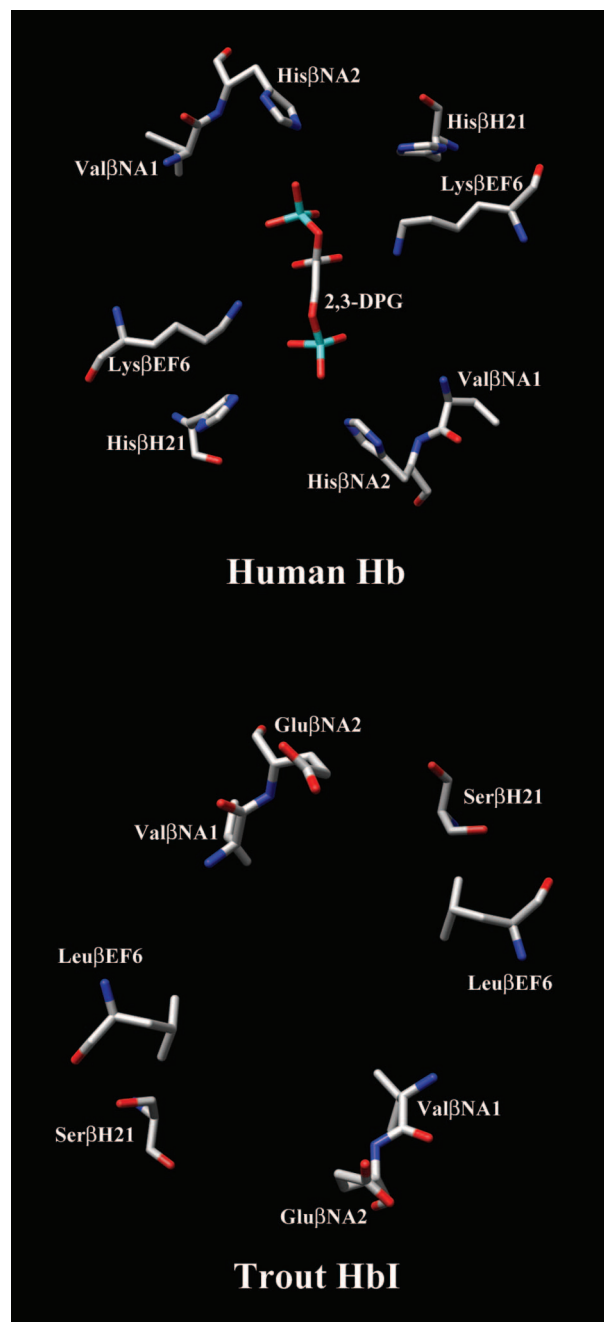


Figure 3. The 2,3-DPG binding site of deoxygenated human Hb (PDB code, 1B86; 172) and trout HbI (PDB code, 1OUT; 173). Trout HbI displays cooperative O₂ binding curves which are independent of pH and of any heterotropic effector such as 2,3-DPG (109). The lack of heterotropic interactions has been related to the substitution of some key residues involved in the interaction of organic phosphates in human Hb (110).

isotherms is accompanied by a dramatic pH dependence of cooperativity, which underlies a linkage between H⁺ and the allosteric equilibrium (Fig. 4). This effect turned out to be so

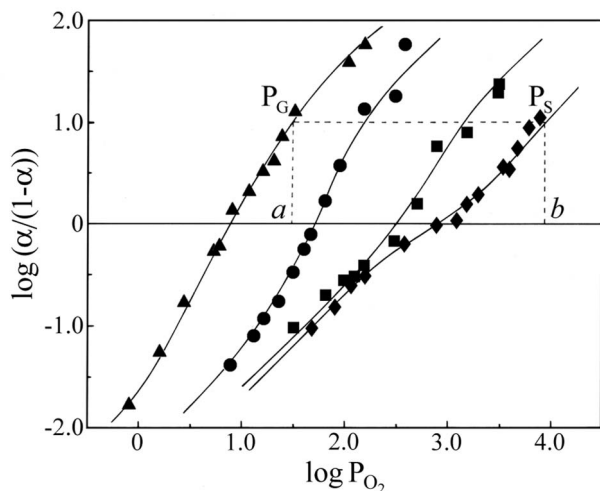


Figure 4. O₂ binding curves of the macromolecular transducer trout HbIV at pH 6.1 (diamonds), 6.7 (squares), 7.15 (circles), and 7.7 (triangles); T = 14°C. The physiological rationale for this marked pH-dependence must be found in the necessity of releasing O₂ from the blood stream also under very high O₂ pressure, such as that present in the swim bladder (93). Modified from (116).

