

# Inclusions and positive cones of von Neumann algebras

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## Abstract

We consider cones in a Hilbert space associated to two von Neumann algebras and determine when one algebra is included in the other. If a cone is associated to a von Neumann algebra, the Jordan structure is naturally recovered from it and we can characterize projections of the given von Neumann algebra with the structure in some special situations.

## 1 Introduction

The natural positive cone  $\mathcal{P}^\natural = \overline{\Delta^{\frac{1}{4}}\mathcal{M}_+\xi_0}$  plays a significant role in the theory of von Neumann algebras (see, for example, [1, 5]) where  $\mathcal{M}$  is a von Neumann algebra,  $\xi_0$  is a cyclic separating vector for  $\mathcal{M}$  and  $\Delta$  is the Tomita-Takesaki modular operator associated to  $\xi_0$ . Among them, the result of Connes [6] is of particular interest which characterized the natural positive cones with their geometric properties called selfpolarity, facial homogeneity and orientability, and showed that if two von Neumann algebras  $\mathcal{M}$  and  $\mathcal{N}$  share a same cone, then there is a central projection  $q$  of  $\mathcal{M}$  such that  $\mathcal{N} = q\mathcal{M} \oplus q^\perp\mathcal{M}'$ . Connes used the Lie algebra with an involution of the linear transformation group of  $\mathcal{P}^\natural$  in his paper.

In the present paper, instead of  $\mathcal{P}^\natural$ , we study  $\mathcal{P}^\sharp = \overline{\mathcal{M}_+\xi_0}$ , which holds more informations of  $\mathcal{M}$ , for example, the subalgebra structure.

In the second section, we study what occurs when  $\overline{\mathcal{N}_+\xi_0} \subset \mathcal{P}^\sharp$  where  $\mathcal{N}$  is another von Neumann algebra. We consider first the case when  $\xi_0$  is not cyclic for  $\mathcal{N}$  and then assume the cyclicity. It turns out that in the latter case  $\mathcal{N}$  is included in  $\mathcal{M}$  except the part where  $\xi_0$  is tracial.

In the third section, we characterize central projections of  $\mathcal{M}$  in terms of  $\mathcal{P}^\sharp$ . A projection  $p$  is in  $\mathcal{M} \cap \mathcal{M}'$  if and only if  $p$  and its orthogonal complement  $p^\perp$  preserve  $\mathcal{P}^\sharp$ .

In the fourth and fifth sections, the Jordan structure on  $\mathcal{P}^\sharp$  is studied. We can recover the lattice structure of projections and the operator norm from the order structure of  $\mathcal{P}^\sharp$ . Then we can define the square operation on  $\mathcal{P}^\sharp$ .

In the final section, using the Jordan structure, a characterization of projections in  $\mathcal{M}$  is obtained when the modular automorphism with respect to  $\xi_0$  acts ergodically.

The result of the second section has an easy application to the theory of half-sided modular inclusions [12, 2]. Let  $\{U(t)\}$  be a one-parameter group of unitary operators with a generator  $H$  which kills  $\xi_0$ . Assume that  $\mathcal{M}$  is a factor of type  $\text{III}_1$  (or more generally a properly infinite algebra). It is easy to see that  $U(t)\mathcal{M}U(t)^* \subset \mathcal{M}$  for  $t \geq 0$  if and only

if  $U(t)$  preserves  $\mathcal{P}^\sharp$  for  $t \geq 0$ . A similar result for  $\mathcal{P}^\sharp$  and  $\{e^{-tH}\}$  has been obtained by Borchers with additional conditions on  $H$  [4].

Davidson has obtained conditions for  $\{U(t)\}$  to generate a one-parameter semigroup of endomorphisms [7]. The relations with the modular group have been shown to be important in his study.

## 2 Inclusions of positive cones

Let  $\mathcal{M}$  be a von Neumann algebra acting on a Hilbert space  $\mathcal{H}$  and  $\xi_0$  be a cyclic separating vector for  $\mathcal{M}$ . We denote the modular group by  $\Delta^{it}$ , the modular conjugation by  $J$ , modular automorphism by  $\sigma_t$  and the canonical involution by  $S = J\Delta^{\frac{1}{2}}$ . The positive cone associated to  $\xi_0$  is denoted by  $\mathcal{P}^\sharp = \overline{\mathcal{M}_+\xi_0}$ .

Suppose there is another von Neumann algebra  $\mathcal{N}$  such that  $\overline{\mathcal{N}_+\xi_0} \subset \mathcal{P}^\sharp$ . We can define a positive contractive map  $\alpha$  from  $\mathcal{N}$  into  $\mathcal{M}$  as follows.

**Lemma 2.1.** *For  $a \in \mathcal{N}_+$  there is the unique positive element  $\alpha(a) \in \mathcal{M}$  satisfying  $a\xi_0 = \alpha(a)\xi_0$ . In addition,  $\alpha$  is contractive on  $\mathcal{M}_+$ .*

*Proof.* By the assumption, we have  $a\xi_0 \in \mathcal{P}^\sharp$ . Recall that for a vector  $a\xi_0$  in  $\mathcal{P}^\sharp$  there is a positive linear operator  $\alpha(a)$  affiliated to  $\mathcal{M}$  such that  $a\xi_0 = \alpha(a)\xi_0$  [11].

Since  $\|a\|I - a$  is positive, we have  $(\|a\|I - a)\xi_0 \in \mathcal{P}^\sharp$ . This implies, for every  $y \in \mathcal{M}'$ ,

$$\begin{aligned} \langle \alpha(a)y\xi_0, y\xi_0 \rangle &= \langle \alpha(a)\xi_0, y^*y\xi_0 \rangle \\ &= \langle a\xi_0, y^*y\xi_0 \rangle \\ &\leq \|a\| \langle \xi_0, y^*y\xi_0 \rangle = \|a\| \|y\xi_0\|^2. \end{aligned}$$

Hence  $\alpha(a)$  is bounded and in  $\mathcal{M}$ . □

We can easily see that  $\alpha$  extends to  $\mathcal{N}$  by linearity. Since  $\alpha$  is contractive on  $\mathcal{N}_+$ ,  $\alpha$  is bounded on  $\mathcal{N}_{sa}$ .

**Lemma 2.2.** *The map  $\alpha$  maps every projection to a projection.*

*Proof.* Take a projection  $e \in \mathcal{N}$ . Note that, since  $\alpha$  maps  $\mathcal{N}_+$  into  $\mathcal{M}_+$  and is contractive, we have  $\alpha(e) \geq \alpha(e)^2$ .

Recall that, by the definition of  $\alpha$ , we have  $\alpha(e)\xi_0 = e\xi_0$ . We calculate as follows.

$$\begin{aligned} \langle \alpha(e)^2\xi_0, \xi_0 \rangle &= \langle \alpha(e)\xi_0, \alpha(e)\xi_0 \rangle \\ &= \langle e\xi_0, e\xi_0 \rangle \\ &= \langle e\xi_0, \xi_0 \rangle \\ &= \langle \alpha(e)\xi_0, \xi_0 \rangle. \end{aligned}$$

This implies that  $\langle (\alpha(e) - \alpha(e)^2)\xi_0, \xi_0 \rangle = 0$ . As we noted above,  $\alpha(e) - \alpha(e)^2$  must be positive, hence the vector  $(\alpha(e) - \alpha(e)^2)^{\frac{1}{2}}\xi_0$  must vanish. By the separating property of  $\xi_0$ , we see  $\alpha(e) = \alpha(e)^2$ . □

Recall that a linear mapping  $\phi$  which preserves every anticommutator is called a Jordan homomorphism:

$$\phi(xy + yx) = \phi(x)\phi(y) + \phi(y)\phi(x).$$

Now we show the following lemma. The proof of it is essentially taken from [9].

**Lemma 2.3.** *The map  $\alpha$  is a Jordan homomorphism.*

*Proof.* Let  $e$  and  $f$  be mutually orthogonal projections in  $\mathcal{N}$ . Then  $e + f$ ,  $\alpha(e)$ ,  $\alpha(f)$  and  $\alpha(e) + \alpha(f)$  are projections. We see the range of  $\alpha(e)$  and the range of  $\alpha(f)$  are mutually orthogonal because if not, then the sum  $\alpha(e) + \alpha(f)$  could not be a projection. This implies that

$$\alpha(e)\alpha(f) = \alpha(f)\alpha(e) = 0.$$

In particular,  $\alpha$  maps the positive (resp. negative) part of a self-adjoint element  $x$  to the positive (reps. negative) part of  $\alpha(x)$ . From this we see that  $\alpha$  is contractive on  $\mathcal{N}_{sa}$ .

Next suppose we have commuting projections  $e, f \in \mathcal{N}$ . Remark that, since  $ef \leq e$ , positivity of  $\alpha$  assures  $\alpha(ef) \leq \alpha(e)$ . Recalling that in this case  $ef$  and  $e$  are projections, we see the range of  $\alpha(ef)$  is included in the range of  $\alpha(e)$ . Thus we have  $\alpha(ef)\alpha(e) = \alpha(ef)$ .