marked that at pH < 6.5 no quaternary transition occurs and it was possible for the first time to obtain a T-liganded Hb (at least for the CO-bound form), which was characterized by a variation of the spectral properties (118). In addition, a pH-dependent variation of the affinity for the T quaternary state can be observed, which is especially marked for O₂ binding, accompanied by a functional heterogeneity for the two types of subunits, which becomes clear-cut at moderately acid pH values (116). This behavior cannot be accounted for the simple MWC model and it requires the introduction of additional elements, such as subunit functional heterogeneity and the existence of a nesting of tertiary structures within a given quaternary state (117). A marked subunit functional heterogeneity is not infrequent in fish Hbs for both O₂ and CO binding, allowing us to distinguish clearly the behavior of the two chains (119); in some cases, it has been possible to fully attribute this subunit functional heterogeneity to variations of the stereochemistry of the proximal bond (120).

The physiological rationale for this marked pH-dependence must be found in the necessity of releasing O₂ from the blood stream also under very high O₂ pressure, such as that present in the swim bladder, which is an important element for the regulation of buoyancy (93). Thus, trout HbIV acts as a pump, providing O₂ transport and release against a concentration gradient, taking advantage of the marked pH-dependence of the O₂ binding isotherms (Fig. 4). The operation of the pump can be understood on the basis of the following considerations, since reaction rates are fast enough to allow equilibrium to be reached in both gills and swim bladder. If in the gills we

have pH_G = 7.7 and the blood pO₂ = a (Fig. 4), the O₂ binding behavior of trout blood is represented by the curve P_G, such that the blood takes up O₂ for pO₂ > a (e.g., 1 atm). Going in the swim bladder, where pO₂ = b, trout blood could not release O₂ if it keeps the binding behavior of curve P_G. The pump action indeed occurs because in the swim bladder we have pH_S = 6.1 and the O₂ binding behavior is represented by the curve P_S (Fig. 4), allowing the trout blood to release O₂ even for b > pO₂ > a. In this way, exploiting the marked pH-dependence, trout blood acts as a pump for transferring O₂ from the gills to the swim bladder, i.e., from a region of low pO₂ to one of high partial O₂ pressure. The efficiency of the pump is primarily due to the strong pH dependence of the functional heterogeneity of trout HbIV (Fig. 4), which is considerably greater at the higher saturations associated with high O₂ pressure (116).

As a whole, during circulation the blood oscillates between two different states, one as it leaves the capillaries of the gills, the other as it leaves those of the swim bladder. When trout HbIV is acting as a pump, the work of pumping is paid for by the constant return of H⁺ from swim bladder, where H⁺ concentration is large, to gills, where H⁺ concentration is small. The maintenance of the pH gradient, which ensures continuing operation of the system, is due to the metabolism of the fish. It is known that the gas gland, associated to the swim bladder, produces large amounts of lactic acid even in the presence of O₂ (116, 121).

Therefore, trout HbIV can be looked upon as a macromolecular transducer, which operates by coupling the concentration gradients of two ligands (i.e., O₂ and H⁺), both of which bind to the macromolecule in a linked fashion. The downhill flow of H⁺, which is maintained at high concentration in the swim bladder, provides the free energy necessary to pay for the opposite, uphill, flow of O₂; the overall thermodynamic process being of course symmetric with respect to the two ligands (116).

The structural basis for the Root effect were proposed by Perutz and Brunori (122) as residing in the common replacement of the CysβF9 residue by Ser, whose H-bond with HisβH24 would stabilize the T deoxygenated quaternary conformation, such that the allosteric equilibrium does not stabilize the R form even in the fully liganded species (Fig. 4). However, this is not the only determinant for the Root effect observed in fish and amphibian Hbs, as demonstrated subsequently in a site-directed mutant of human Hb (123), where the substitution of CysβF9 by Ser was insufficient to induce an alteration of functional properties similar to those observed in trout HbIV (see 93, 116).