Now noting  $e - ef$  and  $f$  are mutually orthogonal projections, we have

$$0 = \alpha(e - ef)\alpha(e) = \alpha(e)\alpha(f) - \alpha(ef).$$

Hence  $\alpha$  preserves products of commuting projections.

Since every self-adjoint element in a von Neumann algebra is a uniform limit of linear combinations of mutually orthogonal projections, and since  $\alpha$  is continuous in norm on  $\mathcal{N}_{sa}$ ,  $\alpha$  preserves products of commuting self-adjoint elements. In particular,  $\alpha$  preserves the square of self-adjoint elements.

This implies that, firstly,  $\alpha$  preserves Jordan products of self-adjoint elements  $ab + ba = (a + b)^2 - a^2 - b^2$ . This shows

$$\begin{aligned} \alpha(ab + ba) &= \alpha((a + b)^2) - \alpha(a^2) - \alpha(b^2) \\ &= \alpha(a + b)^2 - \alpha(a)^2 - \alpha(b)^2 \\ &= \alpha(a)\alpha(b) + \alpha(b)\alpha(a). \end{aligned}$$

Secondly,  $\alpha$  preserves squares of arbitrary elements  $(a + ib)^2 = a^2 + i(ab + ba) - b^2$ :

$$\begin{aligned} \alpha((a + ib)^2) &= \alpha(a^2 + i(ab + ba) - b^2) \\ &= \alpha(a^2) + i\alpha(ab + ba) - \alpha(b^2) \\ &= \alpha(a)^2 + i(\alpha(a)\alpha(b) + \alpha(b)\alpha(a)) - \alpha(b)^2 \\ &= (\alpha(a) + i\alpha(b))^2. \end{aligned}$$

Finally,  $\alpha$  preserves Jordan products of arbitrary elements  $xy + yx = (x + y)^2 - x^2 - y^2$ :

$$\begin{aligned} \alpha(xy + yx) &= \alpha((x + y)^2) - \alpha(x^2) - \alpha(y^2) \\ &= \alpha(x + y)^2 - \alpha(x)^2 - \alpha(y)^2 \\ &= \alpha(x)\alpha(y) + \alpha(y)\alpha(x). \end{aligned}$$

This completes the proof. □

Here we need the following result on Jordan homomorphisms of Jacobson and Rickart [8].

**Proposition 2.4.** *Suppose  $\phi$  is a unital Jordan homomorphism from an algebra  $\mathcal{A}$  into  $\mathcal{B}$ . Suppose further that  $\mathcal{A}$  has a system of matrix units. Then there is a central idempotent  $g$  of the algebra generated by  $\phi(\mathcal{A})$  such that  $\phi(\cdot)g$  is homomorphic and  $\phi(\cdot)(I - g)$  is antihomomorphic.*

Note that every von Neumann algebra  $\mathcal{N}$  decomposes into the commutative part, the  $I_n$  parts, the  $II_1$  part, and the properly infinite part. On the first one  $\alpha$  causes no problem and on the remaining parts we can apply Proposition 2.4 to the case in which  $\phi = \alpha$ ,  $\mathcal{A} = \mathcal{N}$ ,  $\mathcal{B} = \mathcal{M}$ . Examining the proof, we see if  $\phi$  is self-adjoint, then  $g$  is a central projection of  $\alpha(\mathcal{N})''$  (the argument here is due to Kadison [9]).

Next, we show the normality of  $\alpha$ .

**Lemma 2.5.** *The map  $\alpha$  is a normal linear mapping from  $\mathcal{N}$  into  $\mathcal{M}$ .*

*Proof.* We only have to show that for any normal functional  $\varphi$  on  $\mathcal{M}$  the functional  $\varphi \circ \alpha$  on  $\mathcal{N}$  is normal. Note that, since  $\mathcal{M}$  has a separating vector  $\xi_0$ , we may assume  $\varphi(\cdot) = \langle \cdot, \eta_1, \eta_2 \rangle$  for some  $\eta_1, \eta_2 \in \mathcal{H}$ .

Recall that a linear functional on a von Neumann algebra is normal if and only if it is continuous on every bounded set in the weak operator topology.

Now suppose that we have a convergent bounded net in the weak operator topology  $x_i \rightarrow x$  in  $\mathcal{N}$ . Obviously  $\{x_i \xi_0\}$  converges to  $x \xi_0$  weakly. By the definition of  $\alpha$ , we see  $\{\alpha(x_i) \xi_0\}$  converges to  $\alpha(x) \xi_0$  weakly. We have, for any  $y_1, y_2 \in \mathcal{M}'$ ,

$$\begin{aligned} \langle \alpha(x_i) y_1 \xi_0, y_2 \xi_0 \rangle &= \langle y_1 \alpha(x_i) \xi_0, y_2 \xi_0 \rangle \\ &= \langle \alpha(x_i) \xi_0, y_1^* y_2 \xi_0 \rangle \\ &\rightarrow \langle \alpha(x) \xi_0, y_1^* y_2 \xi_0 \rangle \\ &= \langle \alpha(x) y_1 \xi_0, y_2 \xi_0 \rangle. \end{aligned}$$

First we assume  $\{x_i\}$  is a net of self-adjoint elements. Then for arbitrary  $\eta_1, \eta_2 \in \mathcal{H}$  the convergence  $\langle \alpha(x_i) \eta_1, \eta_2 \rangle \rightarrow \langle \alpha(x) \eta_1, \eta_2 \rangle$  holds since  $\{x_i\}$  is a bounded net,  $\alpha$  is contractive on  $\mathcal{N}_{sa}$ , and  $\xi_0$  is cyclic for  $\mathcal{M}'$ .

Then we can obtain the convergence for arbitrary bounded convergent net in WOT  $\{x_i\}$  since we have the decomposition

$$x_i = \frac{x_i + x_i^*}{2} + i \frac{x_i - x_i^*}{2i}$$

and each part of the net is self-adjoint or antiself-adjoint, bounded and WOT-converging.  $\square$

We combine this lemma and the proposition of Jacobson and Rickart to get the following.

**Lemma 2.6.** *There is a normal homomorphism  $\beta$  and normal antihomomorphism  $\gamma$  of  $\mathcal{N}$  into  $\mathcal{M}$  such that  $\alpha(x) = \beta(x) + \gamma(x)$  and the range of  $\beta$  and  $\gamma$  are mutually orthogonal.*

*In addition, there are central projections  $e, f \in \mathcal{N}$  and a central projection  $g \in \alpha(\mathcal{N})''$  such that  $\alpha(e \cdot)g = \beta(\cdot)$  is an isomorphism of  $\mathcal{N}e$  and  $\alpha(f \cdot)g^\perp = \gamma(\cdot)$  is an antiisomorphism of  $\mathcal{N}f$ .*

*Proof.* We know from Proposition 2.4 that there is a central projection  $g \in \alpha(\mathcal{N})''$  such that  $\beta(\cdot) = \alpha(\cdot)g$  is a homomorphism of  $\mathcal{N}$  and  $\gamma(\cdot) = \alpha(\cdot)g^\perp$  is an antihomomorphism of  $\mathcal{N}f$ . Then just take  $e$  as the support of  $\beta$  and  $f$  as the support of  $\gamma$ . Since  $\alpha$  is normal, so are  $\beta$  and  $\gamma$  and the definitions of  $e$  and  $f$  are legitimate.  $\square$

**Lemma 2.7.** *The von Neumann algebra  $\mathcal{N}f$  is finite.*

*Proof.* Let  $\mathcal{N}h$  be the properly infinite part of  $\mathcal{N}f$ . We have  $g^\perp\alpha(xy) = g^\perp\alpha(y)\alpha(x) = \alpha(y)g^\perp\alpha(x)$  for  $x, y \in \mathcal{N}h$ .

Again take  $x, y \in \mathcal{N}h$ . By the definition of  $\alpha$ , we have

$$\begin{aligned} g^\perp xy\xi_0 &= g^\perp\alpha(xy)\xi_0 \\ &= \alpha(y)g^\perp\alpha(x)\xi_0 \\ \langle g^\perp xy\xi_0, \xi_0 \rangle &= \langle \alpha(y)g^\perp\alpha(x)\xi_0, \xi_0 \rangle \\ &= \langle g^\perp\alpha(x)\xi_0, \alpha(y^*)\xi_0 \rangle \\ &= \langle g^\perp x\xi_0, y^*\xi_0 \rangle \\ &= \langle yg^\perp x\xi_0, \xi_0 \rangle. \end{aligned}$$

Since  $\mathcal{N}h$  is properly infinite, there is a sequence of isometries  $\{v_n\} \subset \mathcal{N}h$  such that  $v_n v_n^* \rightarrow 0$  in SOT-topology (That they are isometries means  $v_n^* v_n = h$ ). Now

$$\begin{aligned} \langle \gamma(h)\xi_0, \xi_0 \rangle &= \langle g^\perp h\xi_0, \xi_0 \rangle \\ &= \langle g^\perp v_n^* v_n \xi_0, \xi_0 \rangle \\ &= \langle v_n g^\perp v_n^* \xi_0, \xi_0 \rangle \\ &\leq \langle v_n v_n^* \xi_0, \xi_0 \rangle \rightarrow 0. \end{aligned}$$

But since  $\gamma(h)$  is a projection in  $\alpha(\mathcal{N})'' \subset \mathcal{M}$  and since  $\xi_0$  is separating for  $\mathcal{M}$ ,  $\gamma(h)$  must be zero. Recalling that  $h$  is a subprojection of  $f$  and that  $f$  is the support of  $\gamma$ , we see that  $h = 0$ .  $\square$

**Theorem 2.8.** *Let  $\mathcal{M}$  and  $\mathcal{N}$  be von Neumann algebras and  $\xi_0$  is a cyclic separating vector for  $\mathcal{M}$ . Suppose  $\overline{\mathcal{N}_+ \xi_0} \subset \mathcal{P}^\sharp$ .*

*Then we have two disjoint possibilities:*

1. *The von Neumann algebra  $\mathcal{M}$  has a subalgebra  $\mathcal{M}_1$  such that  $\overline{\mathcal{M}_1 + \xi_0} = \overline{\mathcal{N}_+ \xi_0}$ .*
2. *For any subalgebra  $\mathcal{M}_2$  of  $\mathcal{M}$ , its ‘‘sharpened cone’’  $\overline{\mathcal{M}_2 + \xi_0}$  cannot coincide with  $\overline{\mathcal{N}_+ \xi_0}$  and  $\mathcal{N}$  has a finite ideal  $\mathcal{N}_1$  such that there is a subalgebra of  $\mathcal{M}$  which is isomorphic to the direct sum of  $\mathcal{N}_1$  and  $\mathcal{N}_1^{\text{opp}}$ .*

*Proof.* Suppose that  $e$  and  $f$  defined above are mutually orthogonal. Then let us define  $\mathcal{M}_1 = \alpha(\mathcal{N})$ . Since we have  $ef = 0$ , it decomposes as follows.

$$\begin{aligned} \alpha(\mathcal{N}) &= \alpha\left(\mathcal{N}[e + e^\perp][f + f^\perp]\right) \\ &= \alpha\left(\mathcal{N}[ef^\perp + fe^\perp + e^\perp f^\perp]\right) \\ &= \beta\left(\mathcal{N}ef^\perp\right) + \gamma\left(\mathcal{N}fe^\perp\right), \end{aligned}$$

by noting that  $\mathcal{N}e^\perp f^\perp$  is the kernel of  $\alpha$ .

Since the range of  $\beta$  and  $\gamma$  are mutually orthogonal, and since  $e$  and  $f$  are central projections,  $\alpha(\mathcal{N})$  is a direct sum of  $\beta(\mathcal{N}ef^\perp)$  and  $\gamma(\mathcal{N}fe^\perp)$ .

Let  $a$  be a positive element of  $\mathcal{N}$ . Then we have

$$\begin{aligned} a\xi_0 &= \alpha(a)\xi_0 \\ &= \beta(ae)\xi_0 + \gamma(af)\xi_0 \\ &= \beta(aef^\perp)\xi_0 + \gamma(afe^\perp)\xi_0. \end{aligned}$$

Conversely it is easy to see that for  $b \in \alpha(\mathcal{N})_+$  there is  $a \in \mathcal{N}_+$  such that  $\alpha(a) = b$ , hence we have  $a\xi_0 = b\xi_0$ . This completes the proof of the claimed equality  $\overline{\mathcal{M}_{1+\xi_0}} = \overline{\mathcal{N} + \xi_0}$ .

Next, we assume that  $ef \neq 0$ . Note that  $\mathcal{N}ef$  is noncommutative since by the definition of  $\beta$  and  $\gamma$  the commutative part of  $\mathcal{N}$  is left to  $\beta$ . In particular  $g$  is a nontrivial central projection in  $\alpha(\mathcal{N}ef)''$ . By Lemma 2.7,  $\mathcal{N}ef$  is finite. One can easily see that  $\alpha(\mathcal{N}ef)''$  is a subalgebra of  $\mathcal{M}$  which decomposes into the direct sum of  $\beta(\mathcal{N}ef)$  and  $\gamma(\mathcal{N}ef)$  where the latter is isomorphic to  $(\mathcal{N}ef)^{\text{opp}}$ .

What remains to prove is that for any subalgebra  $\mathcal{M}_2$  of  $\mathcal{M}$  we cannot have the equality  $\overline{(\mathcal{N}ef)_+\xi_0} = \overline{\mathcal{M}_{2+\xi_0}}$ . To see this impossibility, recall that

$$\overline{\mathcal{M}_{+\xi_0}} = \{A\xi_0 \mid A \text{ is a closed positive operator affiliated to } \mathcal{M}\},$$

since  $\xi_0$  is a separating vector for  $\mathcal{M}$  [11]. Similarly we have

$$\overline{\mathcal{M}_{2+\xi_0}} = \{A\xi_0 \mid A \text{ is a closed positive operator affiliated to } \mathcal{M}_2\}.$$

Now suppose  $a\xi_0 \in \overline{\mathcal{M}_{2+\xi_0}}$  for a positive element  $a$  of  $\mathcal{N}ef$ . By the above remark, we have a positive operator  $A$  affiliated to  $\mathcal{M}_2$  such that  $a\xi_0 = \alpha(a)\xi_0 = A\xi_0$ . Then for  $y \in \mathcal{M}'$  we have

$$\alpha(a)y\xi_0 = y\alpha(a)\xi_0 = yA\xi_0 = Ay\xi_0,$$

hence  $A$  is bounded and  $\alpha(a) = A$ . This implies  $\alpha(a) \in \mathcal{M}_2$  and  $\alpha(\mathcal{N}ef) \subset \mathcal{M}_2$ . But by Proposition 2.4  $\alpha(\mathcal{N}ef)$  generates  $\beta(\mathcal{N}ef) \oplus \gamma(\mathcal{N}ef)$ . We have  $\beta(\mathcal{N}ef) \oplus \gamma(\mathcal{N}ef) \subset \mathcal{M}_2$ .

We will show that this leads to a contradiction. By the observation above we see that  $\overline{\mathcal{M}_{2+\xi_0}}$  contains vectors of the form  $ga\xi_0, g^\perp b\xi_0$  where  $a, b \in (\mathcal{N}ef)_+$ .

Suppose the contrary that  $ga\xi_0 \in \overline{(\mathcal{N}ef)_+\xi_0}$ . By the argument similar to the above one, there is a self-adjoint positive operator  $A$  affiliated to  $\mathcal{N}ef$  such that  $A\xi_0 = ga\xi_0$ . Then  $g^\perp A\xi_0 = 0$ . Noting that  $f$  is the support of  $\gamma$  and that  $\xi_0$  is separating for  $\mathcal{M}$ , we see  $g^\perp e_A \xi_0 = \gamma(e_A)\xi_0$  cannot vanish for any nontrivial projection  $e_A$  of  $\mathcal{N}ef$ .

There are a spectral projection  $e_A$  of  $A$ , a positive scalar  $\epsilon$  and  $y \in \mathcal{M}'$  such that  $A \geq \epsilon e_A$  and  $\langle \gamma(e_A)y\xi_0, y\xi_0 \rangle > 0$ . Remark that

$$\begin{aligned} g^\perp(A - \epsilon e_A)\xi_0 &\in \overline{g^\perp(\mathcal{N}ef)_+\xi_0} \\ &\subset \overline{g^\perp(\mathcal{N}ef)_+\xi_0} \\ &= \overline{\gamma(\mathcal{N}ef)_+\xi_0}. \end{aligned}$$

Then we have

$$\begin{aligned}
0 &= \langle yg^\perp A\xi_0, y\xi_0 \rangle \\
&= \langle g^\perp A\xi_0, y^*y\xi_0 \rangle \\
&= \langle g^\perp(A - \epsilon e_A)\xi_0, y^*y\xi_0 \rangle + \langle g^\perp \epsilon e_A \xi_0, y^*y\xi_0 \rangle \\
&\geq \langle g^\perp \epsilon e_A \xi_0, y^*y\xi_0 \rangle \\
&= \langle y\gamma(\epsilon e_A)\xi_0, y\xi_0 \rangle \\
&= \epsilon \langle \gamma(e_A)y\xi_0, y\xi_0 \rangle \\
&> 0.
\end{aligned}$$

This contradiction completes the proof of that  $\overline{(\mathcal{N}ef)_{+\xi_0}} \neq \overline{\mathcal{M}_{2+\xi_0}}$ .  $\square$

If we further assume the cyclicity of  $\xi_0$  for  $\mathcal{N}$ , we have a stronger result. For the proof of it, we need the following lemma. This can be found, for example in [3], but here we present another simple proof.