Starting from the second half of 1980s the possibility of producing site-directed mutants opened a new way of investigating the mechanism of cooperativity, since it was possible to probe directly the functional and structural effect of the substitution of individual aminoacids by different type of residues (124); until then, this investigation was only made

possible by natural mutants (125, 126). The crucial role of several residues present in the heme distal pocket in determining the intrinsic reactivity toward ligands was elucidated for the different subunits (127), putting in evidence that the steric hindrance of the ValE11 residue and the polarity of the HisE7 residue are critical for a fine tuning of ligand binding to human Hb. However, most of these studies focused on the modulation of reactivity, which is easier to investigate, more than on the modulation of cooperativity and allosteric interactions, in particular at the $\alpha_1\beta_2$ interface, which is known to be the central region for the quaternary switch (128). In particular, the contacts between the α FG and the β C regions have been proposed to play the role of a ‘flexible joint’ for the quaternary-linked relative motion of the two subunits, whereas the contacts between the α C and the β FG regions seem to play more the role of the ‘switch’ for the same structural movement. Therefore, this appears as an important region for the investigation of the role of different amino acids on the allosteric structural change. As a matter of fact, this asymmetric contribution of the two interface regions is demonstrated by the observation that the substitution of Thr α C3 by Trp at the ‘switch region’ alters the allosteric mechanism, greatly stabilizing the tetrameric assembly in the R liganded structure and keeping a normal cooperativity, while the substitution of Trp β C3 by Thr at ‘flexible joint’ brings about a destabilization of the tetrameric assembly. The double mutant shows almost no cooperativity, suggesting a gross alteration of the communication between the two regions (129, 130). In addition, since the functional difference between the two quaternary conformations for O₂ binding mostly resides on the different ligand dissociation rate constants (102), cooperativity can be affected also through site-directed mutation of residues present in the heme distal pocket, which alter the quaternary-linked difference. This has been shown to be the case for mutants where LeuB10 has been substituted by Tyr, which is able to form H-bonds with the bound O₂, dramatically decreasing the ligand dissociation rate constant (131, 132). The decrease of cooperativity is however observable only because the effect of the substitution is actually observed only for α -chains, bringing about a dramatic functional heterogeneity for the two types of chains especially in the R quaternary state. This leads to propose that cooperativity is modulated in a different fashion for the two types of subunits, being mostly regulated by ‘proximal’ variations in the α -chains and by ‘distal’ variations in the β -chains, as previously predicted on the basis of stereochemical considerations (133).

EXTRACELLULAR GIANT OXYGEN CARRIERS: LESSONS ON MULTI-DOMAIN COOPERATIVITY

Different strategies have been adopted during evolution to achieve a consistent solution to the vital problem of O₂ supply to tissues for metabolic demands. Hence, giant Hbs (i.e., Erys

from Annelida, Mollusca, and Arthropoda; the prosthetic group is the iron protoporphyrin IX), chlorocruorins (found in Polychaeta; the prosthetic group is a derivative of the iron protoporphyrin IX, in which the vinyl group at position 2 is substituted by a formyl group), Hmcs (from Mollusca and Arthropoda; the reactive site contains two copper atoms), and Hrs (present in Sipunculid, Priapulid, and Brachiopod; the reactive site contains two iron atoms) differ greatly in the nature of the prosthetic group (Fig. 5) and of the protein moiety (134, 135). However, homotropic and heterotropic effects are common and can be described taking into account ligand-linked conformational changes which may have a similar stereochemical basis. Differences in the cooperative character of O₂ binding displayed by tetrameric Hbs, giant Hbs, and Hmcs are quantitative rather than qualitative. Thus, in the case of extremely large respiratory proteins, both Hmcs and Erys, which may contain even more than 100 O₂ binding sites, a very large value of the Hill coefficient (corresponding to the slope of the Hill plot at 50% saturation) is often coupled with a relatively small total interaction free energy determined by the spacing of the asymptotes of the binding curve. An interpretation of the functional data has been based on the following model (136): (i) the binding sites of the whole molecule are segregated into constellations that are essentially independent one of another; (ii) within each constellation, the sites may strongly interact via ligand-linked conformational changes; and (iii) the overall cooperativity is the result of the very strong interactions within each constellation of sites and the opposing effect is the result of the heterogeneity of the functional constellations (105, 137).