**Lemma 2.9.** *If  $\mathcal{A} \subset \mathcal{B}$  is a proper inclusion of von Neumann algebras on a Hilbert space  $\mathcal{K}$  and if  $\zeta$  is a common cyclic separating vector, then  $\mathcal{B}$  cannot be finite.*

*Proof.* Suppose the contrary, that  $\mathcal{B}$  is finite. Then  $\mathcal{A}$  must be finite, too. Hence there is a faithful trace  $\tau$  on  $\mathcal{B}$ . Since  $\zeta$  is separating for  $\mathcal{B}$ , there is a vector  $\eta$  such that  $\tau(x) = \langle x\eta, \eta \rangle$  by the Radon-Nikodym type theorem. Since  $\tau$  is faithful,  $\eta$  must be separating for  $\mathcal{B}$ .

We can see that  $\eta$  is cyclic for  $\mathcal{B}$  as follows. Denote the orthogonal projection onto  $\overline{\mathcal{B}\eta}$  by  $p$ . By separation verified above, we have  $\overline{\mathcal{B}'\eta} = \mathcal{K}$ . On the other hand, by assumption,  $\overline{\mathcal{B}\zeta} = \overline{\mathcal{B}'\zeta} = \mathcal{K}$ . By the general theory of equivalence of projections,  $p \sim I$  in  $\mathcal{B}$ . But recalling that  $\mathcal{B}$  is finite, we see that  $p = I$ , i.e.,  $\eta$  is cyclic.

By the same reasoning,  $\eta$  is cyclic separating tracial for  $\mathcal{A}$ . Then the modular conjugations  $J_{\mathcal{A}}$  and  $J_{\mathcal{B}}$  with respect to  $\eta$  must coincide and we have the required equation.

$$\mathcal{A}' \supset \mathcal{B}' = J_{\mathcal{B}}\mathcal{B}J_{\mathcal{B}} = J_{\mathcal{A}}\mathcal{B}J_{\mathcal{A}} \supset J_{\mathcal{A}}\mathcal{A}J_{\mathcal{A}} = \mathcal{A}'.$$

This contradicts the assumption that the inclusion  $\mathcal{A} \subset \mathcal{B}$  is proper.  $\square$

**Theorem 2.10.** *Let  $\mathcal{M}$  and  $\mathcal{N}$  be von Neumann algebras and  $\xi_0$  be a vector cyclic separating for  $\mathcal{M}$  and cyclic for  $\mathcal{N}$ . Suppose  $\overline{\mathcal{N}_{+\xi_0}} \subset \mathcal{P}^\sharp$ .*

*Then we have the following.*

1. *The vector  $\xi_0$  is also separating for  $\mathcal{N}$ .*
2. *There is a central projection  $e$  in  $\mathcal{N}$  such that  $\mathcal{N}e \subset \mathcal{M}$ .*
3. *The vector  $e^\perp \xi_0$  is tracial for  $\mathcal{N}e^\perp$ .*
4.  *$J_{e^\perp} \mathcal{N}e^\perp J_{e^\perp} \subset \mathcal{M}$ .*

*In particular,  $\mathcal{N}$  and  $\mathcal{N}e \oplus J_{e^\perp} \mathcal{N}e^\perp J_{e^\perp}$  share the same positive cone  $\mathcal{P}_{\mathcal{N}}^\sharp$  where  $\mathcal{N}e \oplus J_{e^\perp} \mathcal{N}e^\perp J_{e^\perp} \subset \mathcal{M}$ .*

*Proof.* First we show that the induction by  $g$  realizes  $\beta(\cdot) = g\alpha(\cdot)$ . For arbitrary  $x, y \in \mathcal{N}$  we have

$$\begin{aligned} gxy\xi_0 &= g\alpha(xy)\xi_0 \\ &= g\alpha(x)\alpha(y)\xi_0 \\ &= g\alpha(x)y\xi_0 \\ &= \alpha(x)gy\xi_0. \end{aligned}$$

Taking it into consideration that  $\xi_0$  is cyclic for  $\mathcal{N}$ , we see that  $gx = g\alpha(x) = \alpha(x)g$ . But, since this holds for arbitrary  $x \in \mathcal{N}$ , in particular for self-adjoint elements. If  $x = x^*$ , then we have

$$gx = \alpha(x)g = (g\alpha(x))^* = (gx)^* = xg.$$

Since this equation is linear for  $x$ , we see that  $g \in \mathcal{N}'$  and  $gx = g\alpha(x)$ .

Now recall that we have decomposed  $\alpha$  into a normal homomorphism  $\beta$  and a normal antihomomorphism  $\gamma$ . We again denote the support of  $\beta$  by  $e$  and the support of  $\gamma$  by  $f$ .

Let  $\mathcal{N}h$  be the properly infinite part. By Lemma 2.7 the intersection of  $h$  and  $f$  is trivial. Thus we have

$$ghx\xi_0 = hg\alpha(hx)\xi_0 = h\alpha(hx)\xi_0 = hx\xi_0,$$

for  $x \in \mathcal{N}$ . Cyclicity of  $\xi_0$  tells us that  $gh = h$ . Then for  $hx \in \mathcal{N}h$  we get that

$$\alpha(hx) = ghx = hx.$$

In other words,  $\alpha$  maps identically on  $\mathcal{N}h$ . In particular,  $\alpha$  is decomposed by  $h$ , that is, we have

$$h\alpha(h^\perp) = \alpha(h)\alpha(h^\perp) = 0,$$

since  $\alpha$  maps orthogonal projections to orthogonal projections.

Note that  $h\xi_0$  is cyclic for  $\mathcal{N}h$  since  $\xi_0$  is cyclic for  $\mathcal{N}$ . The vector  $h\xi_0$  is also separating for  $\mathcal{N}h$  since

$$\mathcal{N}h = \alpha(\mathcal{N}h) \subset \mathcal{M}$$

and  $\xi_0$  is separating for  $\mathcal{M}$ .

For the proof of remaining part of the theorem, we may assume  $\mathcal{N}$  is finite.

Recall that  $g^\perp$  commutes with  $\mathcal{N}$ . Take  $x, y \in \mathcal{N}$  and let us calculate

$$\begin{aligned} \langle xyg^\perp\xi_0, g^\perp\xi_0 \rangle &= \langle g^\perp y\xi_0, g^\perp x^*\xi_0 \rangle \\ &= \langle g^\perp \alpha(y)\xi_0, g^\perp \alpha(x^*)\xi_0 \rangle \\ &= \langle g^\perp \alpha(x)\alpha(y)\xi_0, g^\perp \xi_0 \rangle \\ &= \langle g^\perp \alpha(yx)\xi_0, g^\perp \xi_0 \rangle \\ &= \langle g^\perp yx\xi_0, g^\perp \xi_0 \rangle \\ &= \langle yxg^\perp\xi_0, g^\perp \xi_0 \rangle \end{aligned}$$

This shows that  $g^\perp\xi_0$  is a tracial vector for  $\mathcal{N}g^\perp$ . By assumption,  $\xi_0$  is cyclic for  $\mathcal{N}$ , hence  $g^\perp\xi_0$  is cyclic for  $\mathcal{N}g^\perp$ . In addition, it is also separating as follows. If  $xg^\perp\xi_0 = 0$  for some



$x \in \mathcal{N}g^\perp$ , then for any  $y \in \mathcal{N}g^\perp$  we have

$$\begin{aligned}
\|xyg^\perp\xi_0\|^2 &= \langle y^*x^*xyg^\perp\xi_0, g^\perp\xi_0 \rangle \\
&= \langle xy y^*x^*g^\perp\xi_0, g^\perp\xi_0 \rangle \\
&\leq \|y\|^2 \langle xx^*g^\perp\xi_0, g^\perp\xi_0 \rangle \\
&= \|y\|^2 \langle x^*xg^\perp\xi_0, g^\perp\xi_0 \rangle \\
&= 0,
\end{aligned}$$

then the cyclicity implies the separation by  $g^\perp\xi_0$ .

Now  $\mathcal{N}g^\perp$  has the canonical conjugation  $J_{g^\perp}$  defined as (the closure of)

$$J_{g^\perp} : g^\perp\mathcal{H} \ni x\xi_0 \mapsto x^*\xi_0 \in g^\perp\mathcal{H}.$$

On  $\mathcal{N}g^\perp$  we have the canonical antihomomorphism

$$\mathcal{N}g^\perp \ni x \mapsto J_{g^\perp}x^*J_{g^\perp} \in \mathcal{N}g^\perp.$$

In our situation the composition of the induction by  $g^\perp$  and this antihomomorphism coincide with the composition of  $\alpha$  and the induction by  $g^\perp$ . In fact, for any elements  $x, y, z \in \mathcal{N}g^\perp$  we have

$$\begin{aligned}
\langle J_{g^\perp}(xg^\perp)^*g^\perp J_{g^\perp}yg^\perp\xi, zg^\perp\xi_0 \rangle &= \langle z^*g^\perp\xi_0, x^*y^*g^\perp\xi_0 \rangle \\
&= \langle yxz^*g^\perp\xi_0, g^\perp\xi_0 \rangle \\
&= \langle z^*yxg^\perp\xi_0, g^\perp\xi_0 \rangle \\
&= \langle g^\perp yx\xi_0, zg^\perp\xi_0 \rangle \\
&= \langle g^\perp\alpha(yx)\xi_0, zg^\perp\xi_0 \rangle \\
&= \langle g^\perp\alpha(x)\alpha(y)\xi_0, zg^\perp\xi_0 \rangle \\
&= \langle g^\perp\alpha(x)y\xi_0, zg^\perp\xi_0 \rangle \\
&= \langle g^\perp\alpha(x)y\xi_0, zg^\perp\xi_0 \rangle.
\end{aligned}$$

The cyclicity of  $g^\perp\xi_0$  shows that  $g^\perp\alpha(x) = J_{g^\perp}(xg^\perp)^*J_{g^\perp}$ .

Summing up, we get the following formula for  $\alpha$ :

$$\begin{aligned}
\alpha(x) &= g\alpha(x) + g^\perp\alpha(x) \\
&= gx + J_{g^\perp}g^\perp x^*J_{g^\perp}.
\end{aligned}$$

Note that  $g\xi_0$  is cyclic separating for  $\mathcal{N}g$ . In fact, the cyclicity comes from the assumption of  $\xi_0$ 's cyclicity and separating property can be seen by observing

$$\mathcal{N}g = g\alpha(\mathcal{N}) \subset \mathcal{M}$$

and by separating property of  $\xi_0$  for  $\mathcal{M}$ .

On the other hand, we have seen that  $g^\perp\xi_0$  is cyclic separating for  $\mathcal{N}g^\perp$  in the way proving that  $g^\perp\xi_0$  is a faithful tracial vector.

The direct sum of  $\mathcal{N}g$  and  $\mathcal{N}g^\perp$  has a cyclic separating vector  $\xi_0$ . These summands are finite because we are assuming that  $\mathcal{N}$  is finite and they are induced part of it. Hence  $\mathcal{N}g \oplus \mathcal{N}g^\perp$  is also finite.

Clearly  $\mathcal{N}$  is a subalgebra of  $\mathcal{N}g \oplus \mathcal{N}g^\perp$ . So  $\xi_0$  is separating for  $\mathcal{N}$ . This is the first statement of the theorem.

Now we have an inclusion of finite von Neumann algebras

$$\mathcal{N} \subset \mathcal{N}g \oplus \mathcal{N}g^\perp$$

and  $\xi_0$  is a common cyclic separating vector. Then they must coincide by Lemma 2.9. This happens only if  $g$  is a projection of  $\mathcal{N}$  from the beginning, i.e,  $g$  is a central projection of  $\mathcal{N}$ .

Recall that induction by  $g$  coincides with the homomorphic part of  $\alpha$ . Now we know that  $g$  is central. Then the support  $e$  of the homomorphic part  $\beta$  must be exactly  $g$ .

On the other hand, the intersection  $e^\perp f^\perp$  of kernels of the homomorphic part  $\beta$  and the antihomomorphic part  $\gamma$  must be trivial. To see this, take  $x \in \mathcal{N}$ . We have

$$\begin{aligned} e^\perp f^\perp x \xi_0 &= x e^\perp f^\perp \xi_0 \\ &= x \alpha \left( e^\perp f^\perp \right) \xi_0 \\ &= 0. \end{aligned}$$

Since  $\xi_0$  is cyclic for  $\mathcal{N}$ , we get that  $e^\perp f^\perp = 0$ .

Since the induction by  $e$  realizes the homomorphic part  $\beta$  of  $\alpha$ , for the antihomomorphic part  $\gamma$  it holds

$$\gamma(e) = e^\perp \alpha(e) = \alpha(e) - e \alpha(e) = 0.$$

This implies  $e$  must be orthogonal to  $f$ , which is the support of  $\gamma$ . As their intersection vanishes, we get  $f = I - e$ .

Recalling  $g = e$ , we saw that  $e^\perp \xi_0$  is a cyclic separating tracial vector for  $\mathcal{N}e^\perp$  and the canonical antiisomorphism with respect to  $e^\perp \xi_0$  coincides with  $e^\perp \alpha$ . Then the proof of all the statements in the theorem is done.  $\square$

### 3 Recovery of central projections

In the following sections we turn to the study of single von Neumann algebra. Again let  $\mathcal{M}$  be a von Neumann algebra and  $\xi_0$  be a cyclic separating vector for  $\mathcal{M}$ . By Connes' result,  $\mathcal{P}^\sharp$  determines  $\mathcal{M}$  up to center.

Here we show that the center is easily recovered from  $\mathcal{P}^\sharp$ . Let  $p$  be a projection  $\mathcal{B}(\mathcal{H})$  such that  $p\mathcal{P}^\sharp \subset \mathcal{P}$  and  $p^\perp\mathcal{P}^\sharp \subset \mathcal{P}^\sharp$ .

In this situation, we can define a mapping from  $\mathcal{M}$  into  $\mathcal{M}$  using  $p$ .

**Lemma 3.1.** *For every  $a \in \mathcal{M}_+$  there is  $\alpha(a) \in \mathcal{M}_+$  such that  $pa\xi_0 = \alpha(a)\xi_0$ .*

*Proof.* As in the proof of Lemma 2.1, we have a positive operator  $\alpha(a)$  affiliated to  $\mathcal{M}$  such that  $pa\xi_0 = \alpha(a)\xi_0$  since  $pa\xi_0$  is a vector of the positive cone  $\mathcal{P}^\sharp$ . This is again bounded for

a different reason. In fact, for  $y \in \mathcal{M}'$  we have

$$\begin{aligned}
\langle \alpha(a)y\xi_0, y\xi_0 \rangle &= \langle \alpha(a)\xi_0, y^*y\xi_0 \rangle \\
&= \langle pa\xi_0, y^*y\xi_0 \rangle \\
&\leq \langle pa\xi_0, y^*y\xi_0 \rangle + \langle p^\perp a\xi_0, y^*y\xi_0 \rangle \\
&= \langle a\xi_0, y^*y\xi_0 \rangle \\
&= \langle ay\xi_0, y\xi_0 \rangle \\
&\leq \|a\| \|y\xi_0\|^2,
\end{aligned}$$

where we have used the assumption that  $p^\perp$  preserves  $\mathcal{P}^\sharp$ .  $\square$

From this we see that  $\alpha(a) \leq a$  as self-adjoint operators. The map  $\alpha$  extends to a linear mapping of  $\mathcal{M}$ .

**Lemma 3.2.** *The map  $\alpha$  maps every projection to a projection.*

*Proof.* Let  $e$  be a projection of  $\mathcal{M}$ . By the observation above, we have  $\alpha(e) \leq e$ . Then using the fact  $e\alpha(e) = \alpha(e)$  we can calculate

$$\begin{aligned}
\langle \alpha(e)^2\xi_0\xi_0 \rangle &= \langle \alpha(e)\xi_0, \alpha(e)\xi_0 \rangle \\
&= \langle pe\xi_0, pe\xi_0 \rangle \\
&= \langle pe\xi_0, e\xi_0 \rangle \\
&= \langle \alpha(e), e\xi_0 \rangle \\
&= \langle \alpha(e), \xi_0 \rangle.
\end{aligned}$$

We can see that  $\alpha(e)^2 = \alpha(e)$  as in the proof of Lemma 2.2.  $\square$

Then the mapping  $\alpha$  is a normal Jordan homomorphism and there is a central projection  $g$  of  $\alpha(\mathcal{M})'' \subset \mathcal{M}$  such that  $\alpha(\cdot)g$  is homomorphic and  $\alpha(\cdot)g^\perp$  is antihomomorphic. The proof is similar to the one for the case of subcones.

Now we have the following.

**Theorem 3.3.** *Let  $\mathcal{M}$  be a von Neumann algebra acting on a Hilbert space  $\mathcal{H}$ ,  $\xi_0$  be a cyclic separating vector for  $\mathcal{M}$  and  $\mathcal{P}^\sharp = \overline{\mathcal{M}_+\xi_0}$ . Then a projection  $p \in \mathcal{B}(\mathcal{H})$  is a central projection of  $\mathcal{M}$  if and only if  $p$  and  $p^\perp$  preserve  $\mathcal{P}^\sharp$ .*

*Proof.* The ‘‘only if’’ part is trivial.

Let  $p$  be a projection which and whose orthogonal complement preserve  $\mathcal{P}^\sharp$ . Note that  $\alpha(x) \in \mathcal{M}$  and that  $\alpha(\alpha(x)) = \alpha(x)$  holds. In fact, we have

$$\alpha(\alpha(x))\xi_0 = p\alpha(x)\xi_0 = pp\xi_0 = p\xi_0 = \alpha(x)\xi_0,$$

since  $p$  is a projection.