In spite of the intrinsic approximation, this model has provided a consistent description of the equilibrium data and allowed a uniform treatment of results obtained on Erys and Hmcs from different species and with different molecular weights. However, we cannot disregard that a general problem is concerned with the relationships between functional constellations and structural domains. Considering that functional interactions occur in the assembled molecule and are absent in its dissociation products, it has been reasonable to postulate that the regions of the molecule which are more tightly held together are the ones in which cooperative functional effects are operative. However, since a group of subunits acquires allosteric properties only in the whole molecule it is very likely that functional constellations extend over the boundaries that are identifiable by the structural domains (see 138).

An improved version of this model, applied to analyze O₂ equilibrium data of *Helix pomatia* β -Hmc, took advantage of the ‘cooperon concept’ for which each functional constellation is composed of smaller functional units called ‘cooperons’ (105). Such a model, although maintaining several features of the MWC model, incorporates the possibility of the coexistence of tertiary- and quaternary-linked heterotropic effects (139).

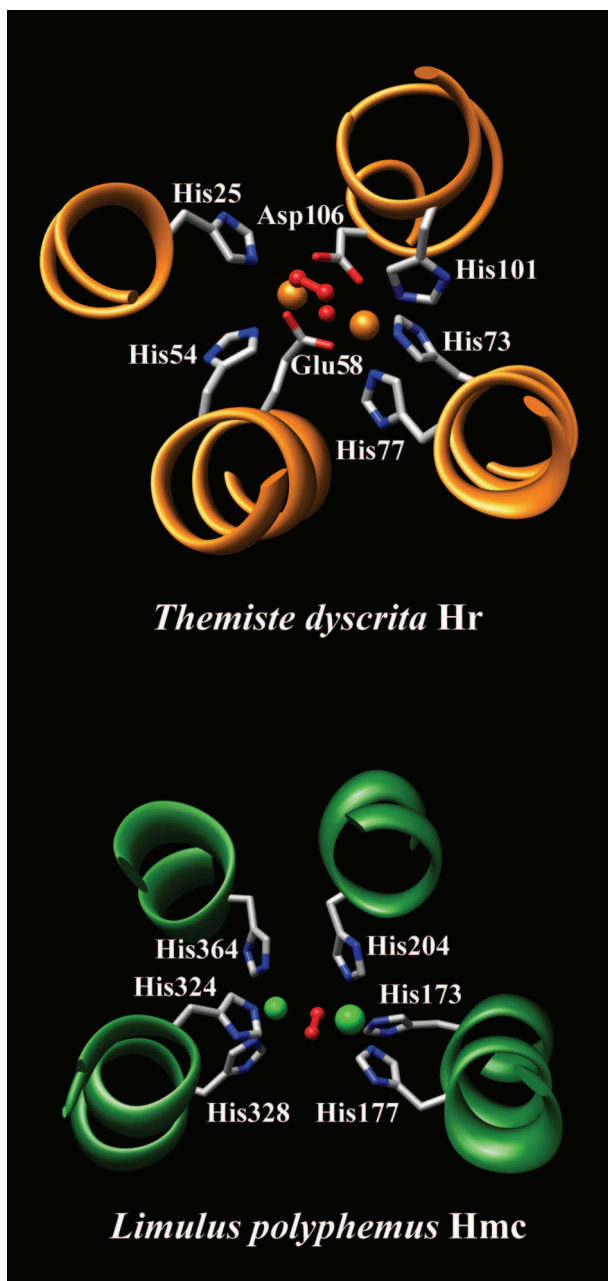


Figure 5. Non-heme reactive site of oxygenated *Themiste dyscrita* Hr (PDB code, 1HMO; 174), and oxygenated *Limulus polyphemus* Hmc (PDB code, 1OXY; 175). The binuclear complex of oxygenated *T. dyscrita* Hr retains an oxygen atom bridging the two iron atoms.