As in the situation of subcones,  $\alpha$  is a sum of a normal homomorphism and a normal antihomomorphism whose ranges are mutually orthogonal. The kernels of the homomorphism and the antihomomorphism are central projections of  $\mathcal{M}$ . Thus the support of  $\alpha$  is the orthogonal complement of the intersection of these kernels. In particular it is a central projection  $e \in \mathcal{M}$ .

Recall that  $\alpha(e) \leq e$ . Take an arbitrary positive element  $a$  from  $\mathcal{M}$ . If we apply  $\alpha$  to  $ea - \alpha(ea)$ , since the composition of  $\alpha$  and  $\alpha$  equals  $\alpha$  itself, we have

$$\alpha(ea - \alpha(ea)) = \alpha(ea) - \alpha(ea) = 0.$$

The argument of the left hand side is less than the support of  $\alpha$ , hence it must vanish. Thus we see that  $ea$  is fixed by  $\alpha$ . By linearity, this holds for arbitrary element  $x \in \mathcal{M}$  instead of positive element  $a$ .

Again since  $e$  is the support of  $\alpha$ , we have  $\alpha(x) = \alpha(xe) = xe$ . Comparing this with the definition of  $\alpha$  we can determine  $p$ .

$$\begin{aligned} px\xi_0 &= \alpha(x)\xi_0 \\ &= ex\xi_0 \end{aligned}$$

With the cyclicity of  $\xi_0$  we see that  $p$  equals  $e$ . In particular,  $p$  must be a central projection of  $\mathcal{M}$ .  $\square$

## 4 Properties of $(\mathcal{P}^\sharp, \xi_0)$

In this section, we study the properties of  $\mathcal{P}^\sharp$  coupled with a specified vector  $\xi_0$ . We begin with the following lemma.

Let us write  $\zeta \leq \eta$  if  $\eta - \zeta \in \mathcal{P}^\sharp$ .

**Lemma 4.1.** *Let  $\zeta$  be a vector in  $\mathcal{P}^\sharp$ . Then the following hold.*

1. *If  $\zeta \leq \xi_0$ , then there is a positive contractive operator  $a \in \mathcal{M}$  such that  $\zeta = a\xi_0$ . In this case we say that  $\zeta$  is contractive.*
2. *If  $\zeta$  is contractive and if  $\zeta \perp (\xi_0 - \zeta)$ , then there is a projection  $e \in \mathcal{M}$  such that  $\zeta = e\xi_0$ . When these conditions hold, we call  $\zeta$  a projective vector.*
3. *If  $\eta$  and  $\zeta$  are projective and  $\zeta \leq \xi_0 - \eta$ , then  $e$  and  $f$  are mutually orthogonal projections where  $\eta = e\xi_0$  and  $\zeta = f\xi_0$ . We say  $\eta$  and  $\zeta$  are mutually operationally orthogonal.*

*Proof.* The proofs of the first and the second statements are same as in the proofs of Lemma 2.1 and 2.2 respectively. We do not repeat them here.

Suppose  $\eta = e\xi_0$ ,  $\zeta = f\xi_0$  and  $\eta \leq \xi_0 - \zeta$ . Then according to this order,  $e \leq I - f$ . When  $e$  and  $f$  are projections, this shows the mutual orthogonality.  $\square$

We denote the set of contractive vectors by  $\mathcal{P}_1^\sharp$ . By the Lemma above, to each vector in  $\mathcal{P}_1^\sharp$  there corresponds a positive contractive operator of  $\mathcal{M}$ .

Similarly to every vector  $\zeta$  in  $\mathbb{R}_+\mathcal{P}_1^\sharp$  there corresponds a bounded positive operator  $a$  of  $\mathcal{M}$ . Put  $\mathcal{P}_b^\sharp = \mathbb{R}_+\mathcal{P}_1^\sharp$  and  $\mathcal{K} = \mathbb{R}\mathcal{P}_1^\sharp$ .

**Lemma 4.2.** *For an arbitrary vector  $\zeta$  in  $\mathcal{P}_1^\sharp$  there is a least projective vector such that  $\eta \geq \zeta$ . Let us call  $\eta$  the support of  $\zeta$ .*

*Proof.* As noted above, there is a positive operator  $a$  such that  $\zeta = a\xi_0$ . As we have seen, the order structure of  $\mathcal{P}_1^\sharp$  is consistent with this correspondence. Let  $e$  be the support projection of  $a$ . Then we have  $\eta = e\xi_0 \geq a\xi_0 = \zeta$ . Hence  $\eta$  is the least projective vector in  $\mathcal{P}_1^\sharp$ .  $\square$

**Lemma 4.3.** *Every vector  $\zeta$  in  $\mathcal{K}$  is uniquely decomposed as  $\zeta = \zeta_+ - \zeta_-$  where  $\zeta_+$  and  $\zeta_-$  are vectors of  $\mathcal{P}_b^\sharp$  and supports of  $\zeta_+$  and  $\zeta_-$  are mutually operationally orthogonal.*

*Proof.* Since every vector in  $\mathcal{P}_1^\sharp$  corresponds to a positive contractive operator in  $\mathcal{M}$ , vectors of  $\mathcal{P}_b^\sharp$  (resp.  $\mathcal{K}$ ) correspond to positive operators (resp. self-adjoint operators).

Now the lemma follows from the theory of self-adjoint operators. The self-adjoint operator  $z$  corresponding to  $\zeta$  has the Jordan decomposition  $z = z_+ - z_-$  where  $z_+$  and  $z_-$  are positive operators of  $\mathcal{M}$  whose supports are mutually orthogonal. By Lemma 4.1,  $\zeta$  has the corresponding decomposition.  $\square$

**Lemma 4.4.** *The cone  $\mathcal{P}_b^\sharp$  is dense in  $\mathcal{P}^\sharp$ .*

*Proof.* For each vector  $\zeta$  in  $\mathcal{P}^\sharp$  there is a positive self-adjoint linear operator  $A$  affiliated to  $\mathcal{M}$  such that  $\zeta = A\xi_0$ [11]. Let  $E_A$  be the spectral measure associated to  $A$ . Then  $AE_A([0, n])$  is bounded positive operator in  $\mathcal{M}$ . It is well known that  $\{AE_A([0, n])\xi_0\}$  converges to  $A\xi_0$ .  $\square$

In addition, we can recover the operator norm in terms of  $\mathcal{P}_b^\sharp$ . For  $\zeta \in \mathcal{P}_b^\sharp$  we define the new “sharp” norm  $\|\zeta\|_\sharp$  as follows.

$$\|\zeta\|_\sharp = \sup \left\{ c \geq 0 \mid \frac{1}{c}\zeta \leq \xi_0 \right\}.$$

**Lemma 4.5.** *If  $a \in \mathcal{M}_+$  and  $\zeta = a\xi_0$ , then  $\|\zeta\|_\sharp = \|a\|$ .*

*Proof.* We only have to note that  $ca\xi_0 \leq \xi_0$  if and only if  $ca \leq I$ . Then the spectral decomposition of  $a$  completes the proof.  $\square$

The set  $\mathcal{K}$  is a real linear subspace of  $\mathcal{H}$ . To  $\mathcal{K}$  we can extend the new norm  $\|\cdot\|_\sharp$  as follows. For  $\zeta \in \mathcal{K}$  define

$$\|\zeta\|_\sharp = \inf \left\{ \max \left\{ \|\zeta_1\|_\sharp, \|\zeta_2\|_\sharp \right\} \mid \zeta_1, \zeta_2 \in \mathcal{P}_b^\sharp, \zeta_1 - \zeta_2 = \zeta \right\}.$$

It is easily seen that if  $z \in \mathcal{M}_{sa}$  corresponds to  $\zeta \in \mathcal{K}$ , we have

$$\max \{ \|z_+\|, \|z_-\| \} = \|z\| = \|\zeta\|_\sharp = \max \left\{ \|\zeta_+\|_\sharp, \|\zeta_-\|_\sharp \right\}.$$

## 5 Jordan structure on $\mathcal{K} + i\mathcal{K}$

First we define the square operation for vectors in  $\mathcal{K}$ .

**Definition 5.1.** If  $\zeta$  is a real linear combination of mutually operationally orthogonal projective vectors, i.e.  $\zeta = \sum_k c_k \zeta_k$  where  $c_k \in \mathbb{R}$  and  $\{\zeta_k\}$  are mutually operationally orthogonal, then we define the square of  $\zeta$  as follows.

$$\zeta^2 = \sum_k c_k^2 \zeta_k.$$

As we have seen in Lemma 4.1, mutually operationally orthogonal projective vectors  $\{\zeta_k\}$  correspond to mutually orthogonal projections  $\{e_k\}$ . Thus the square of a real linear combination  $\sum_k c_k e_k$  equals  $\sum_k c_k^2 e_k$  and for these vectors the definition of square is consistent.

The set of vectors which are real linear combinations of mutually operationally orthogonal projective vectors is dense in  $\mathcal{K}$  in the sharp norm defined in Section 4. In fact, these vectors correspond to real linear combinations of mutually orthogonal projections in  $\mathcal{M}$ , i.e. self-adjoint operators with finite spectra.

Since the sharp norm on  $\mathcal{K}$  is consistent with the operator norm on  $\mathcal{M}$ , we can extend the definition of square to  $\mathcal{K}$  by continuity. We have the following.

$$\text{If } \zeta = z\xi_0 \text{ for } z \in \mathcal{M}_{sa}, \text{ then } \zeta^2 = z^2\xi_0.$$

Once we have defined the square operation on  $\mathcal{K}$ , we can define Jordan polynomials as follows. For  $\eta$  and  $\zeta$  in  $\mathcal{K}$  let us define

$$\eta\zeta + \zeta\eta = (\eta + \zeta)^2 - \eta^2 - \zeta^2.$$

Using this, for  $\zeta = \zeta_1 + i\zeta_2 \in \mathcal{K} + i\mathcal{K}$  we put

$$\zeta^2 = \zeta_1^2 + i(\zeta_1\zeta_2 + \zeta_2\zeta_1) - \zeta_2^2.$$

As for vectors in  $\mathcal{K}$ , we define the ‘‘Jordan product’’ on  $\mathcal{K} + i\mathcal{K}$  by

$$\eta\zeta + \zeta\eta = (\eta + \zeta)^2 - \eta^2 - \zeta^2.$$

Using this, finally we define

$$\zeta\eta\zeta = \frac{1}{2} [(\zeta\eta + \eta\zeta)\zeta + \zeta(\zeta\eta + \eta\zeta)] - \frac{1}{2} (\zeta^2\eta + \eta\zeta^2).$$

If  $\eta = y\xi_0$  and  $\zeta = z\xi_0$  for  $y, z \in \mathcal{M}$ , then it follows that  $\zeta\eta\zeta = zyz\xi_0$ . This follows because we have defined square and Jordan polynomials on  $\mathcal{K}$  consistently.

If we fix  $\zeta$ , we give names to the following mappings.

$$\begin{aligned} c_\zeta : \mathcal{K} + i\mathcal{K} \ni \eta &\longmapsto \zeta\eta\zeta \in \mathcal{K} + i\mathcal{K}, \\ \text{od}_\zeta : \mathcal{K} + i\mathcal{K} \ni \eta &\longmapsto \eta - c_\zeta(\eta) - c_{\zeta^\perp}(\eta) \in \mathcal{K} + i\mathcal{K}. \end{aligned}$$

Let  $\eta = y\xi_0$  and  $\zeta = e\xi_0$  where  $e$  is a projection. Then we see that

$$\begin{aligned} c_\zeta(\eta) &= eye\xi_0, \text{ and} \\ \text{od}_\zeta(\eta) &= y\xi_0 - eye\xi_0 - e^\perp ye^\perp \xi_0 = [eye^\perp + e^\perp ye] \xi_0 \end{aligned}$$

correspond to the corner of  $y$  and the off-diagonal part of  $y$ , respectively.

## 6 Recovery of projections in $\mathcal{M}$ in the case when $\mathcal{M}^\sigma = \mathbb{C}I$

Let  $p$  be a projection of  $\mathcal{B}(\mathcal{H})$ . We seek a necessary and sufficient condition for  $p$  to be a projection of  $\mathcal{M}$ .

We need a criterion for a projection in  $\mathcal{M}$  to be fixed by the modular automorphism.

**Lemma 6.1.** *Let  $e$  be a projection in  $\mathcal{M}$ . If  $px\xi_0 = xe\xi_0$  holds for all  $x \in \mathcal{M}$ , then we have  $e \in \mathcal{M}^\sigma$  and  $p = JeJ$ .*

*Proof.* Note that we get  $p\xi_0 = e\xi_0$  if we use the assumption with  $x = I$ .

Again by the assumption it follows that

$$\begin{aligned} \langle xe\xi_0, \xi_0 \rangle &= \langle px\xi_0, \xi_0 \rangle \\ &= \langle x\xi_0, p\xi_0 \rangle \\ &= \langle x\xi_0, e\xi_0 \rangle \\ &= \langle ex\xi_0, \xi_0 \rangle. \end{aligned}$$

This implies that  $e \in \mathcal{M}^\sigma$  [11]. In particular, we have

$$e\xi_0 = Se\xi_0 = J\Delta^{\frac{1}{2}}e\xi_0 = Je\xi_0.$$

Now the equality  $JeJx\xi_0 = xJeJ\xi_0 = xe\xi_0 = px\xi_0$  and the cyclicity of  $\xi_0$  complete the proof.  $\square$

Recall that  $S = J\Delta^{\frac{1}{2}}$  can be defined in terms of  $\overline{\mathcal{K}}$  [10].

**Theorem 6.2.** *Let  $p$  be a projection in  $\mathcal{B}(\mathcal{H})$ . There is a projection  $e \in \mathcal{M}$  and a central projection  $q \in \mathcal{M}$  such that  $q^\perp e \in \mathcal{M}^\sigma$  and  $p = qe + Jq^\perp eJ$  if and only if the following hold:*

1.  $p\xi_0 \leq \xi_0$ .
2. If  $\zeta \leq p\xi_0$ , then  $p\zeta = \zeta$ .
3. If  $\zeta \leq p^\perp\xi_0$ , then  $p^\perp\zeta = \zeta$ .
4. For every vector  $\xi \in \mathcal{K} + i\mathcal{K}$  we have  $p\xi \in \mathcal{K} + i\mathcal{K}$  and

- (a)  $c_{p\xi_0}(p \operatorname{od}_{p\xi_0}(\xi)) = 0$ ,
- (b)  $c_{p^\perp\xi_0}(p \operatorname{od}_{p\xi_0}(\xi)) = 0$ ,
- (c)  $(p \operatorname{od}_{p\xi_0}(\xi))^2 = 0$ ,
- (d)  $(p^\perp \operatorname{od}_{p\xi_0}(\xi))^2 = 0$ ,
- (e)  $Sp \operatorname{od}_{p\xi_0}(\xi) = p^\perp S \operatorname{od}_{p\xi_0}(\xi)$ .

*Proof.* First let us show the ‘‘only if’’ part. In this case, we have

$$p\xi_0 = qe\xi_0 + Jq^\perp eJ\xi_0 = qe\xi_0 + q^\perp e\xi_0 = e\xi_0 \leq \xi_0,$$

hence the first part of the conditions is satisfied. For the second condition, if  $\zeta = z\xi_0 \leq p\xi_0 = e\xi_0$ , then the support of  $z$  is less than or equal to  $e$  and we have

$$p\zeta = qez\xi_0 + zJeq^\perp J\xi_0 = qez\xi_0 + zeq^\perp\xi_0 = z\xi_0 = \zeta.$$

Similar proof works for the third. To see the conditions of the fourth, let  $\xi = x\xi_0 \in \mathcal{K} + i\mathcal{K}$ . We note that

$$\begin{aligned}
c_{p\xi_0}(\xi) &= c_{e\xi_0}(x\xi_0) = exe\xi_0, \\
od_{p\xi_0}(\xi) &= od_{e\xi_0}(x\xi_0) = [exe^\perp + e^\perp xe] \xi_0, \\
p \ od_{p\xi_0}(\xi) &= [qexe^\perp + q^\perp e^\perp xe] \xi_0, \\
p^\perp \ od_{p\xi_0}(\xi) &= [qe^\perp xe + q^\perp exe^\perp] \xi_0, \\
Sp \ od_{p\xi_0}(\xi) &= [qe^\perp x^* e + q^\perp ex^* e^\perp] \xi_0, \\
p^\perp S \ od_{p\xi_0}(\xi) &= (qe^\perp + Jq^\perp e^\perp J) [e^\perp x^* e + ex^* e^\perp] \xi_0 \\
&= [qe^\perp x^* e + q^\perp ex^* e^\perp] \xi_0.
\end{aligned}$$

Thus it is easy to see that each of the conditions is valid.

We turn to the “if” part. Let  $p$  satisfy the conditions of the statement.

Take  $x \in \mathcal{M}$  satisfying  $x = exe^\perp$ . If we use the matrix,  $x$  takes the following form.

$$\begin{array}{c}
\text{Ran}(e) \quad \text{Ran}(e^\perp) \\
\text{Ran}(e) \begin{pmatrix} 0 & X \\ 0 & 0 \end{pmatrix}. \\
\text{Ran}(e^\perp)
\end{array}$$

Then it holds that  $od_{p\xi_0}(x\xi_0) = x\xi_0$ .