Although the molecular architecture of Hmcs, their ligand binding site and the stereochemistry of the binuclear copper center where O₂ is reversibly bound are very different from the corresponding structural features of Hbs and Erys, there are outstanding analogies in the functional properties of these families of O₂ carriers (105, 134, 135, 140–144). An example

of this analogy is represented by *Panulirus interruptus* Hmc, which exhibits a progressive decrease in O₂ affinity and a parallel loss of cooperativity with decrease in pH, resulting in an apparent loss of the O₂ binding capacity of the protein (143). This behavior is strongly reminiscent of the Root effect displayed by some teleost Hbs, such as trout HbIV (116). O₂ binding to arthropod Hmcs, such as *P. interruptus* Hmc, is characterized by a $\Delta H_T < \Delta H_R$, underlying that cooperativity in O₂ binding to this Hmc is thermodynamically governed by favorable entropy changes (144). Furthermore, like for Hb, the kinetic control of cooperative O₂ binding to Hmcs appears largely determined by the value of the dissociation rate constant while the O₂ combination process is closely similar for the two quaternary states (141, 144–146).

The most striking functional difference between Hbs and Hmcs involves the binding of CO, which in the case of Hmcs reacts with a 1:1 stoichiometry (147), since, unlike O₂, CO binds only a single cuprous atom Cu(I). Thus, while in Hb or Ery the reaction with CO is associated to homotropic and heterotropic interaction phenomena which are very similar to those observed for the binding of O₂ (134, 148, 149), CO binding to Hmcs is non-cooperative and the quaternary-linked Bohr effect is absent. Hence, the cooperative effects in Hmcs are limited to the binding of O₂ and they are strictly related to the bridging properties of this ligand which operates as a ‘clamp’ between the two copper atoms (Fig. 5). CO, which coordinates with only one of the two metals in a site, has no possibility to trigger the quaternary structural change which is demanded for the allosteric behavior (150).

For both molluscan and arthropodal Hmcs, CO binding is largely enthalpy driven, and is associated with a small unfavorable entropy change. Molluscan Hmcs display a CO affinity higher than that of arthropodal Hmcs and the observed differences in equilibrium constant are kinetically reflected in variations for the CO dissociation rate constant (150).

A functional heterogeneity in O₂ or CO binding of sites of dissociated molluscan Hmc polypeptide chains was observed in *Helix pomatia* and *Octopus vulgaris* (151). From comparison of the O₂/CO affinity ratios (K_{O_2}/K_{CO}) of each class it may be suggested that the difference in O₂ affinity of two kinds of binding sites is related to a different local structure of the active sites. Binding and displacement of the two gaseous ligands to Hmc occur by a simple competitive mechanism, although the binding site is structurally complex and the two ligands are bound with different geometries (135).

O₂-binding experiments indicate that the allosteric role of Ca²⁺ ions on Hmc functional properties can be played also by lanthanides. Since energy-transfer between lanthanides is only effective over very short distances, the data suggested that some of the cation binding sites of Hmc are clustered (152).

Furthermore, the ligand-induced quaternary changes in giant heme-proteins are strictly connected to their complexity. An example of the relationships between structural complexity and allosteric transition is given by chlorocruorin from

polychaete worms (153–155). The hierarchical organization of these proteins requires a minimum functional unit of twelve heme sites, coupled by cooperative interactions (105, 136). Due to their complexity, quaternary ligand-induced structural changes are quite slow, thus populating long-lived kinetic intermediates (156), which may be representative of a transient combination of liganded and unliganded tetramers with the minimum functional dodecameric unit. The overall rate for the quaternary transitions in these larger respiratory proteins was estimated to occur in the second time scale (156) (much slower than in smaller heme-proteins, where it occurs in microseconds; see 53), and it is consistent with their higher complexity. This feature suggests that, although the MWC model can be applied to the description of functional properties of these proteins, more complex phenomena are involved as well in the regulation of their activity.

The very high complexity of these giant O₂ carriers has been further outlined by an intriguing finding that came out from a structural and functional study of *Palinurus elephas* Hmc (157). Thus, Hmc from the Mediterranean lobster *P. elephas* has been shown to change in subunit composition in relation to the sex of the animal and the period of the year. The sexual difference, referring to the presence of a specific subunit in the Hmc from female animals, is not linked to any evident functional property of the pigment. On the contrary, the season dependent variations in the subunit composition, which is represented by the appearance of several cathodic bands on electropherograms from both male and female animals, produce obvious functional effects; in particular, an increase of the cooperativity of O₂ binding has been consistently found during summer. It is worthwhile to note that the concentration of the pigment is also season-dependent being higher during the winter.