By assumption 4, there exists  $y \in \mathcal{M}$  such that  $px\xi_0 = y\xi_0$ . In addition, by assumptions 4a and 4b, we have  $eye = e^\perp ye^\perp = 0$ , i.e.  $y$  has trivial corners. By assumption 4c, it follows  $y^2 = 0$ . Hence  $y$  takes the following form.

$$y = \begin{pmatrix} & & & y_1 & 0 & 0 \\ & \mathbf{0} & & 0 & 0 & 0 \\ & & & 0 & 0 & 0 \\ 0 & 0 & 0 & & & \\ 0 & y_2 & 0 & & \mathbf{0} & \\ 0 & 0 & 0 & & & \end{pmatrix},$$

where we decomposed  $\text{Ran}(e)$  and  $\text{Ran}(e^\perp)$  as follows.

$$\begin{aligned}
\text{Ran}(e) &= \text{Dom}(e^\perp ye) \oplus \text{Ran}(eye^\perp) \oplus \left( \text{Ran}(e) \ominus \text{Dom}(e^\perp ye) \ominus \text{Ran}(eye^\perp) \right), \\
\text{Ran}(e^\perp) &= \text{Dom}(eye^\perp) \oplus \text{Ran}(e^\perp ye) \oplus \left( \text{Ran}(e^\perp) \ominus \text{Dom}(eye^\perp) \ominus \text{Ran}(e^\perp ye) \right).
\end{aligned}$$

Subspaces which appear here are mutually orthogonal because the square of  $y$  vanishes.

According to this, we further decompose  $x$ .

$$x = \begin{pmatrix} & & & x_1 & x_2 & x_3 \\ & & & x_4 & x_5 & x_6 \\ & & & x_7 & x_8 & x_9 \\ 0 & 0 & 0 & & & \\ 0 & 0 & 0 & & \mathbf{0} & \\ 0 & 0 & 0 & & & \end{pmatrix}.$$



By assumption 4d, the square of  $p^\perp x \xi_0 = (x - y) \xi_0$  must vanish.

$$x - y = \begin{pmatrix} & & & x_1 - y_1 & x_2 & x_3 \\ & & & x_4 & x_5 & x_6 \\ & & & x_7 & x_8 & x_9 \\ 0 & 0 & 0 & & & \\ 0 & -y_2 & 0 & & & \\ 0 & 0 & 0 & & & \end{pmatrix},$$

$$(x - y)^2 = \begin{pmatrix} 0 & -x_2 y_2 & 0 & & & \\ 0 & -x_5 y_2 & 0 & & & \\ 0 & -x_8 y_2 & 0 & & & \\ & & & 0 & 0 & 0 \\ 0 & & & -y_2 x_4 & -y_2 x_5 & -y_2 x_6 \\ & & & 0 & 0 & 0 \end{pmatrix}.$$

Then it follows that  $x_2 = x_4 = x_5 = x_6 = x_8 = 0$ .

If we use assumption 4e, then we get

$$p x^* \xi_0 = p S x \xi_0 = S p^\perp x \xi_0 = (x^* - y^*) \xi_0.$$

Applying assumption 4c to  $\xi = (x + x^*) \xi_0$ , the square of  $p(x + x^*) \xi_0 = (y + x^* - y^*) \xi_0$  vanishes.

$$y + x^* - y^* = \begin{pmatrix} & & & y_1 & 0 & 0 \\ & & & 0 & -y_2^* & 0 \\ & & & 0 & 0 & 0 \\ x_1^* - y_1^* & 0 & x_7^* & & & \\ 0 & y_2 & 0 & & & \\ x_3^* & 0 & x_9^* & & & \end{pmatrix},$$

$$(y + x^* - y^*)^2 = \begin{pmatrix} y_1(x_1^* - y_1^*) & 0 & y_1 x_7^* & & & \\ 0 & -y_2^* y_2 & 0 & & & \\ 0 & 0 & 0 & & & \\ & & & (x_1^* - y_1^*) y_1 & 0 & 0 \\ 0 & & & 0 & -y_2 y_2^* & 0 \\ & & & x_3^* y_1 & 0 & 0 \end{pmatrix}.$$

Thus it follows that  $y_2 = x_3 = x_7 = 0$  and  $x_1 = y_1$ .

Summing up, for every  $x = exe^\perp \in \mathcal{M}$  we have

$$x = \begin{pmatrix} & & & x_1 & 0 & 0 \\ & \mathbf{0} & & 0 & 0 & 0 \\ & & & 0 & 0 & x_9 \\ 0 & 0 & 0 & & & \\ 0 & 0 & 0 & & \mathbf{0} & \\ 0 & 0 & 0 & & & \end{pmatrix},$$

$$y\xi_0 = px\xi_0 = \begin{pmatrix} & & & x_1 & 0 & 0 \\ & \mathbf{0} & & 0 & 0 & 0 \\ & & & 0 & 0 & 0 \\ 0 & 0 & 0 & & & \\ 0 & 0 & 0 & & \mathbf{0} & \\ 0 & 0 & 0 & & & \end{pmatrix} \xi_0.$$

The point is that  $\text{Dom}(y)$  and  $\text{Dom}(x-y)$ ,  $\text{Ran}(y)$  and  $\text{Ran}(x-y)$  are mutually orthogonal, respectively.

If we take another element  $z = eze^\perp \in \mathcal{M}$  and put  $w\xi_0 = pz\xi_0$ , then by the same argument we see that  $\text{Dom}(w)$  and  $\text{Dom}(z-w)$ ,  $\text{Ran}(w)$  and  $\text{Ran}(z-w)$  are mutually orthogonal, respectively. In addition, by noting that  $w+x-y = e(w+x-y)e^\perp$  and  $p(w+x-y)\xi_0 = w\xi_0$ , it follows that  $\text{Dom}(x-y) \perp \text{Dom}(w)$  and  $\text{Ran}(x-y) \perp \text{Ran}(w)$ . Similarly it holds that  $\text{Dom}(z-w) \perp \text{Dom}(y)$  and  $\text{Ran}(z-w) \perp \text{Ran}(y)$ . Then let us define  $f_1$  (resp.  $f_3$ ) to be the projection onto the supremum of such  $\text{Ran}(x-y)$ 's (resp.  $\text{Dom}(x-y)$ 's) where  $x = exe^\perp$  runs all the elements of this form in  $\mathcal{M}$  and put  $f_2 = e - f_1$ ,  $f_4 = e^\perp - f_3$ . They are mutually orthogonal projections of  $\mathcal{M}$ .

Using them every  $x = exe^\perp \in \mathcal{M}$  is decomposed as follows.

$$\begin{array}{cccc} & \text{Ran}(f_1) & \text{Ran}(f_2) & \text{Ran}(f_3) & \text{Ran}(f_4) \\ \text{Ran}(f_1) & \left( \begin{array}{cccc} 0 & 0 & x_1 & 0 \\ 0 & 0 & 0 & x_2 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{array} \right) & & & \\ \text{Ran}(f_2) & & & & \\ \text{Ran}(f_3) & & & & \\ \text{Ran}(f_4) & & & & \end{array}.$$

According to this decomposition, it is easy to see that every  $x \in \mathcal{M}$  must have the following form.

$$x = \begin{pmatrix} x_1 & 0 & x_3 & 0 \\ 0 & x_2 & 0 & x_4 \\ x_5 & 0 & x_7 & 0 \\ 0 & x_6 & 0 & x_8 \end{pmatrix}.$$

Put  $q = f_1 + f_3$ . This is clearly a central projection.

Since  $p$  preserves vectors of the set  $\{\zeta \mid \zeta \leq p\xi_0 = e\xi_0\}$  by assumption 2, it holds that  $p exe\xi_0 = exe\xi_0$  for  $x \in \mathcal{M}$ . Similarly, by assumption 3, we see  $p^\perp e^\perp xe^\perp \xi_0 = e^\perp xe^\perp \xi_0$ , hence  $p e^\perp xe^\perp \xi_0 = 0$ .

Now, letting  $x$  be an arbitrary element of  $\mathcal{M}$ ,  $p$  acts on  $x\xi_0$  as follows.

$$\begin{aligned} px\xi_0 &= p \begin{pmatrix} x_1 & 0 & x_3 & 0 \\ 0 & x_2 & 0 & x_4 \\ x_5 & 0 & x_7 & 0 \\ 0 & x_6 & 0 & x_8 \end{pmatrix} \xi_0 = \begin{pmatrix} x_1 & 0 & x_3 & 0 \\ 0 & x_2 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & x_6 & 0 & 0 \end{pmatrix} \xi_0 \\ &= (qex + q^\perp xe)\xi_0. \end{aligned}$$

Then using the cyclicity of  $\xi_0$  and Lemma 6.1, we arrive at the conclusion that  $p = qe + Jq^\perp eJ$ .  $\square$

**Corollary 6.3.** *If  $\mathcal{M}^\sigma = \mathbb{C}I$ , then the conditions in Theorem 6.2 assure that  $p$  is a projection of  $\mathcal{M}$ .*

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