It has been speculated that the additional subunit found in *P. elephas* Hmc could have been related to functions other than respiratory and possibly to other carrying properties (e.g., hormone binding). Although the physiological relevance of the yearly cycle of lobster Hmc is not clear, it seems reasonable to correlate these structural and functional variations with the physiological process of molt, which is known to be accompanied by a large decrease of the Hmc concentration in the hemolymph (157).

The main conclusion that is worth outlining is the possible existence, among these giant respiratory proteins, of a sophisticated mechanism(s) of functional modulation which may be achieved not only through the interaction with small molecule and ions but also throughout changes in the ratio of the various subunits (158).

KINETICS OF GAS REACTIONS IN ERYTHROCYTES: SINGLE CELL REACTIVITY

This pioneeristic research has been carried out with the aid of a computer-assisted instrument based on the original

‘moving condenser’ scanning method (159). This instrument, combining high resolution microscopy with spectrophotometry, allowed to study intracellular phenomena in living cells and to follow time dependent changes of intracellular components (160).

A problem which arises in the case of blood containing multiple Hbs is concerned with the distribution of the various components among red blood cells. In fact, either all the components are represented in each single erythrocyte in the same proportion as in the hemolysate, or else the different Hbs are preferentially distributed and, in the limiting case, each erythrocyte contains only one of the components.

In the case of the blood from trout, which contains four distinct Hb components characterized by different functional and physico-chemical properties, the microspectrophotometric approach has clearly demonstrated that the main Hb component, i.e., trout HbIV, is contained in each red blood cell in a proportion very similar to that of the hemolysate. Thus, taking advantage of the fact that trout HbIV at pH < 7 is only partially saturated in air (116), a number of absorption spectra taken on several erythrocytes from different preparations has clearly indicated that, in air at pH ~6, the fractional saturation with O₂ of the different individual erythrocytes corresponds, within experimental error, to that of whole blood. This finding implies unequivocally that each red blood cell contains trout HbIV in a proportion very similar to that of the hemolysate (161).

Microspectrophotometric analysis allowed the investigation of the inward-outward gas diffusion in human red blood cells (e.g., CO and O₂), taking advantage of the interaction of intracellular Hb with these ligands and exploiting the photosensitivity of the HbCO complex (Fig. 6). Main results may be summarized as follows. (i) No significant differences in the kinetic properties of several individual cells, and within any single cell among its different spatial regions, were observed. (ii) The approach to the steady-state in the light cannot be accounted for by a single exponential process and its half-time is independent of CO concentration within a factor of two. (iii) The relaxation from the steady-state in the light to the equilibrium in the dark corresponds, under all the conditions explored, to a zero-order process (160, 162–164) (Fig. 6).

This body of results showed that combination of CO with Hb in immobile erythrocytes is about 30-fold slower than that measured with Hb in solution and an order of magnitude smaller than that obtained by stopped flow experiments with red cells (165). It suggests that this process is rate-limited by a diffusive process, as clearly indicated by the zero-order time course of the observed absorbance change (Fig. 6). This finding has been related to the presence around the erythrocyte of an unstirred layer of fluid, whose thickness has been calculated, on the basis of simple diffusion laws, to be between 6 and 10 μm (162). Further, a crucial role was demonstrated to be played also by the intracellular Hb solution, since swelling

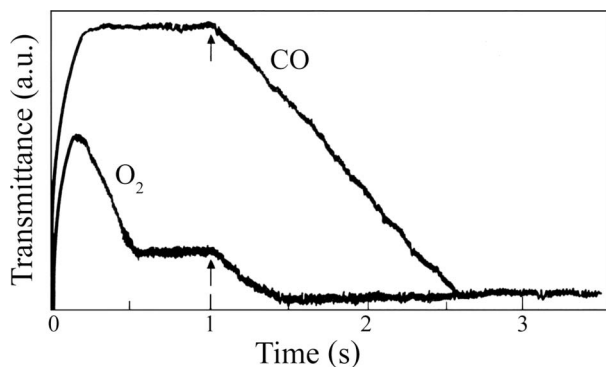
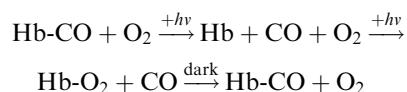


Figure 6. Time course of transmittance changes at 434 nm following irradiation (546 nm) of CO equilibrated human red blood cells. The top trace has been obtained in the absence of O_2 , the bottom trace was in the presence of 0.08 mM O_2 ; CO was present in both experiments at a concentration of 0.1 mM. Upward deflection (at the left) indicates accumulation of deoxygenated Hb, which in the presence of O_2 (bottom trace) disappears to yield HbO_2 according to the following scheme:



The photodissociation beam is kept 'on' from time zero to 1 s and then switched 'off' (arrow). Both traces were recorded at pH 7.4; $T = 27^\circ\text{C}$. Modified from (164).

of the erythrocytes under hypo-osmotic conditions brought about a marked increase of the rate of CO uptake (163). This clearly demonstrated that ligand diffusion across the intracellular Hb solution was an important rate-limiting step.

In addition, O_2 uptake and release from individual erythrocytes has been measured, exploiting the much higher affinity of human Hb for CO and the low quantum yield for the photodissociation of HbO_2 . Thus, experiments carried out in the presence of both O_2 and CO at different ratios allowed us to observe the O_2 uptake kinetics (Fig. 6). Under these conditions the erythrocytes in the dark are fully saturated with CO, but in the presence of a stationary light flux, photodissociation of bound CO occurs and combination with O_2 will follow (Fig. 6). Irradiation of the erythrocyte with a steady flux of light is then followed by distinct phases. (i) The formation of deoxygenated Hb is observed as a transient which is produced with a half time of about 50 ms. (ii) The combination of O_2 occurs with the photoproduct, i.e., deoxygenated Hb, attaining a steady state, corresponding to fully oxygenated Hb. (iii) When the light is turned off, the relaxation in the dark represents the replacement of O_2 by CO and the return to the original absorbance level (162, 164).

The analysis of these data allows to conclude that: (i) the time course of O_2 binding, which is sucked into the red blood

cell from the surrounding, follows zero-order kinetics indicating that the rate of disappearance of deoxygenated Hb from the volume element under observation is rate-limited by a diffusional process, as for CO uptake; and (ii) the half-time for O_2 binding (about 60 ms) is 600-fold slower than that expected from solution measurements (half time = 0.1 ms) and also much slower than that observed with a population of erythrocytes in rapid mixing experiments (165). Moreover, the half-time of O_2 combination is significantly faster by 10 times than that observed in the case of CO (162, 164). Since the diffusion coefficient of the two gases is essentially the same, the faster combination with O_2 may be related to the process of facilitated O_2 diffusion (166) which is operative from the external boundary to the observation spot.

The photochemical approach described above resulted very useful to follow the dynamics of sickling in erythrocytes containing over 90% hemoglobin S (HbS) (167). Hence, CO dissociation induced by light triggers the sickling process which is detected by cell deformation. Experiments have indicated that the rate of the shape change following removal of the ligand is about 4 s. This rate strongly differs from that corresponding to the intracellular polymerization of HbS, which is much faster (168), but it is very important information, since it reflects the time required for the transmission of the intracellular molecular events to the shape alteration of the erythrocytes. In addition, it was found that red blood cells in which sickling was induced several times were always deformed along the same axis (167, 169). This finding has been related either to an alteration of the red blood cell membrane or to the presence of residual unmelted polymers which may act as templates inducing a preferential direction of sickling.

CONCLUSION

As a whole, these studies on O_2 carriers paved the way to a deeper comprehension of cooperative phenomena in biology and they represent even today basic models for the functional behavior of macromolecules. From the physiological viewpoint, these studies envisage a sort of convergent evolution for a common function. O_2 carriers are responsible for maintaining an adequate delivery of O_2 to tissues and organs almost independently of the environmental O_2 partial pressure. These macromolecules, although characterized by very different structural features, appear to accomplish O_2 transport in a similar fashion, even though they are modulated by somewhat different effectors.

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